Introduction to ultraperipheral collisions: UPC

Anna Staśto

Penn State University



Outline

- Ultraperipheral collisions: photon induced reactions using relativistic heavy nuclei
- Examples of processes in UPC:
 - Photon-photon processes
 - Exclusive reactions
 - Inclusive processes:
 - > Open charm photoproduction in UPC:
 - Theoretical predictions for D⁰ production in UPC at LHC and comparison with CMS data

Work with Gian-Michele Innocenti and Matteo Cacciari, arXiv:2506.09893

UPC topical conference

UPC2025: The second international workshop on the physics of Ultra Peripheral Collisions

Jun 9–13, 2025 Saariselkä, Finland Europe/Helsinki timezone

Overview	
Scientific	Program

Call for Abstracts

Timetable

Contribution List

Book of Abstracts

Registration

Participant List

Important dates

Financial support

Travel and accommondation



- Monte Carlo event generators for UPCs and photon-mediated processes
- Inclusive and diffractive processes and photon, proton and nuclear structure
- · Photon-photon physics, precision tests of SM and BSM
- New directions in UPCs, connection to heavy-ion physics, and synergies with EIC and other facilities



Discussing ultraperipheral collisions in ultraperipheral location...

Enter your search term

Heavy ion collisions



PbPb event at LHC



PbPb collision: large multiplicity of produced particles

UPC events: light by light scattering



light-by-light scattering in UPC PbPb collisions at 5.02 TeV Two back-to-back photons with an invariant mass of 24 GeV with no additional activity in the detector are presented.



CMS Experiment at the LHC, CERN Data recorded: 2023-Oct-10 05:24:04.000512 GMT Run / Event / LS: 374925 / 591414336 / 646

photonuclear events



Clean dijets events with negligible underlying QCD background

A photonuclear dijet candidate in PbPb UPCs '23

Ultraperipheral AA collisions



UPC: **ultraperipheral** AA collisions, $b > 2R_A$ Dominated by **electromagnetic** component **Cleaner environment** than standard AA collisions Equivalent photon approximation EPA Photon flux **enhanced** by Z^2 of nucleus Unique source of high-energy photonnucleus collisions at a collision energy is much higher than in any electron-ion collider LHC :

PbPb, Z=82, A=208

 $\sqrt{s_{_{\rm NN}}} = 5.36 \,\mathrm{TeV}$

Equivalent photon approximation

Fermi, Weizsaecker, Williams

Flux of photons from a highly relativistic projectile

$$f_{\gamma/A}(z) = \frac{2Z^2 \alpha_{em}}{\pi z} \begin{bmatrix} \eta K_0(\eta) K_1(\eta) - \frac{\eta^2}{2} (K_1(\eta)^2 - K_0(\eta)^2) \end{bmatrix} \qquad \eta = z m_p b_{\min} / (\hbar c) \\ Z = 82, \ b_{\min} = 2R_A = 2 \times 7.1 \text{ fm}$$

Flux is cutoff at:



Photon fluxes for electron and nucleus





γγ physics: g-2



γγ physics: g-2

Constraints for g-2 from $\gamma\gamma \rightarrow \tau\tau$



Exclusive vector meson production



Exclusive (photo)production of vector mesons Mass of the vector meson provides a hard scale Process sensitive to **square of gluon density**

$$x = (Q^2 + M_{J/\psi}^2) / (W^2 + M_{J/\psi}^2)$$

$$\bar{Q}^2 = (Q^2 + M_{J/\psi}^2)/4,$$

Lowest order formula (for electroproduction as well)

$$\frac{\mathrm{d}\sigma}{\mathrm{d}t} \left(\gamma^* p \to J/\psi \ p\right)\Big|_{t=0} = \frac{\Gamma_{ee} M_{J/\psi}^3 \pi^3}{48\alpha} \left[\frac{\alpha_s(\bar{Q}^2)}{\bar{Q}^4} xg(x,\bar{Q}^2)\right]^2 \left(1 + \frac{Q^2}{M_{J/\psi}^2}\right)$$

Ryskin

Exclusive vector meson photoproduction





VM photoproduction over range of energies

Can be measured in UPCs 10^{-3} 10^{-2} 10^{-5} 10^{-4} 10^{3} (dn) (q+ $\psi - \sqrt{\eta + p}$) (db) ALICE Provides large energy range ALICE (PRL113 (2014) 232504) Power-law fit to ALICE data together with HERA 0000000 H1 \cap ZEUS ∇ LHCb pp (W+ solutions) Ο measurements LHCb pp (W- solutions) Can test evolution and gluon 10² CCT JMRT NLO density at moderate scales STARLIGHT param. NLO BFKL CGC (IP-Sat, b-CGC) NLO collinear Models / fit to data 1.3**E** NLO BFKL 1.2 1.1 Nonlinear BK evolution 0.9 with parton saturation 0.8**E** 0.7**E**

0.6

20

ALI-PUB-343701

 $\gamma p \to J/\psi p$

10²

30 40 50 60

2×10²

 10^{3}

W_{yp} (GeV)

VM production: dipole approach at high energy

At high energy: **photon fluctuates** into quark-antiquark pair and interacts with the target

Differential cross section

$$\frac{d\sigma}{dt} = \frac{1}{16\pi} |A(x,\Delta,Q)|^2$$



Amplitude

$$\begin{split} A(x,\Delta,Q) &= \sum_{h,\bar{h}} \int d^2 \mathbf{r} \int dz \, \Psi_{h,h^*}(\mathbf{r},z,Q^2) \, \mathcal{N}(x,\mathbf{r},\Delta,z) \, \Psi_{h,h^*}^V(\mathbf{r},z) \\ \mathcal{N}(x,\mathbf{r},\Delta,z) &= 2 \int d^2 \mathbf{b} \, N(x,\mathbf{r},\mathbf{b}) \, e^{i\Delta \cdot (\mathbf{b} - (1-z)\mathbf{r})} \end{split}$$

Dipole amplitude

$$V(x, \mathbf{r}, \mathbf{b})$$
 \mathbf{r} dipole size
b impact parameter



Can obtain good description of various features of the data on V₀M elastic photo- and electroproduction in electron - proton using imperiate parameter dependent BK w (GeV)



VM production in UPC on proton and nuclei

plots from J.Penttala



VM in UPC PbPb: can test nuclear effects of the gluon density BK equation (with impact parameter dependence) can provide reasonable description of the data Pb data point to stronger suppression

see lecture by Christophe Royon

UPC with hard scale: open charm production



- Advantage: access to wide range of scales : $m_T = \sqrt{p_T^2 + m_c^2}$
- Inclusive^(*) process: test of **factorization** and **universality** of PDFs
- **Charm** produced mainly in *γg* **fusion**
- Sensitive to the nuclear gluon density: nuclear modification
- Tests of parton evolution: DGLAP vs BFKL vs CGC ...

(x, Q^2) kinematic space in nuclear DIS



 (x, Q^2) kinematic space in UPC with nuclei



Example: probing low x gluon using charm at HERA



NLO

CTEQ4F3

-1

n

log x_a

Cross section for charm production in ep and UPC

$$\frac{d\sigma^{AA}}{dyd^{2}p_{T}} = \int dx_{\gamma} f_{\gamma/A}(x_{\gamma}) \frac{d\sigma^{\gamma A}}{dyd^{2}p_{T}} \qquad \text{photon flux}$$

$$\frac{d\sigma^{\gamma A}}{dyd^{2}p_{T}} = \int \frac{dz}{z^{2}} D_{h/c}(z) \frac{d\sigma^{\gamma A}}{dycd^{2}p_{Tc}} \qquad \text{fragmentation function}$$

$$\frac{d\sigma^{\gamma A}}{dy_{c}d^{2}p_{Tc}} = \sum_{j} \int dx_{A} f_{j/A}(x_{A}^{P},\mu_{F}) \frac{d\hat{\sigma}^{\gamma j}}{dy_{c}d^{2}p_{Tc}} (P_{\gamma}, x_{A}P_{A},\mu_{R},\mu_{F})$$

Direct and resolved contribution

In addition to direct process, need to take into account the contribution when the photon fluctuations and the parton from the photon interacts with parton from the nucleus to produce ccbar pair.



Cross section for charm photoproduction in FONLL

FONLL: collinear framework for heavy flavor photoproduction and hadroproduction *Cacciari, Frixione, Nason; Cacciari, Greco, Nason*

Connects small transverse momenta with mass effects to the large transverse momentum region with logarithmically enhanced terms $\ln p_T/m$

Accurate up to NLO: $\alpha_{\rm em} \alpha_s$, $\alpha_{\rm em} \alpha_s^2$ terms exact Includes terms up to NLL: $\alpha_{\rm em} \alpha_s (\alpha_s \ln p_T / m)^k$, $\alpha_{\rm em} \alpha_s^2 (\alpha_s \ln p_T / m)^k$

Fixed order NLO $\frac{d\sigma}{dydp_T} = A(m)\alpha_{\rm em}\alpha_s + B(m)\alpha_{\rm em}\alpha_s^2 + \frac{Resummed \ NLL}{+\left(\alpha_{\rm em}\alpha_s\sum_{i=2}^{\infty}a_i(\alpha_s\log(p_T/m))^i + \alpha_{\rm em}\alpha_s^2\sum_{i=1}^{\infty}b_i(\alpha_s\log(p_T/m))^i\right) \times G(m, p_T)} + \mathcal{O}(\alpha_{\rm em}\alpha_s^{-3}(\alpha_s\log(p_T/m))^i) + \mathcal{O}(\alpha_{\rm em}\alpha_s^{-3} \times \text{PST})$

PST: power suppressed terms

 $G(m, p_T)$ is regularizing function, vanishing at $p_T \rightarrow 0$, and approaching unity at large p_T

Fragmentation functions: from c to D



D⁰ in UPC and D* at HERA

Braaten-Cheung-Fleming-Yuan (BCFY) fragmentation function

Direct decays $c \rightarrow D^0$ and decays from D* states Parameter choice: r = 0.1

Cacciari, Nason ; Cacciari, Frixione, Houdeau,Mangano,Nason, Ridolfi

Use Peterson-Schlatter-Schmitt-Zerwas (PSSZ) function:

$$D(z) = \mathcal{N} \frac{1}{z} \left(1 - \frac{1}{z} - \frac{\varepsilon}{1 - z} \right)^{-2}$$
Parameter choice: $\varepsilon = 0.02, 0.035$
Frixione, Nason
$$\underbrace{Frixione, Nason}_{\varepsilon = 0.02}$$

Comparison with HERA data on \mathbf{D}^{*}

Update FONLL results by *Frixione & Nason* with **recent** PDFs Compute both FONLL and fixed order FO at NLO; direct+resolved

Proton PDF sets: CT18ANLO, HERAPDF2.0, nNNPDF3.0_p

Photon PDF sets: GRV, AFG

Fragmentation:

PSSZ with $\varepsilon = 0.02, 0.035$, Frag. frac. for D^{*+} : 0.235

BCFY with r=0.1, r=0.06

Scale variation:

$$\mu_r = 0.5 \div 2.0 \,\mu_0 \,, \ \mu_f = 0.5 \div 2.0 \,\mu_0 \,, \ \mu_0 = \sqrt{m_c^2 + p_T^2}$$







	Data set	Q_{\max}^2	z_{min}	z_{max}	p_T	(pseudo)rapidity
H1 NPB 545 (1999) 21	H1 ETAG44	0.009	0.02	0.32	$p_T > 2 \mathrm{GeV}$	y < 1.5
	H1 ETAG33	0.01	0.29	0.62	$p_T > 2 \mathrm{GeV}$	y < 1.5
ZEUS EPJC 6 (1999) 67	ZEUS	1	0.187	0.869	$p_T > 2 \mathrm{GeV}$	$ \eta < 1.5$
H1 EPJC 72 (2012) 1995	H1 2012	2	0.09	0.8	$p_T > 1.8 \mathrm{GeV}$	$ \eta < 1.5$

Comparison with HERA: p_T distributions for D^*



Reproducing *Frixione&Nason* calculations, results with CT18ANLO consistent with previous ones **Fragmentation** dependence: calculation with **BCFY closer** to the data than PSSZ

Comparison with H1 (ETAG44, ETAG33) data: rapidity distributions D*



Comparison with ZEUS data: pseudorapidity distributions D*



Comparison with H1 2012 data: pseudorapidity distributions D^*



HERA ep vs LHC UPC AA





- Electron vs nucleus photon flux: different behavior
- In ep: some data sets have larger range of Q^2 (~ 2 GeV²)
- Proton vs nuclear target: nuclear modification expected

UPC event selection

AA UPC: CMS selection of UPC events with **0nXn** (zero neutrons in one ZDC, at least one neutron in opposite ZDC), requires accounting for **survival probability** factor for nucleus due to possibility of **electromagnetic dissociation (EMD)**



In UPC **OnXn** selection means (part of) **coherent diffraction** is rejected. In theoretical calculation it is included: **inclusive** process Estimate from Frankfurt-Guzey-Strikman model: $\sim 10\%$

Electromagnetic dissociation in UPC

- OnXn requirement means photon-emitting nucleus left unbroken
- Additional EM interactions can lead to break-up of nucleus
- Effective flux: fold the survival probability, assuming factorization of hard interaction and soft-excitation probability

$$f_{\gamma/A}^{\text{eff}}(z) = \int d^2 \mathbf{b} P_{\text{no EM}}(\mathbf{b}) \, \tilde{f}_{\gamma/A}(z, \mathbf{b}) \, \theta(|\mathbf{b}| - b_{\min})$$



Introduction to UPCs, LXV Cracow School of Theoretical Physics, Zakopane, June 17, 2025

STARLIGHT

Comparison of FONLL with preliminary CMS data on D^o



Preliminary CMS data extracted from CMS PAS HIN-24-003

Cross section: FONLL nPDF: EPPS21 Photon flux: EMD included Fragmentation: BCFY, r=0.1 Lighter bands/lines :

0

0.5

Scale variation: μ_f/m_T , $\mu_r/m_T(0.5, 1, 2)$, $\frac{1}{2} \le \mu_f/\mu_r \le 2$

Darker/smaller bands: PDF uncertainty

FONLL, EPPS21

8 < p₋ < 12 GeV, BCFY r=0.1

 $\mu_{\rm c}$, $\dot{\mu}_{\rm B}$ unc. m_c=1.3 GeV

 $\mu_{_{\rm F}}$, $\mu_{_{\rm B}}$ unc. m_c=1.5 GeV

PDF unc. m_c=1.3 GeV

PDF unc. m_=1.5 GeV

1

1.5

2 ٧

CMS HIN-24-003

Comparison of FONLL with CMS data **Reasonable agreement** within the theoretical/ experimental uncertainties

 $m(D^0) = 1865 \,\mathrm{MeV}$

Comparison of FONLL with preliminary CMS data on ${\rm D}^{\rm 0}$



Comparison of FONLL with preliminary CMS data on ${\rm D}^{\rm 0}$

lead PDF

proton PDF



Testing nuclear effects: proton vs lead PDF

Comparison of proton vs nuclear PDF CT18ANLO vs EPPS21 Pb

Nuclear modification largest for

 $2 < p_T < 5 \text{ GeV}$

2

Low p_T and transverse momentum distributions

nNNPDF3.0



Very large scale and PDF uncertainties for low transverse momentum region Opportunity for measurements to put strong constraints on nPDFs at very low scales

Introduction to UPCs, LXV Cracow School of Theoretical Physics, Zakopane, June 17, 2025

EPPS21



Nuclear gluon distribution: shadowing effects

Nuclear ratios for gluon EPPS21 evaluated at the middle of the pT bins

(2,5), (5,8), (8,12)



Shadowing ratio at about 70% for lowest p_T bin and low x, evaluated at the gluon(parton) level

Indeed, a reduction visible in lowest bin in CMS measurement, seems stronger than the nPDFs



Wider range of rapidity: -4<y<4



Cross section large down to y=-4: large x in nucleus, more photon PDF sensitivity Cross section still non-negligible for low p_T up to y=3: small x region

y-differential measurement of D⁰ UPC production



CMS data vs theoretical calculations



Summary and outlook

- UPCs offer ample opportunities to test **SM and nuclear structure**
- Many new measurements at RHIC and LHC
- Recently: CMS measurement on the open charm photoproduction in PbPb UPC at LHC $\sqrt{s_{_{\rm NN}}} = 5.36 \,\text{TeV}$
- **Reasonable agreement** between theory and data on **D**⁰ **production from CMS**, within theoretical and experimental uncertainties
- Effects of **nuclear modification visible** in the CMS kinematics, at low p_T
 - CMS data at **low p_T** (differential in rapidity) may point to **stronger nuclear** modification than currently encoded in the nPDFs, however more detailed studies needed to disentangle various effects