

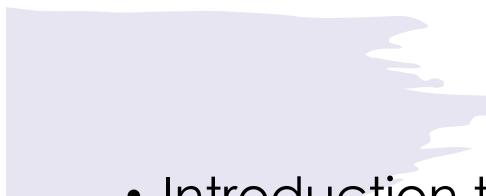
# Proton spin at small x

YURI KOVCHEGOV  
THE OHIO STATE UNIVERSITY



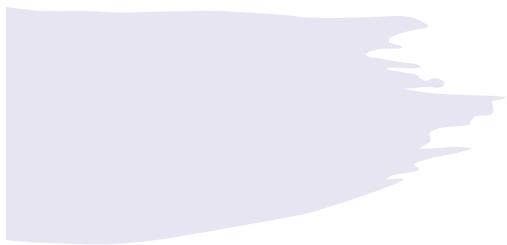
# Credits

- Based on work done with Dan Pitonyak and Matt Sievert (2015-2018, 2021-present), Florian Cougoulic (2019-present), Gabe Santiago (2020-present), Josh Tawabutr (2020-present), Andrey Tarasov (2021-present), Daniel Adamiak, Wally Melnitchouk, Nobuo Sato (2021-present), Jeremy Borden (2023-present), Ming Li (2023-present), Brandon Manley (2023-present), Nick Baldonado (2022-present), Zardo Becker (2024-present).



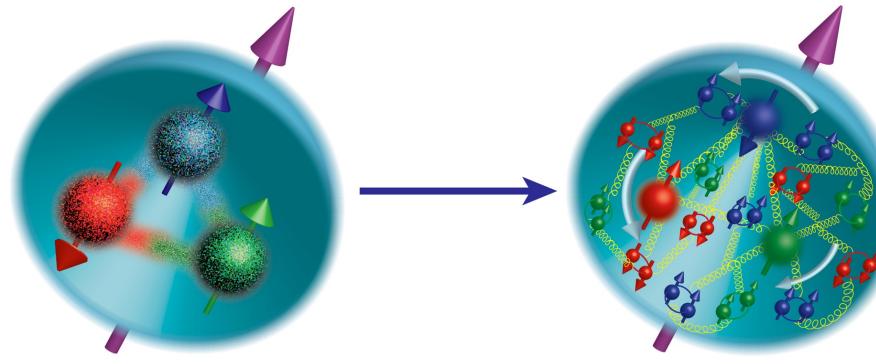
# Outline

- Introduction to the proton spin puzzle
- Quark and gluon helicity evolution at small  $x$ :
  - Dipole picture of high-energy scattering
  - Sub-eikonal operators
  - Quark and gluon helicity distributions at small  $x$  & polarized dipoles
  - Small- $x$  evolution equations for quark and gluon helicity
  - Solution of helicity evolution equations and the small- $x$  asymptotics of quark and gluon helicity distributions
  - Phenomenology: first fit of small- $x$  polarized DIS+SIDIS+pp data using evolution in  $x$
- Orbital angular momentum (OAM) distributions at small  $x$ :
  - OAM distributions at small  $x$
  - Small- $x$  evolution for OAM distributions
  - Measuring OAM at small  $x$  at EIC
- Conclusions



# Proton Spin Puzzle: an Introduction

# Proton Spin

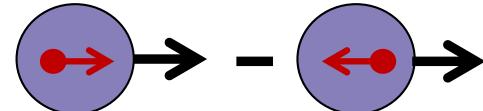


Our understanding of nucleon spin structure has evolved:

- In the 1980's the proton spin was thought of as a sum of constituent quark spins (left panel)
- Currently we believe that the proton spin is a sum of the spins of valence and sea quarks and of gluons, along with the orbital angular momenta of quarks and gluons (right panel)

# Helicity Distributions

- To quantify the contributions of quarks and gluons to the proton spin one defines helicity distribution functions: number of quarks/gluons with spin parallel to the proton momentum minus the number of quarks/gluons with the spin opposite to the proton momentum:



- The helicity parton distributions are

$$\Delta f(x, Q^2) \equiv f^+(x, Q^2) - f^-(x, Q^2)$$

with the flavor-singlet quark helicity distribution

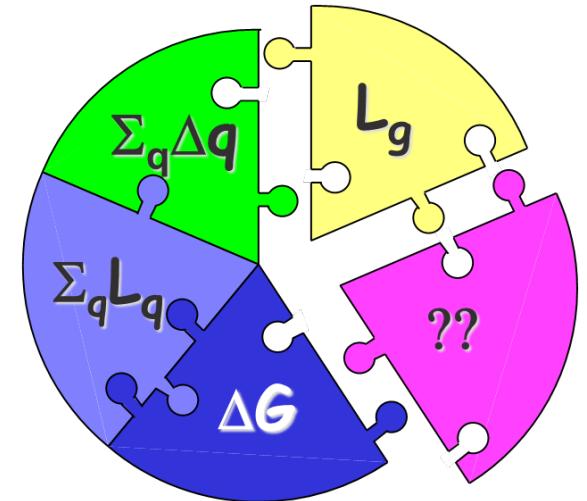
$$\Delta\Sigma \equiv \Delta u + \Delta\bar{u} + \Delta d + \Delta\bar{d} + \Delta s + \Delta\bar{s}$$

and  $\Delta G(x, Q^2)$  the gluon helicity distribution.

# Proton Helicity Sum Rule

- Helicity sum rule (Jaffe&Manohar, 1989; cf. Ji, 1997):

$$\frac{1}{2} = S_q + L_q + S_g + L_g$$



with the net quark and gluon spin

$$S_q(Q^2) = \frac{1}{2} \int_0^1 dx \Delta\Sigma(x, Q^2) \quad S_g(Q^2) = \int_0^1 dx \Delta G(x, Q^2)$$

- $L_q$  and  $L_g$  are the quark and gluon orbital angular momenta (OAM)

# Proton Spin Puzzle

- The spin puzzle began when the EMC collaboration measured the proton  $g_1$  structure function ca 1988. Their data resulted in

$$S_q \approx 0.03$$

- It appeared (constituent) quarks do not carry all of the proton spin (which would have naively corresponded to  $S_q = 1/2$  ).

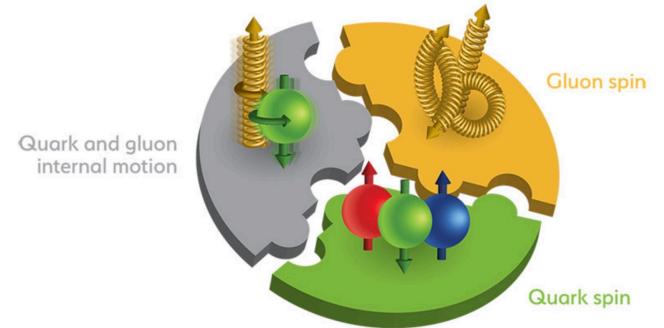
- Missing spin can be

- Carried by gluons
- In the orbital angular momenta of quarks and gluons
- At small  $x$ :

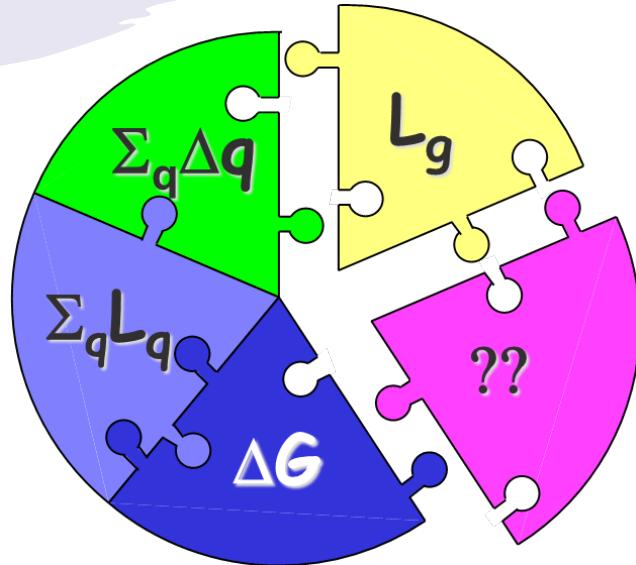
$$S_q(Q^2) = \frac{1}{2} \int_0^1 dx \Delta \Sigma(x, Q^2) \quad S_g(Q^2) = \int_0^1 dx \Delta G(x, Q^2)$$

Can't integrate down to zero, use  $x_{\min}$  instead!

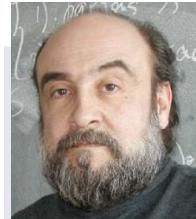
- Or all of the above!



# Current Knowledge of Proton Spin



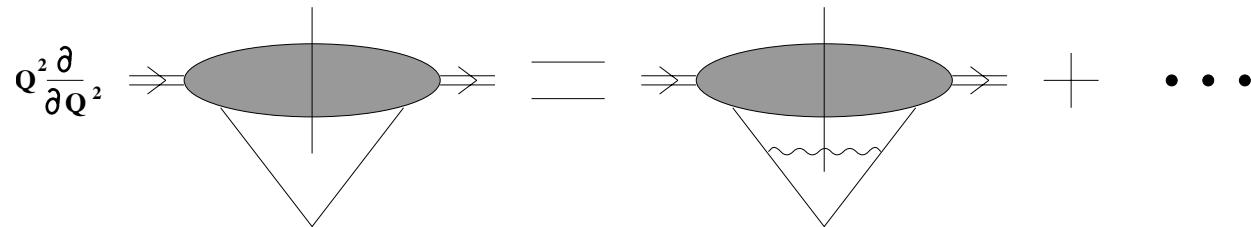
- The proton spin carried by the quarks is estimated to be (for  $0.001 < x < 1$ )  
$$S_q(Q^2 = 10 \text{ GeV}^2) \in [0.15, 0.2]$$
- The proton spin carried by the gluons is (for  $0.01 < x < 1$ , STAR+PHENIX+COMPASS +HERMES+..., analyzed by DSSV, JAM, NNPDF...)  
$$S_G(Q^2 = 10 \text{ GeV}^2) \in [0.13, 0.26]$$
- Negative  $S_G$  is also possible (JAM '22), but not likely.
- Unfortunately, the uncertainties are large. Note also that the x-ranges are limited, with more spin (positive or negative) possible at small x. 9



# The DGLAP Equation



The Dokshitzer-Gribov-Lipatov-Altarelli-Parisi (DGLAP) evolution equation is a renormalization group equation describing variation of parton distributions with  $Q^2$ . Diagrammatically we can represent it as follows:



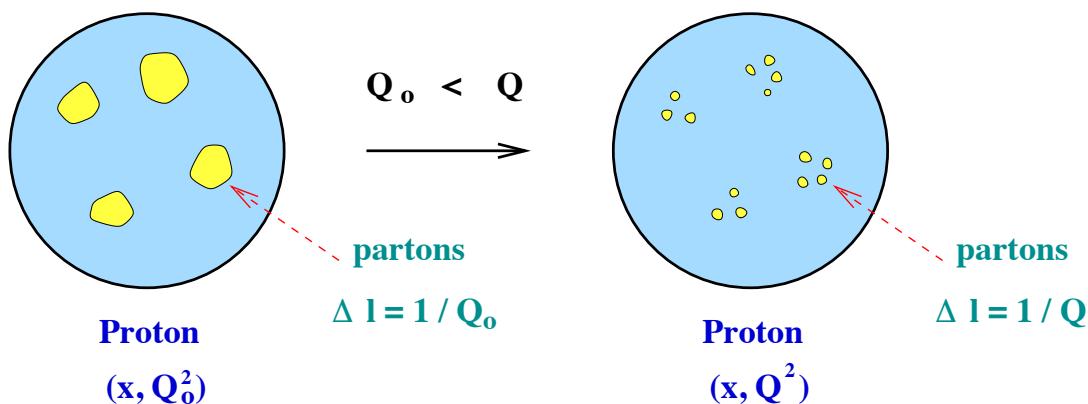
- For the helicity-dependent case the equations read

$$Q^2 \frac{\partial}{\partial Q^2} \begin{pmatrix} \Delta\Sigma(x, Q^2) \\ \Delta G(x, Q^2) \end{pmatrix} = \frac{\alpha_s(Q^2)}{2\pi} \int_x^1 \frac{dz}{z} \begin{pmatrix} \Delta P_{qq}(z) & \Delta P_{qG}(z) \\ \Delta P_{Gq}(z) & \Delta P_{GG}(z) \end{pmatrix} \begin{pmatrix} \Delta\Sigma\left(\frac{x}{z}, Q^2\right) \\ \Delta G\left(\frac{x}{z}, Q^2\right) \end{pmatrix}$$

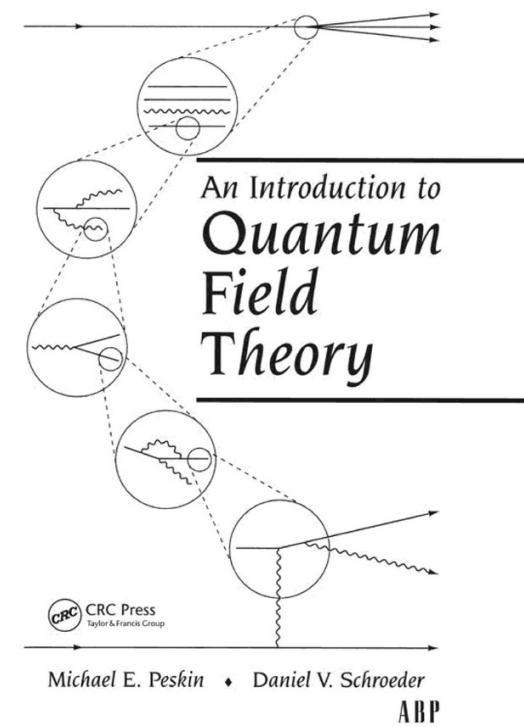
( $\Delta P_{ij}$  are the spin-dependent splitting functions).

# DGLAP Evolution: the Physical Meaning

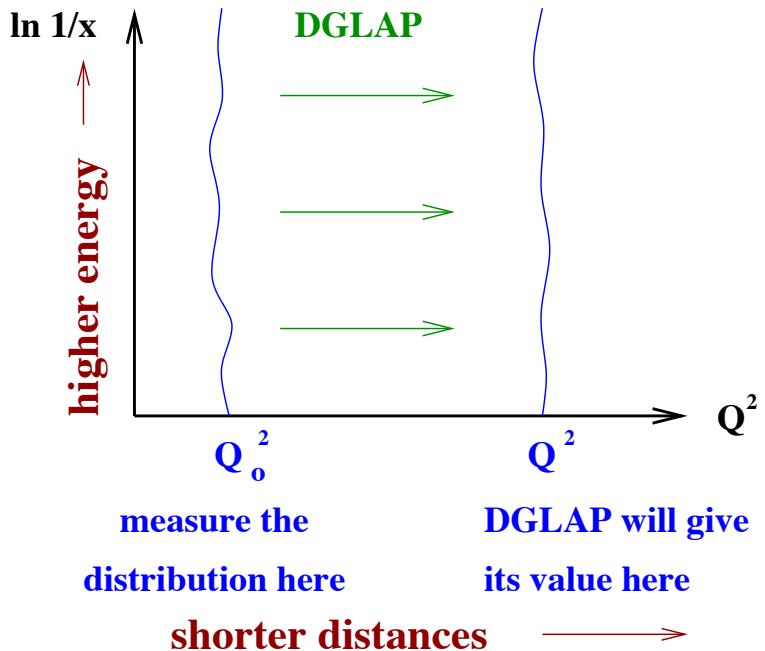
As we increase the resolution (decrease  $1/Q$ ),  
we “see” more partons:



Indeed, this RG flow is on the cover of Peskin& Schroeder:



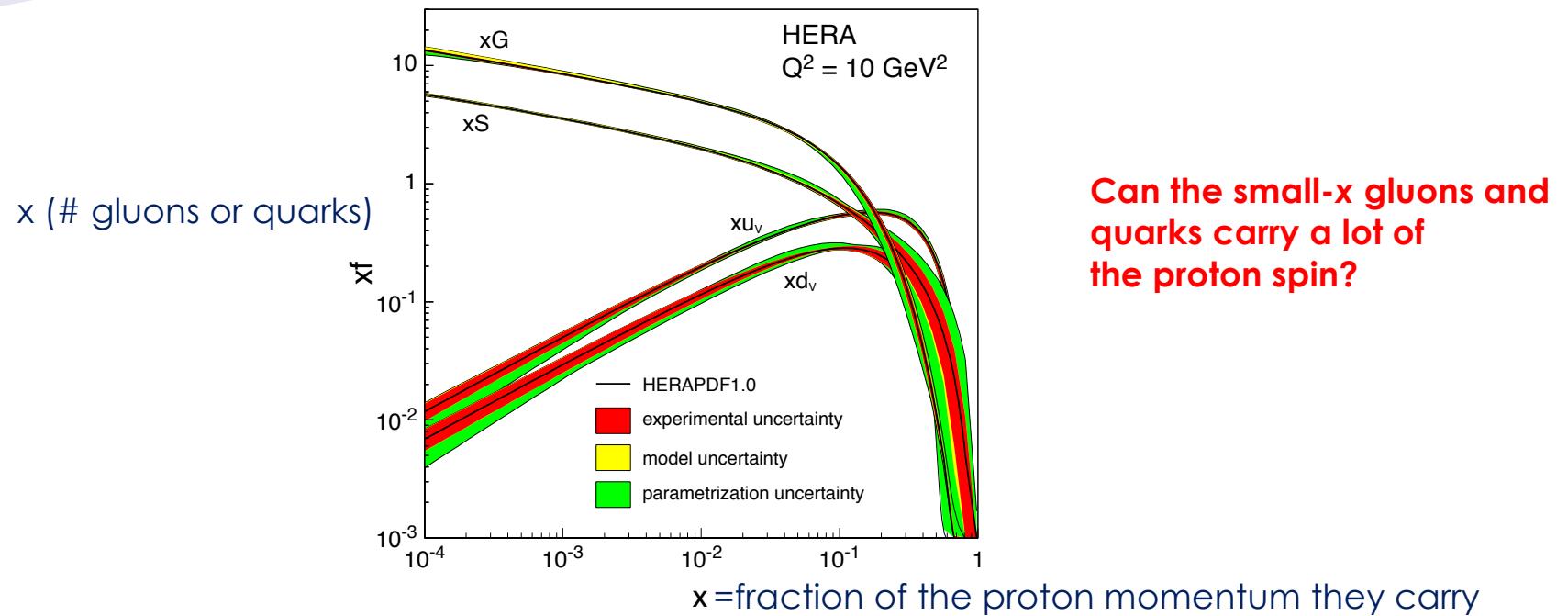
# How DGLAP works



- One still needs to measure the PDFs at the initial scale  $Q_0^2$  (at all values of  $x$ ). Then the DGLAP equation would predict the PDFs at higher  $Q^2$ .
- In practice one parametrizes the  $x$ -dependence of PDFs at  $Q_0^2$  and varies the parameters until they fit the data at all available  $Q^2$ .
- Problem/feature: DGLAP equation does not quite predict the  $x$ -dependence of PDFs. The  $x$ -dependence is strongly affected by the initial conditions at  $Q_0^2$ .
- Consequence: DGLAP **cannot predict** how much spin there is at small  $x$ !

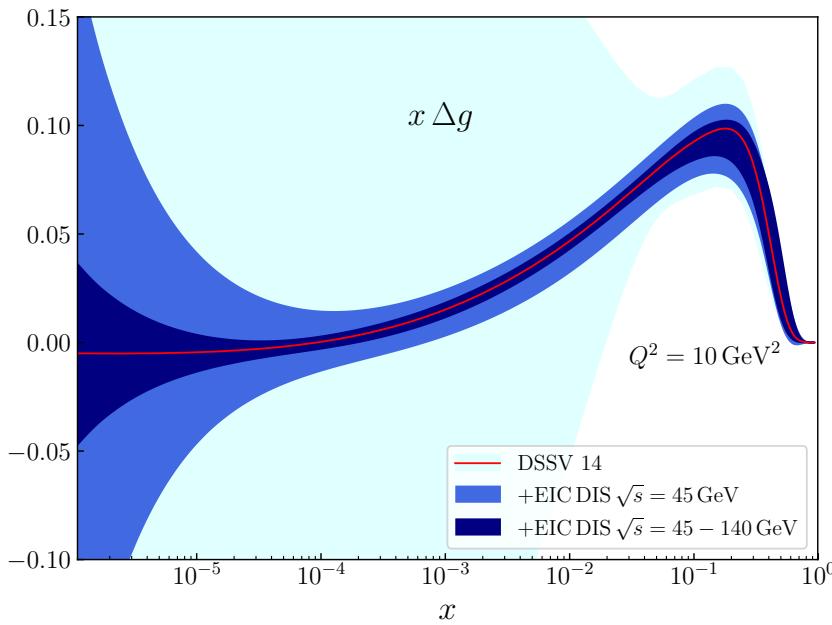
# Gluons and Quarks at Small-x

- There is a large number of small-x gluons (and sea quarks) in a proton:



- $G(x, Q^2)$ ,  $q(x, Q^2)$  = gluon and quark number densities / parton distribution functions ( $q=u,d$ , or  $S$  for sea).

# How much spin is there at small $x$ ?



- E. Aschenauer et al, 2020 (DGLAP-based helicity PDF extraction from data)
- Uncertainties are very large at small  $x$ . Note that this is  $x\Delta G$ , the uncertainties for  $\Delta G$  are  $1/x = 100\text{-}10000$  times larger! EIC will reduce them, but only where there will be data.

$$\frac{1}{2} = S_q + L_q + S_g + L_g$$

## Small-x Spin Challenge

- Can we constrain theoretically the amount of proton spin and OAM coming from small  $x$ ?
- Any existing and future experiment probes the helicity distributions and OAM down to some  $x_{\min}$ .

$$S_q(Q^2) = \frac{1}{2} \int_0^1 dx \Delta \Sigma(x, Q^2)$$

←

$$S_g(Q^2) = \int_0^1 dx \Delta G(x, Q^2)$$

←

$$L_{q+\bar{q}}(Q^2) = \int_0^1 dx L_{q+\bar{q}}(x, Q^2)$$

←

$$L_G(Q^2) = \int_0^1 dx L_G(x, Q^2)$$

←

- At very small  $x$  (for the proton), saturation sets in: that region likely carries a negligible amount of proton spin. But what happens at larger (but still small)  $x$ ?

# Philosophy of small-x approach to spin

- DGLAP equation evolves in  $Q^2$ , it does not evolve in  $x$ .
- Hence, DGLAP-based analyses (DSSV, NNPDF, standard JAM) cannot predict the  $x$ -dependence of PDFs.
- If we want to predict helicity PDFs at small  $x$ , we need a different evolution equation that evolves in  $x$ .
- Such equations were constructed by D. Pitonyak, M. Sievert, and YK, (KPS, 2015-2018) along with a recent important correction by F. Cougoulic, YK, A. Tarasov, Y. Tawabutr (KPS-CTT) in 2022 + another one by J. Borden, YK, M. Li 2024 & G. Chirilli (2021) (KPS-CTT-BCL); both works use an approach similar to the BK/JIMWLK evolution.
- Other important work on spin at small  $x$ : J. Bartels, B. Ermolaev, M. Ryskin '95-'96; Y. Hatta et al '16; R. Boussarie, Y. Hatta, F. Yuan '19. Significant related works by R. Kirschner and L. Lipatov '83; T. Altinoluk, G. Beuf et al '20, '21.

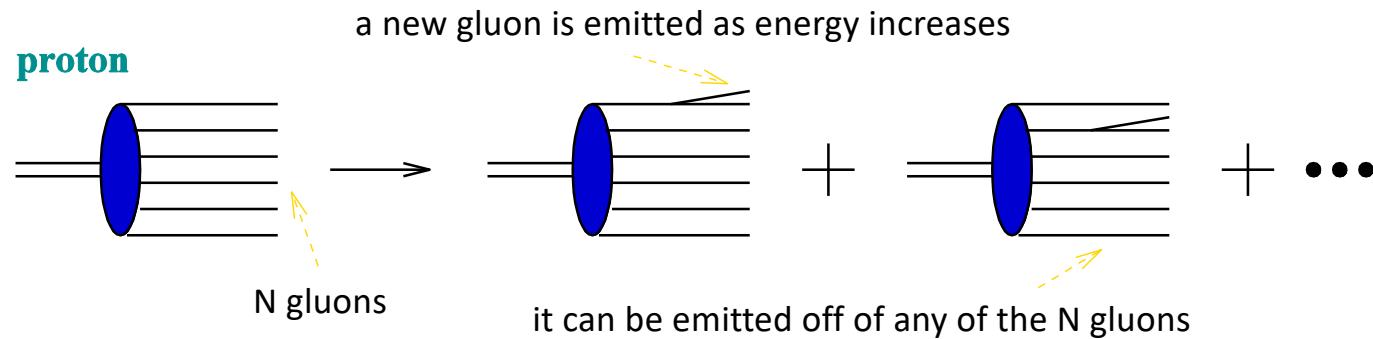


# The BFKL Equation

Balitsky, Fadin, Kuraev, Lipatov '78



Start with  $N$  gluons in the proton's wave function. As we increase the energy a new gluon can be emitted by either one of the  $N$  gluons. The number of newly emitted particles is proportional to  $N$ .

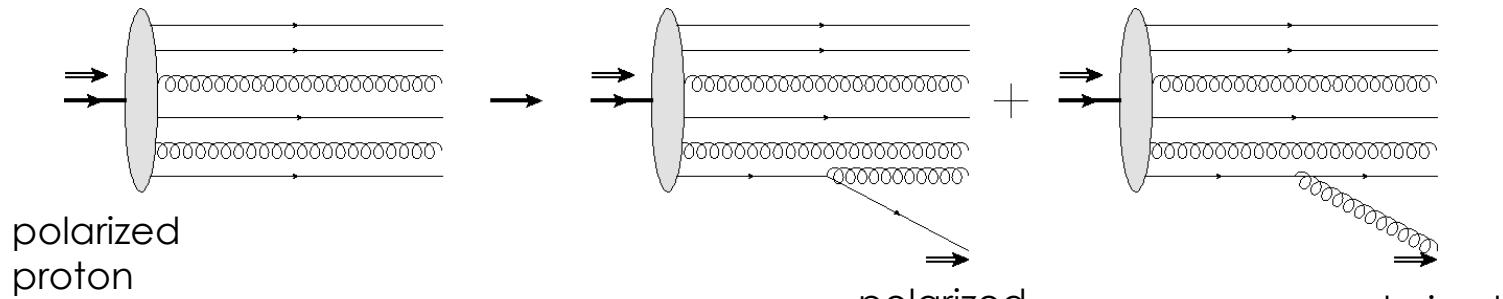


The BFKL equation for the number of gluons  $N$  reads:

$$\frac{\partial}{\partial \ln(1/x)} N(x, Q^2) = \alpha_s K_{BFKL} \otimes N(x, Q^2)$$

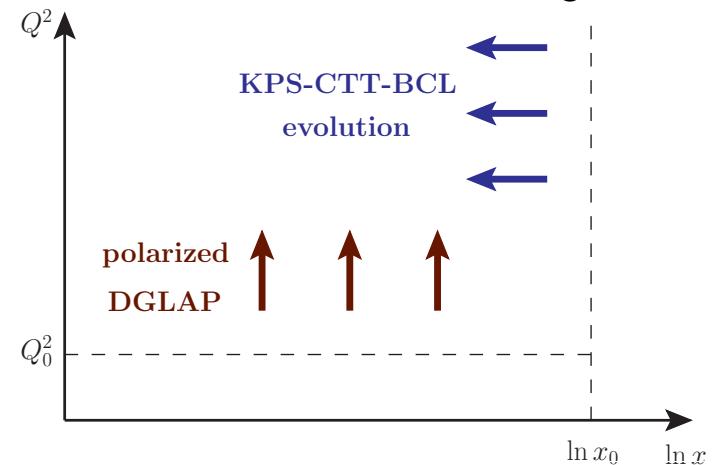
# Helicity Evolution at Small x

- To understand how much of the proton's spin is at small x one can construct a helicity analogue of the BFKL equation:



D. Pitonyak, M. Sievert, Y.K. '15-'18 (KPS);  
 F. Cougoulic, YK, A. Tarasov, Y. Tawabutr '22;  
 J. Borden, YK, M. Li '24; G. Chirilli '21.

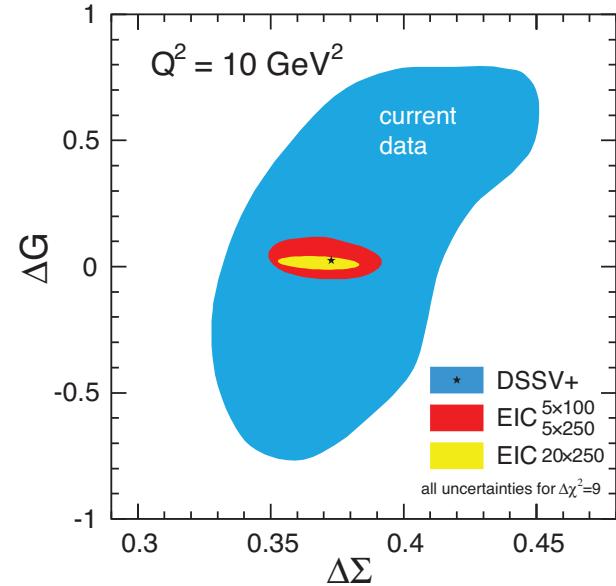
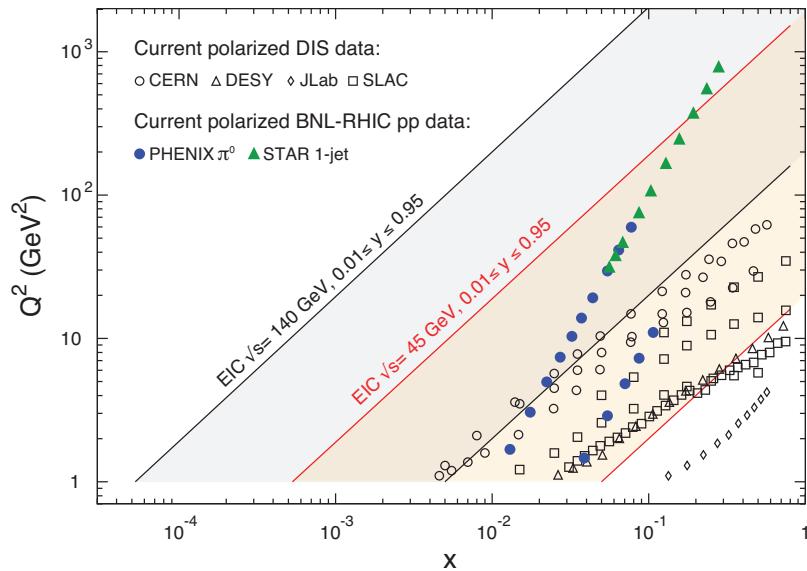
- These new helicity evolution equations are subtle, since they must keep track of both quark and gluon helicities. (Other small-x evolution equations, BFKL/BK/JIMWLK, only have gluons at leading order.)



# EIC & Spin Puzzle

Still, even with the EIC data we need to extrapolate quark and gluon spin down to smaller  $x$ .

- Parton helicity distributions are sensitive to low- $x$  physics.
- EIC would have an unprecedented low- $x$  reach for a polarized DIS experiment, allowing to pinpoint the values of quark and gluon contributions to proton's spin (EIC WP '12):



- $\Delta G$  and  $\Delta \Sigma$  are integrated over  $x$  in the  $0.001 < x < 1$  interval.



# Quark and Gluon Helicity at Small $x$

YK, D. Pitonyak, M. Sievert, arXiv:1511.06737 [hep-ph], arXiv:1610.06197 [hep-ph] (KPS);  
YK, M. Sievert, arXiv:1505.01176 [hep-ph], arXiv:1808.09010 [hep-ph];  
F. Cougoulic, YK, A. Tarasov, and Y. Tawabutr, arXiv:2204.11898 [hep-ph] (KPS-CTT);  
J. Borden, YK, M. Li, 2406.11647 [hep-ph]; G. Chirilli, 2101.12744 [hep-ph] (KPS-CTT-BCL).



# Dipole picture of high-energy scattering

# Dipole picture of DIS

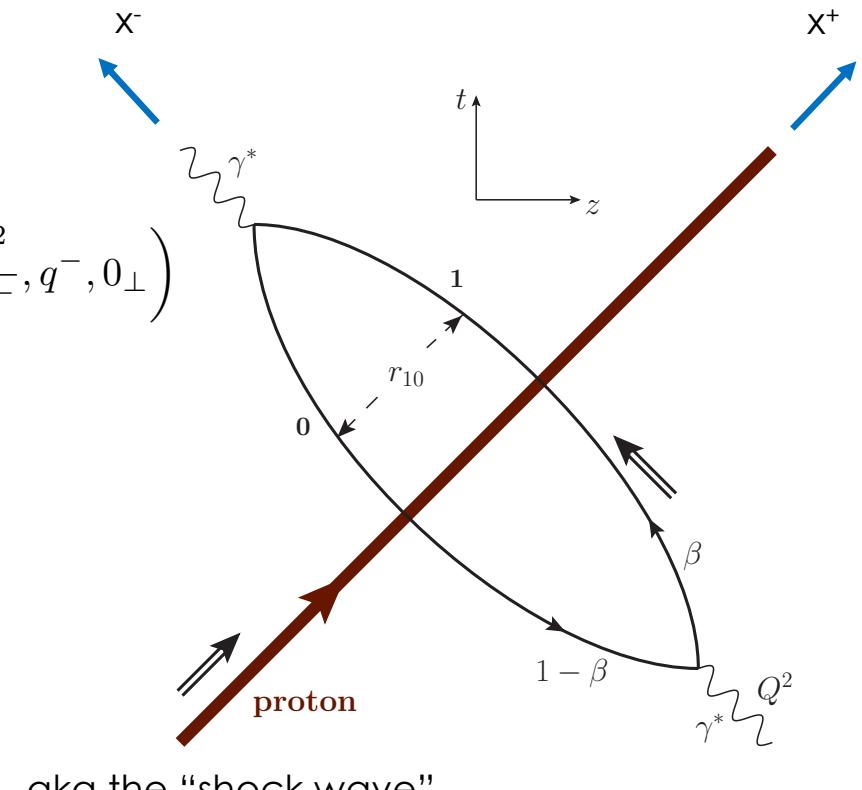
$$W^{\mu\nu} = \frac{1}{4\pi M_p} \int d^4x e^{iq \cdot x} \langle P | j^\mu(x) j^\nu(0) | P \rangle$$

Large  $q^- \rightarrow$  large  $x^-$  separation

$$e^{iq \cdot x} = e^{i\frac{Q^2}{2q^-}x^- + iq^-x^+}$$

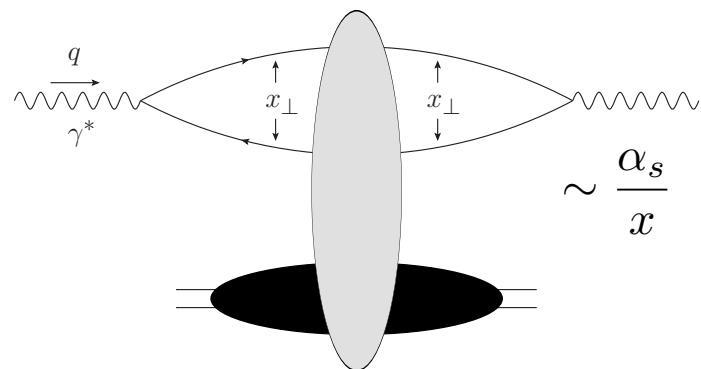
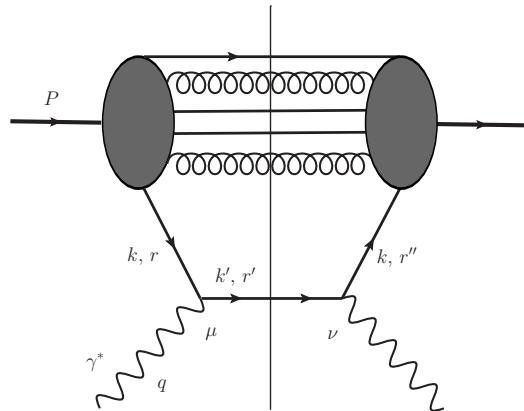
$$x^\pm = \frac{t \pm z}{\sqrt{2}}$$

$$q^\mu = \left( \frac{Q^2}{2q^-}, q^-, 0_\perp \right)$$



# Dipole picture of DIS

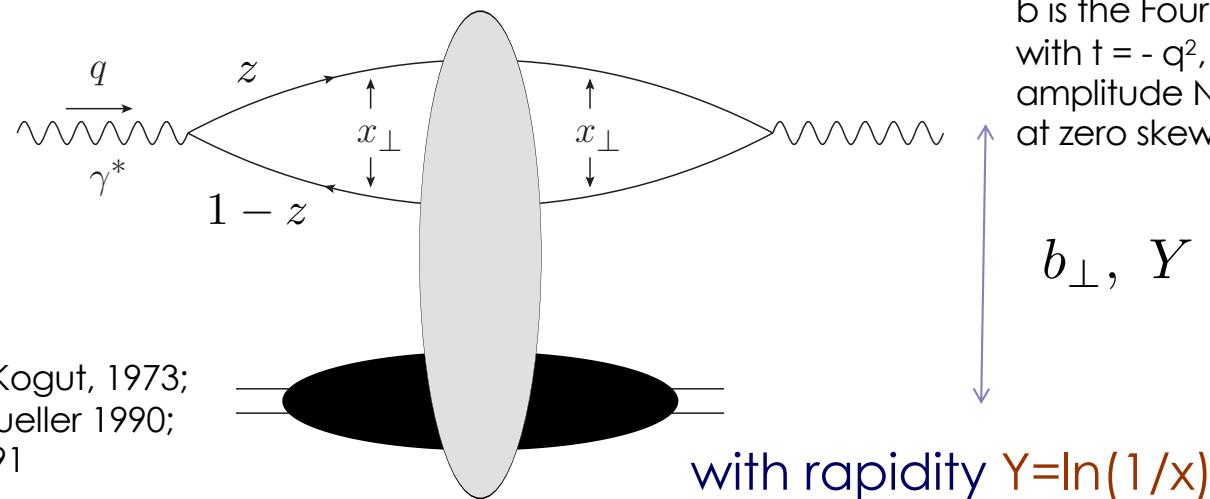
- At small  $x$ , the dominant contribution to DIS structure functions does not come from the handbag diagram.
- Instead, the dominant terms comes from the dipole picture of DIS, where the virtual photon splits into a quark-antiquark pair, which then interacts with the target.



# Dipole Amplitude

- The total DIS cross section is expressed in terms of the (Im part of the) forward quark dipole amplitude N:

$$\sigma_{tot}^{\gamma^* A} = \int \frac{d^2 x_\perp}{2\pi} d^2 b_\perp \int_0^1 \frac{dz}{z(1-z)} |\Psi^{\gamma^* \rightarrow q\bar{q}}(\vec{x}_\perp, z)|^2 N(\vec{x}_\perp, \vec{b}_\perp, Y)$$



Gribov, 1970; Bjorken and Kogut, 1973;  
Frankfurt, Strikman 1988; Mueller 1990;  
Nikolaev and Zakharov 1991

# Dipole Amplitude

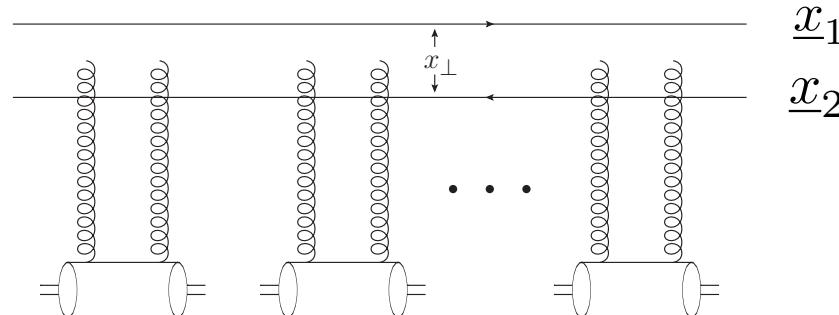
- The quark dipole amplitude is defined by

$$N(\underline{x}_1, \underline{x}_2) = 1 - \frac{1}{N_c} \langle \text{tr} [V(\underline{x}_1) V^\dagger(\underline{x}_2)] \rangle$$

- Here we use the Wilson lines along the light-cone direction

$$V_{\underline{x}} = \mathcal{P} \exp \left[ ig \int_{-\infty}^{\infty} dx^- A^+(0^+, x^-, \underline{x}) \right]$$

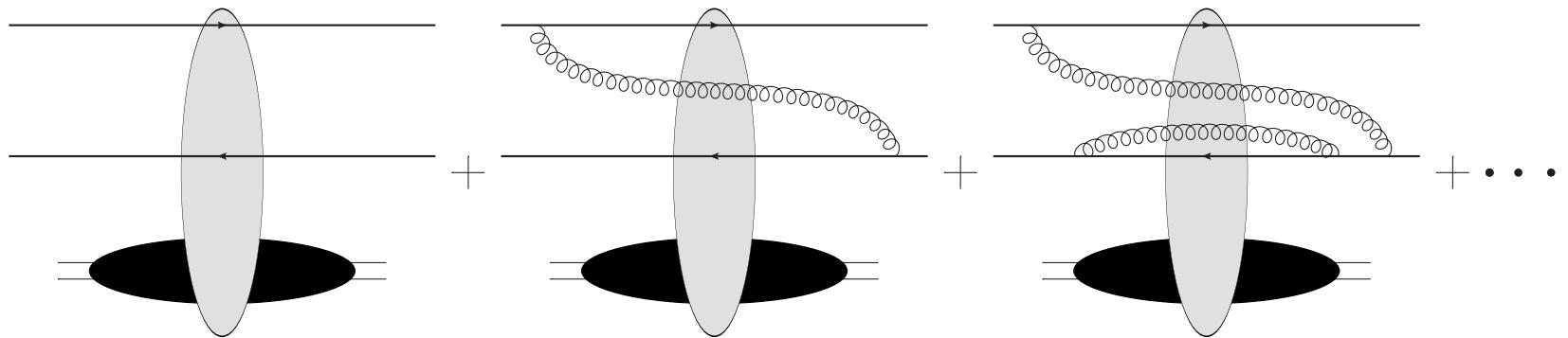
- In the classical Glauber-Mueller/McLerran-Venugopalan approach the dipole amplitude resums multiple rescatterings:



# Small-x Evolution

- Energy dependence comes in through the long-lived  $s$ -channel gluon corrections (higher Fock states):

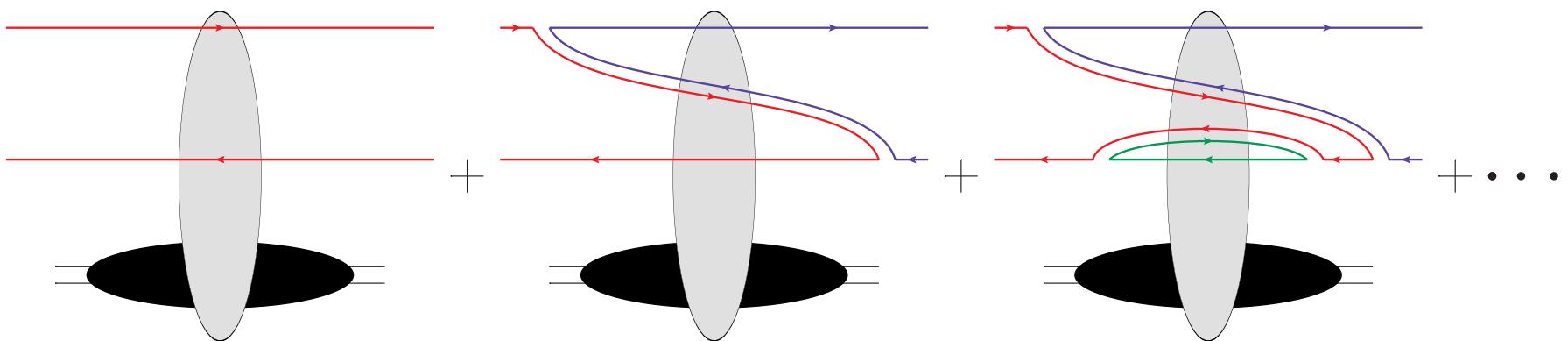
$$\alpha_s \ln s \sim \alpha_s \ln \frac{1}{x} \sim 1$$



These extra gluons bring in powers of  $\alpha_s \ln s$ , such that when  $\alpha_s \ll 1$  and  $\ln s \gg 1$  this parameter is  $\alpha_s \ln s \sim 1$  (leading logarithmic approximation, LLA).

# Small-x Evolution: Large $N_c$ Limit

- How do we resum this cascade of gluons?
- The simplification comes from the large- $N_c$  limit, where each gluon becomes a quark-antiquark pair:
$$3 \otimes \bar{3} = 1 \oplus 8 \Rightarrow N_c \otimes \bar{N}_c = 1 \oplus (N_c^2 - 1) \approx N_c^2 - 1$$
- Gluon cascade becomes a dipole cascade (each color outlines a dipole):

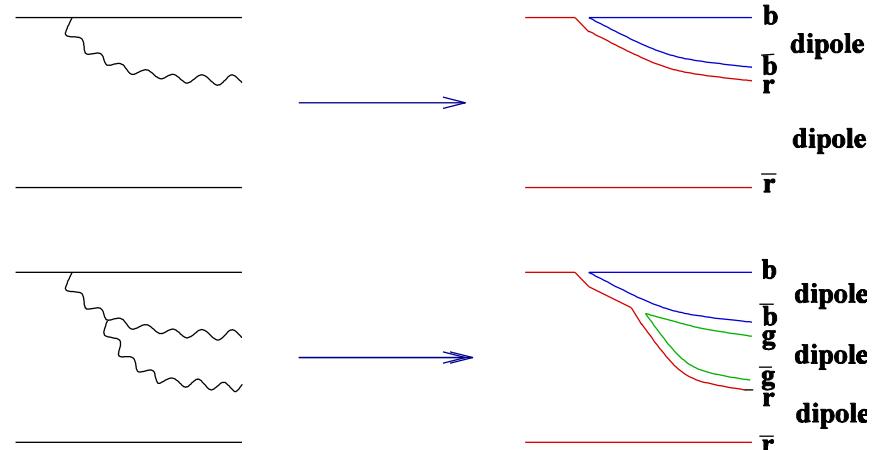


# Mueller's Dipole Model



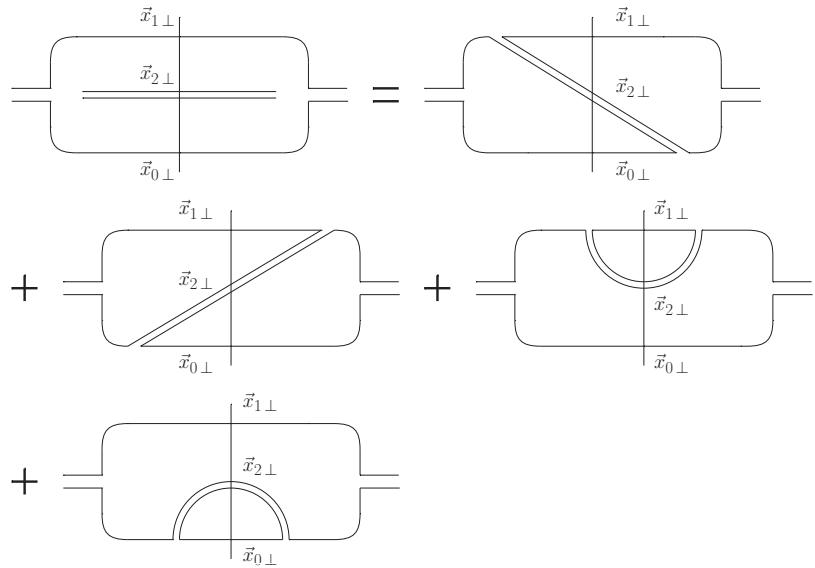
To include the quantum evolution in a dipole amplitude one can use the approach developed by A. H. Mueller in '93-'94. The goal is to resum leading logs of energy,  $\alpha \log s$ , just like for the BFKL equation.

Emission of a small- $x$  gluon taken in the large- $N_C$  limit would split the original color dipole in two:



$$3 \otimes \bar{3} = 1 \oplus 8 \quad \Rightarrow \quad N_c \otimes \bar{N}_c = 1 \oplus (N_c^2 - 1) \approx N_c^2 - 1$$

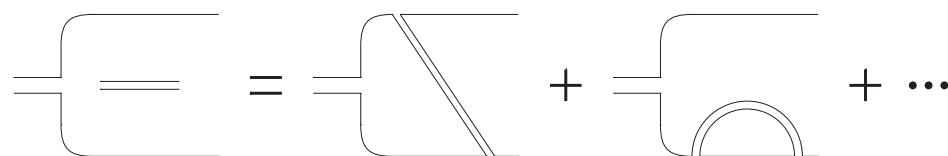
# Notation (Large-N<sub>C</sub>)



Virtual corrections in the amplitude  
(wave function)

Real emissions in the  
amplitude squared

(dashed line – all  
Glauber-Mueller exchanges  
at light-cone time =0)



# Nonlinear Evolution

To sum up the gluon cascade at large- $N_c$  we write the following equation for the dipole S-matrix:

$$Y = \ln \frac{1}{x} \sim \ln s$$

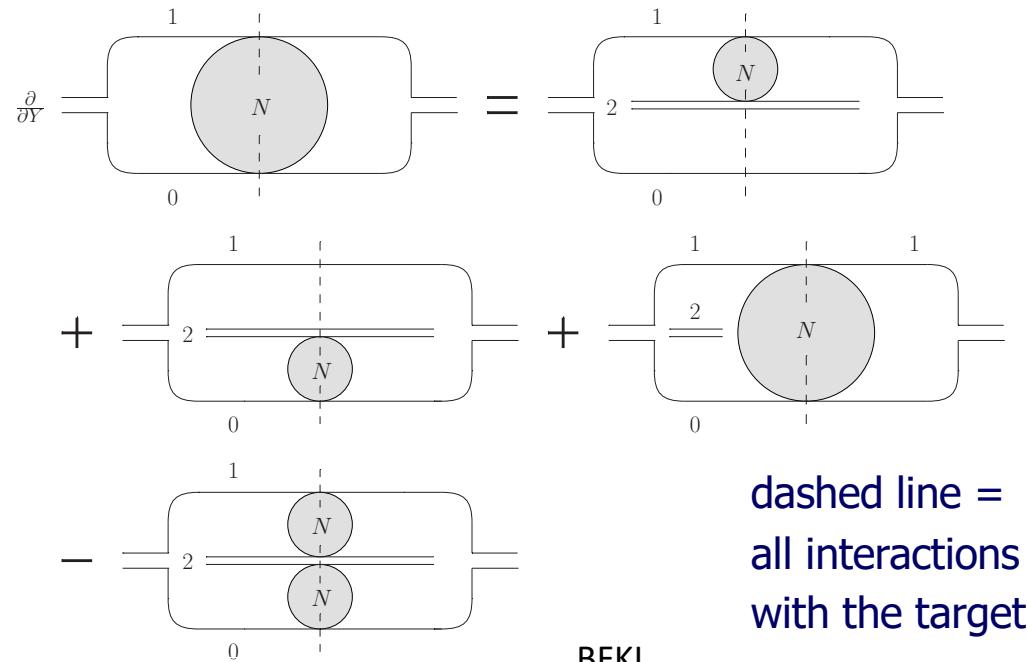
$$\frac{\partial}{\partial Y} = \text{dashed line} = \text{all interactions with the target}$$

$$\partial_Y S_{\mathbf{x}_0, \mathbf{x}_1}(Y) = \frac{\alpha_s N_c}{2\pi^2} \int d^2 x_2 \frac{x_{01}^2}{x_{02}^2 x_{21}^2} [S_{\mathbf{x}_0, \mathbf{x}_2}(Y) S_{\mathbf{x}_2, \mathbf{x}_1}(Y) - S_{\mathbf{x}_0, \mathbf{x}_1}(Y)]$$

Remembering that  $S=1 + i T = 1 - N$  where  $N = \text{Im}(T)$  we can rewrite this equation in terms of the dipole scattering amplitude  $N$ .

# Nonlinear evolution at large $N_c$

As  $N=1-S$  we write



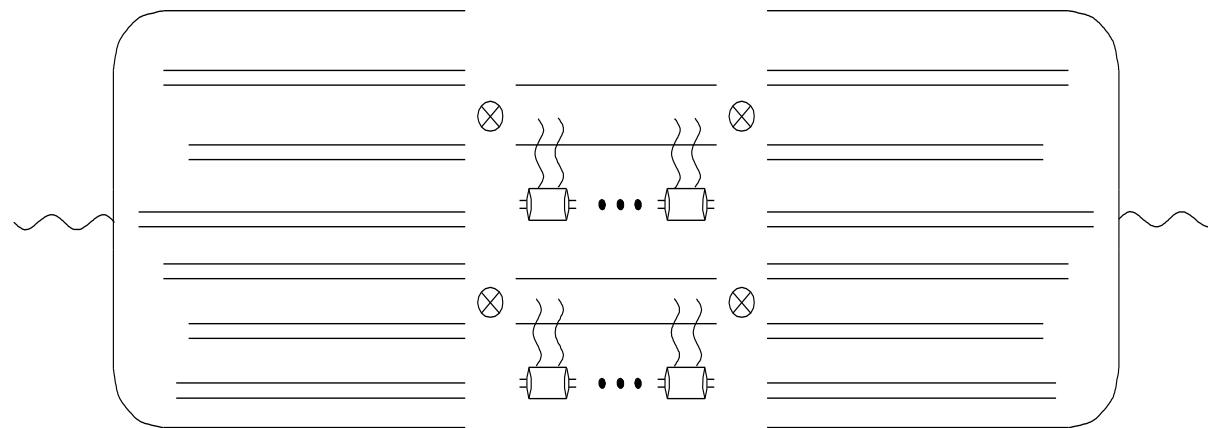
dashed line =  
all interactions  
with the target

$$\partial_Y N_{\mathbf{x}_0, \mathbf{x}_1}(Y) = \frac{\alpha_s N_c}{2\pi^2} \int d^2 x_2 \frac{x_{01}^2}{x_{02}^2 x_{21}^2} \underbrace{[N_{\mathbf{x}_0, \mathbf{x}_2}(Y) + N_{\mathbf{x}_2, \mathbf{x}_1}(Y) - N_{\mathbf{x}_0, \mathbf{x}_1}(Y) - N_{\mathbf{x}_0, \mathbf{x}_2}(Y) N_{\mathbf{x}_2, \mathbf{x}_1}(Y)]}$$

Balitsky '96, Yu.K. '99; beyond large  $N_c$ , JIMWLK evolution, 0.1% correction for the dipole amplitude

# Re-summing gluon cascade

- At large  $N_c$  the gluon cascade turns into a dipole cascade. We are resumming the dipole cascade, with each dipole interacting with the target independently:

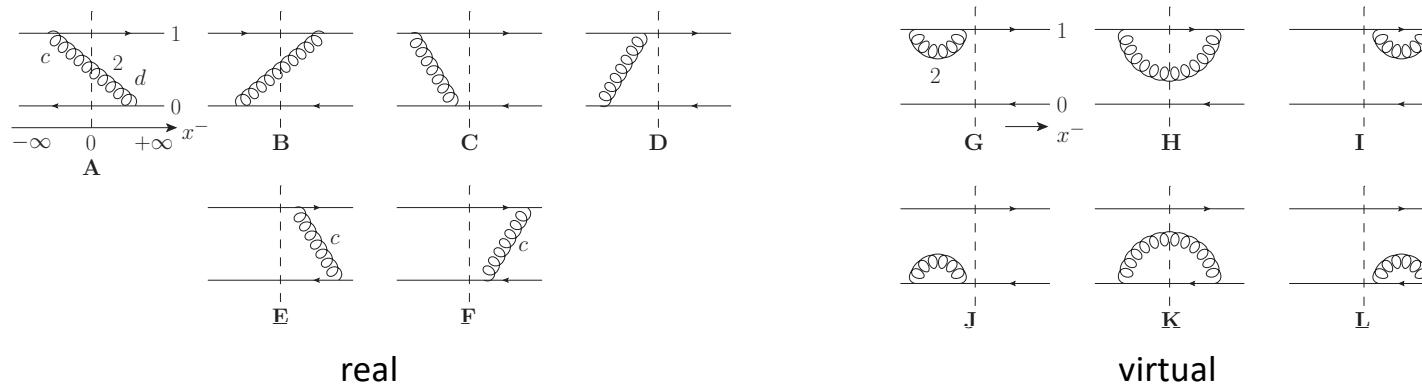


# JIMWLK: derivation outline

- As a test operator, take a pair of Wilson lines (not a dipole!):

$$\hat{O}_{\vec{x}_{1\perp}, \vec{x}_{0\perp}} = V_{\vec{x}_{1\perp}} \otimes V_{\vec{x}_{0\perp}}^\dagger$$

- Construct the evolution of this operator by summing the following familiar diagrams:



# The JIMWLK Equation

- In the end one arrive at the JIMWLK evolution equation (Jalilian-Marian—Iancu—McLerran—Weigert—Leonidov—Kovner, 1997–2002):

$$\partial_Y W_Y[\alpha] = \alpha_s \left\{ \frac{1}{2} \int d^2x_\perp d^2y_\perp \frac{\delta^2}{\delta\alpha^a(x^-, \vec{x}_\perp) \delta\alpha^b(y^-, \vec{y}_\perp)} [\eta_{\vec{x}_\perp \vec{y}_\perp}^{ab} W_Y[\alpha]] - \int d^2x_\perp \frac{\delta}{\delta\alpha^a(x^-, \vec{x}_\perp)} [\nu_{\vec{x}_\perp}^a W_Y[\alpha]] \right\}$$

with

$$\eta_{\vec{x}_{1\perp} \vec{x}_{0\perp}}^{ab} = \frac{4}{g^2 \pi^2} \int d^2x_2 \frac{\vec{x}_{21} \cdot \vec{x}_{20}}{x_{21}^2 x_{20}^2} \left[ \mathbf{1} - U_{\vec{x}_{1\perp}} U_{\vec{x}_{2\perp}}^\dagger - U_{\vec{x}_{2\perp}} U_{\vec{x}_{0\perp}}^\dagger + U_{\vec{x}_{1\perp}} U_{\vec{x}_{0\perp}}^\dagger \right]^{ab}$$

$$\nu_{\vec{x}_{1\perp}}^a = \frac{i}{g \pi^2} \int \frac{d^2x_2}{x_{21}^2} \text{Tr} [T^a U_{\vec{x}_{1\perp}} U_{\vec{x}_{2\perp}}^\dagger]$$

- Here  $U$  is the adjoint Wilson line on a light cone,

$$U_{\vec{x}_\perp} = \text{P exp} \left\{ i g \int_{-\infty}^{\infty} dx^- \mathcal{A}^+(x^+ = 0, x^-, \vec{x}_\perp) \right\}$$

# The JIMWLK Equation

- JIMWLK equation can be used to construct any- $N_c$  small- $x$  evolution of any operator made of infinite light-cone Wilson lines (in any representation), such as color-dipole, color-quadrupole, etc., and other operators.
- Since

$$\square \alpha(x^-, \vec{x}) = \rho(x^-, \vec{x})$$

JIMWLK evolution can be re-written in terms of the color density  $\rho$  in the kernel.

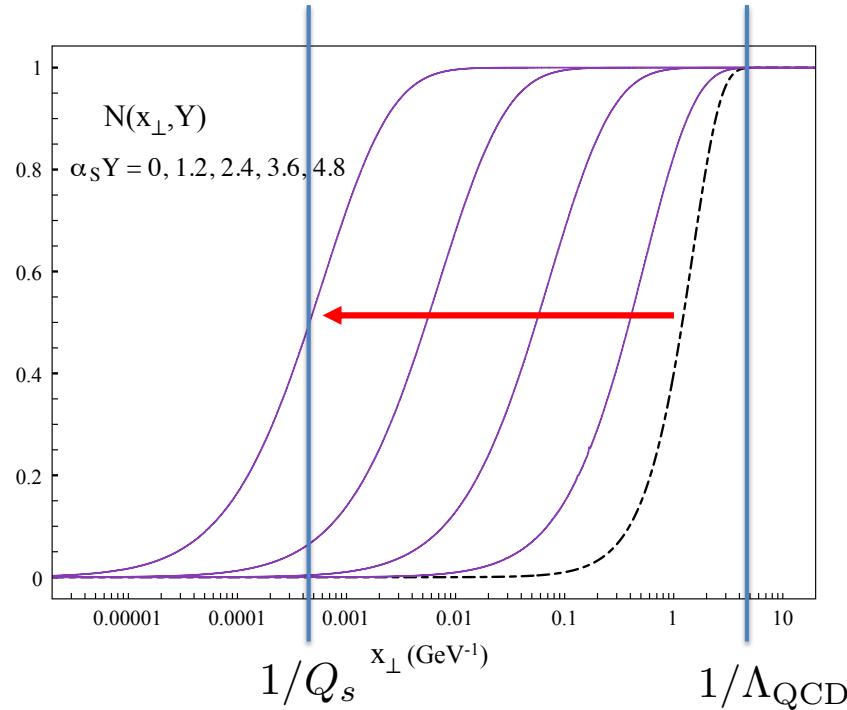
- JIMWLK approach sums up powers of  $\alpha_s Y$  and  $\alpha_s^2 A^{1/3}$

# Solution of BK equation

Unitarity:  $N \leq 1$

We conclude that

$$Q_s^2 \sim \left(\frac{1}{x}\right)^\lambda$$

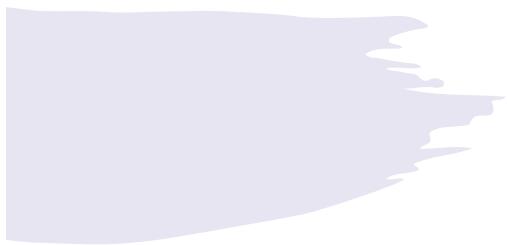


numerical solution  
by J. Albacete '03

Energy increases  $\rightarrow Q_s$  increases  
moving further away from  $\Lambda_{\text{QCD}}$

BK solution preserves the black disk limit,  $N < 1$  always  
(unlike the linear BFKL equation)

$$\sigma^{q\bar{q}A} = 2 \int d^2 b N(x_\perp, b_\perp, Y)$$



# Sub-Eikonal Operators

# Dipole picture of DIS

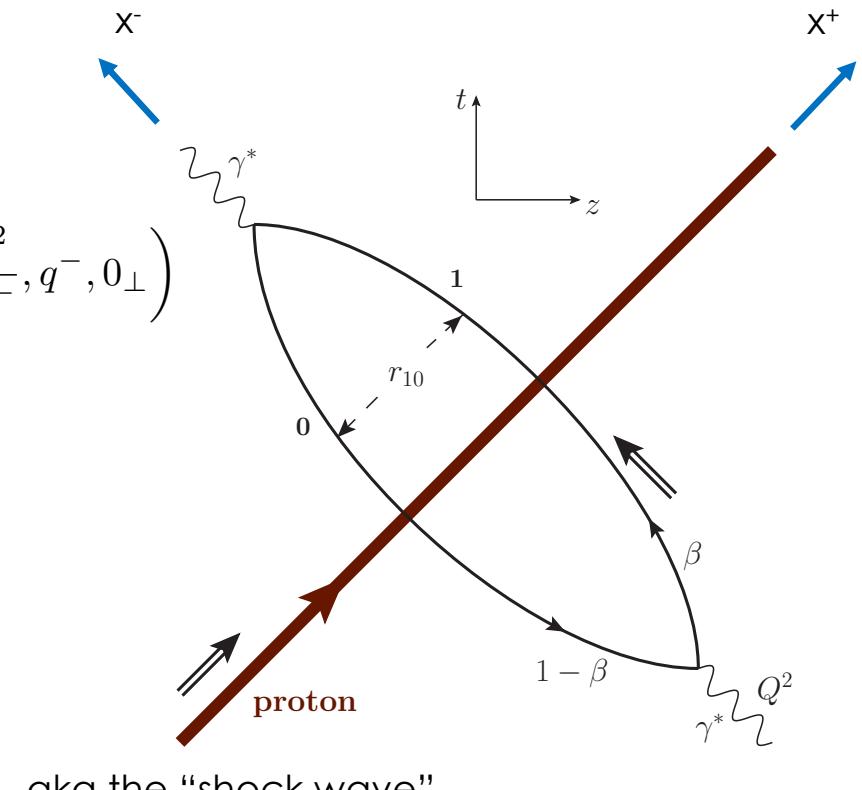
$$W^{\mu\nu} = \frac{1}{4\pi M_p} \int d^4x e^{iq \cdot x} \langle P | j^\mu(x) j^\nu(0) | P \rangle$$

Large  $q^- \rightarrow$  large  $x^-$  separation

$$e^{iq \cdot x} = e^{i\frac{Q^2}{2q^-}x^- + iq^-x^+}$$

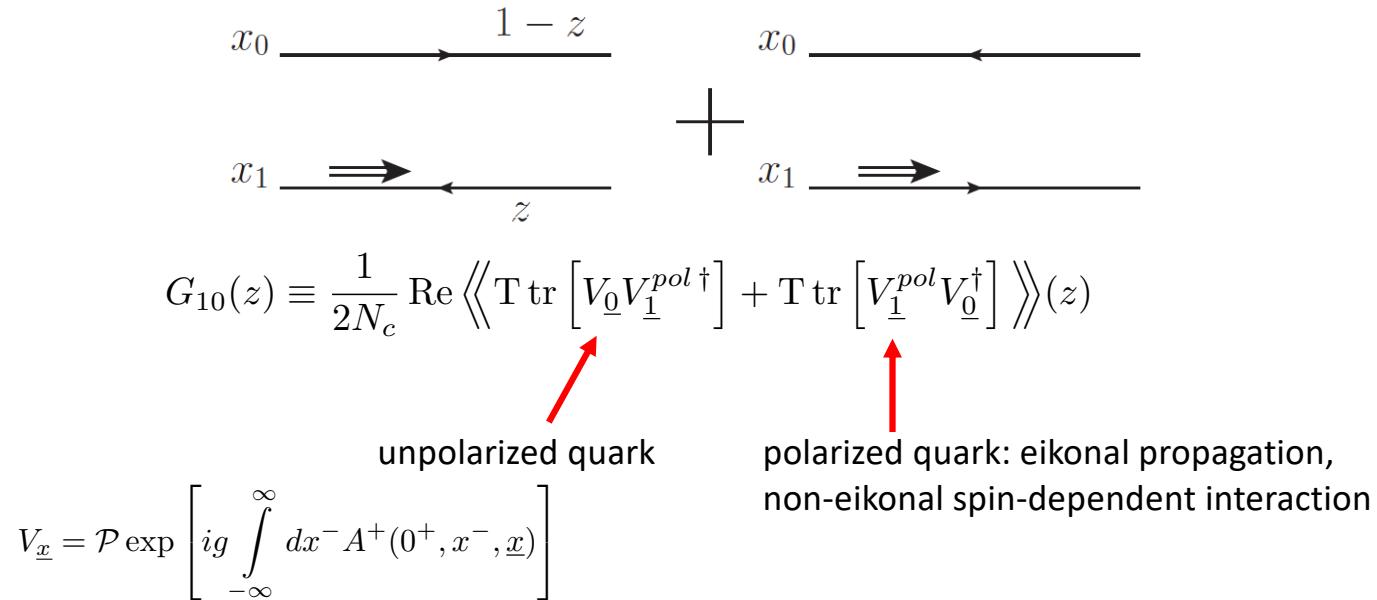
$$x^\pm = \frac{t \pm z}{\sqrt{2}}$$

$$q^\mu = \left( \frac{Q^2}{2q^-}, q^-, 0_\perp \right)$$



# Polarized Dipole: non-eikonal small-x physics

- All flavor-singlet small- $x$  helicity observables depend on “polarized dipole amplitudes”:

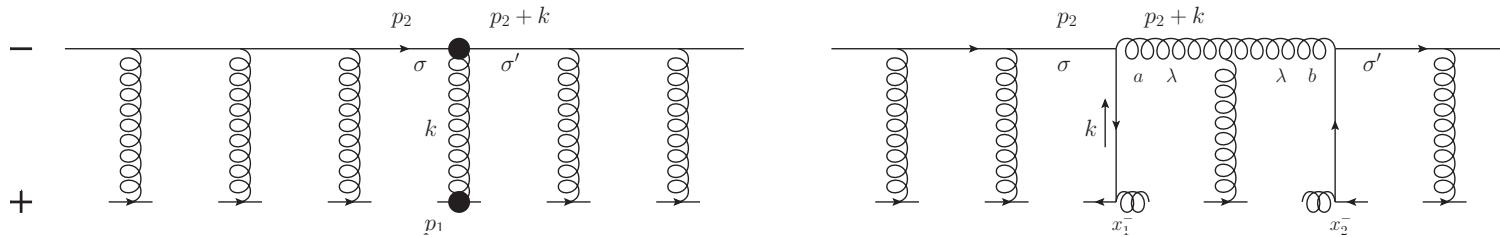


- Double brackets denote an object with energy suppression scaled out:

$$\langle\langle \mathcal{O} \rangle\rangle(z) \equiv z s \langle \mathcal{O} \rangle(z)$$

# Polarized fundamental “Wilson line”

- To complete the definition of the polarized dipole amplitude, we need to construct the definition of the polarized “Wilson line”  $V^{\text{pol}}$ , which is the leading helicity-dependent contribution for the quark scattering amplitude on a longitudinally-polarized target proton.



- At the leading order we can either exchange one non-eikonal  $t$ -channel gluon (with quark-gluon vertices denoted by blobs above) to transfer polarization between the projectile and the target, or two  $t$ -channel quarks, as shown above.
- We employ a blend of Brodsky & Lepage's LCPT and background field method-inspired operator treatment. We refer to the latter as the **light-cone operator treatment (LCOT)**.



# Notation

- Fundamental light-cone Wilson line:

$$V_{\underline{x}}[b^-, a^-] = \text{P exp} \left\{ ig \int_{a^-}^{b^-} dx^- A^+(x^-, \underline{x}) \right\}$$

- Adjoint light-cone Wilson line:

$$U_{\underline{x}}[b^-, a^-] = \mathcal{P} \exp \left[ ig \int_{a^-}^{b^-} dx^- \mathcal{A}^+(x^+ = 0, x^-, \underline{x}) \right]$$

- They sum multiple eikonal re-scatterings to all orders.

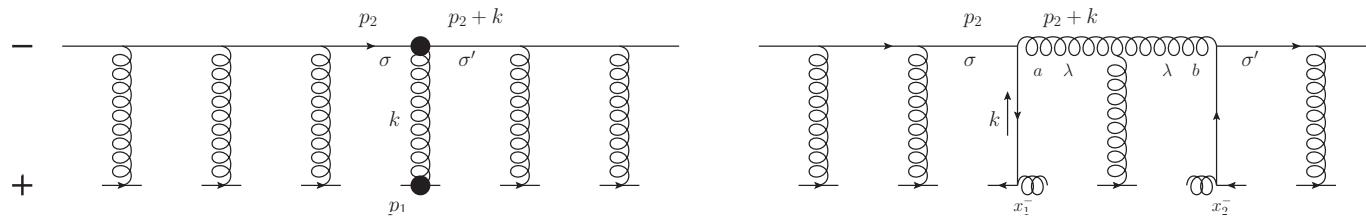
---

# Eikonality

---

- One can classify various quantities (e.g., TMDs) by their small- $x$  asymptotics.
- Eikonal behavior corresponds to (up to  $\sim \alpha_S$  corrections in the power)  $f(x, k_T^2) \sim \frac{1}{x}$   
Examples: unpolarized TMDs, Sivers function.
- Sub-eikonal behavior corresponds to  $g(x, k_T^2) \sim \left(\frac{1}{x}\right)^0 = \text{const}$   
Example: helicity TMDs.
- Sub-sub-eikonal behavior is  $h(x, k_T^2) \sim x$   
Examples: transversity, Boer-Mulder function.
- We've been calling the leading power of  $x$  "eikonality".

# Sub-eikonal quark S-matrix in background gluon and quark fields



- The full sub-eikonal S-matrix for massless quarks is (Balitsky&Tarasov '15; KPS '17; YK, Sievert, '18; Chirilli '18; Altinoluk et al, '20; YK, Santiago '21)

$$\begin{aligned}
 V_{\underline{x},\underline{y};\sigma',\sigma} &= V_{\underline{x}} \delta^2(\underline{x} - \underline{y}) \delta_{\sigma,\sigma'} \\
 &+ \frac{i P^+}{s} \int_{-\infty}^{\infty} dz^- d^2 z V_{\underline{x}}[\infty, z^-] \delta^2(\underline{x} - \underline{z}) \left[ -\delta_{\sigma,\sigma'} \overset{\leftarrow}{D}^i D^i + g \sigma \delta_{\sigma,\sigma'} F^{12} \right] (z^-, \underline{z}) V_{\underline{y}}[z^-, -\infty] \delta^2(\underline{y} - \underline{z}) \\
 &- \frac{g^2 P^+}{2s} \delta^2(\underline{x} - \underline{y}) \int_{-\infty}^{\infty} dz_1^- \int_{z_1^-}^{\infty} dz_2^- V_{\underline{x}}[\infty, z_2^-] t^b \psi_{\beta}(z_2^-, \underline{x}) U_{\underline{x}}^{ba}[z_2^-, z_1^-] [\delta_{\sigma,\sigma'} \gamma^+ - \sigma \delta_{\sigma,\sigma'} \gamma^+ \gamma^5]_{\alpha\beta} \bar{\psi}_{\alpha}(z_1^-, \underline{x}) t^a V_{\underline{x}}[z_1^-, -\infty]
 \end{aligned}$$

“helicity independent”    “helicity dependent”     $-\vec{\mu} \cdot \vec{B} = -\mu_z B_z = \mu_z F^{12}$   
 “helicity independent”    “helicity dependent”

# Longitudinal momentum transfer

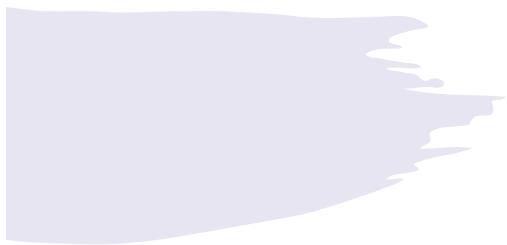
In addition to the above, there is  $x^+$  dependence in the regular Wilson line, which is usually neglected in the eikonal approximation. If we expand in  $x^+$ , we get a sub-eikonal correction

$$\begin{aligned} \int_{-\infty}^{\infty} dx^+ e^{-i(p_f^- - p_i^-)x^+} V_{\underline{x}}(x^+) &= \int_{-\infty}^{\infty} dx^+ e^{-i(p_f^- - p_i^-)x^+} [V_{\underline{x}}(0^+) + x^+ \partial^- V_{\underline{x}}(0^+) + \dots] \\ &= 2\pi \delta(p_f^- - p_i^-) V_{\underline{x}}(0^+) - 2\pi i \left[ \frac{\partial}{\partial p_f^-} \delta(p_f^- - p_i^-) \right] 2\sqrt{p_f^- p_i^-} V_{\underline{x}}^{G[3]} + \dots \end{aligned}$$

where we have introduced another “helicity-independent” sub-eikonal operator (Altinoluk et al, '20)

$$V_{\underline{x}}^{G[3]} = \frac{i g P^+}{s} \int_{-\infty}^{\infty} dx^- V_{\underline{x}}[\infty, x^-] F^{+-}(x^-, \underline{x}) V_{\underline{x}}[x^-, -\infty].$$

This operator does not contribute to small- $x$  helicity evolution at the leading order (DLA).



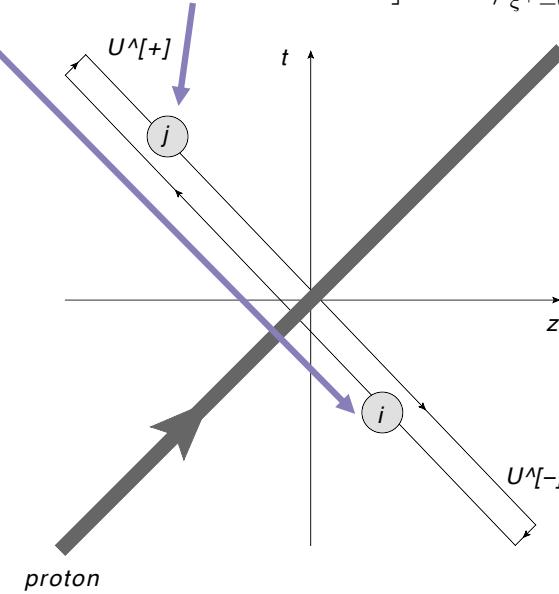
# Helicity Distributions and Observables at Small $x$

# Dipole Gluon Helicity TMD

- We start with the definition of the gluon dipole helicity TMD, corresponding to the Jaffe-Manohar PDF  $\Delta G$ ,

$$g_1^G(x, k_T^2) = \frac{-2i S_L}{x P^+} \int \frac{d\xi^- d^2\xi}{(2\pi)^3} e^{ixP^+ \xi^- - ik \cdot \xi} \left\langle P, S_L | \epsilon_T^{ij} \text{tr} \left[ F^{+i}(0) U^{[+]^\dagger}[0, \xi] F^{+j}(\xi) U^{[-]}[\xi, 0] \right] | P, S_L \right\rangle_{\xi^+=0}$$

- Here  $U^{[+]}$  and  $U^{[-]}$  are future and past-pointing Wilson line staples (hence the name ‘dipole’ TMD, F. Dominguez et al ’11 – looks like a dipole scattering on a proton):



# Gluon Helicity

- A calculation gives

$$\Delta G(x, Q^2) = \frac{2N_c}{\alpha_s \pi^2} G_2 \left( \frac{1}{Q^2}, zs = \frac{Q^2}{x} \right)$$

$$g_{1L}^{G \text{ dip}}(x, k_T^2) = \frac{N_c}{\alpha_s 2\pi^4} \int d^2 x_{10} e^{-ik \cdot x_{10}} G_2 \left( x_{10}^2, zs = \frac{Q^2}{x} \right)$$

- Here we defined a new dipole amplitude  $G_2$  (cf. Hatta et al, 2016; KPS 2017)

$$\int d^2 \left( \frac{x_1 + x_0}{2} \right) G_{10}^i(zs) = (x_{10})_\perp^i G_1(x_{10}^2, zs) + \epsilon^{ij} (x_{10})_\perp^j G_2(x_{10}^2, zs)$$

$$G_{10}^j(zs) \equiv \frac{1}{2N_c} \left\langle \left\langle \text{tr} \left[ V_0^\dagger V_1^{j \text{ G}[2]} + \left( V_1^{j \text{ G}[2]} \right)^\dagger V_0 \right] \right\rangle \right\rangle$$

What is this D-D operator? Turns out it is related to the DD operator from before.

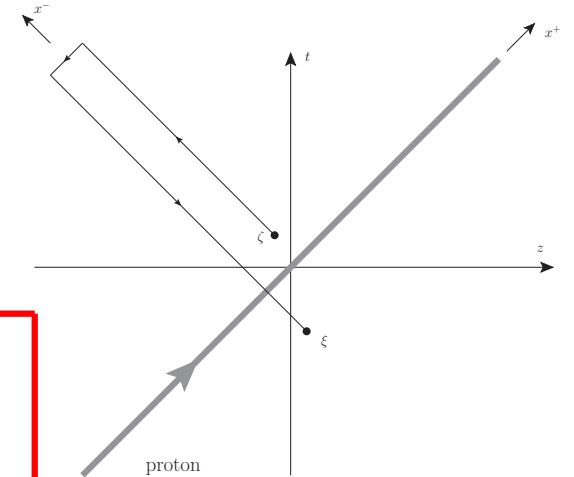
$$V_{\underline{z}}^{i \text{ G}[2]} \equiv \frac{P^+}{2s} \int_{-\infty}^{\infty} dz^- V_{\underline{z}}[\infty, z^-] \left[ D^i(z^-, \underline{z}) - \overleftarrow{D}^i(z^-, \underline{z}) \right] V_{\underline{z}}[z^-, -\infty]$$

# Quark Helicity PDF and TMD

- The flavor-singlet quark helicity PDF and TMD are

$$\Delta\Sigma(x, Q^2) = \frac{N_f}{\alpha_s \pi^2} \tilde{Q} \left( x_{10}^2 = \frac{1}{Q^2}, s = \frac{Q^2}{x} \right)$$

$$g_{1L}^S(x, k_T^2) = \frac{1}{4\pi^4 \alpha_s} \int d^2 x_{10} e^{-i\vec{k}\cdot\vec{x}_{10}} \tilde{Q}(x_{10}^2, Q^2/x)$$

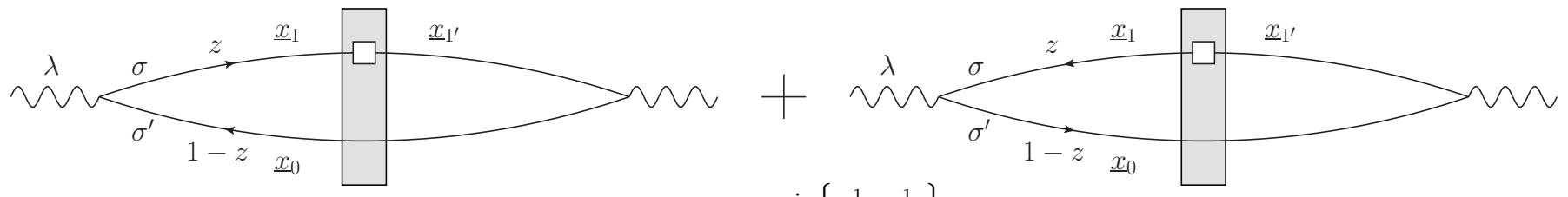


- We have defined another operator:

$$\begin{aligned} \tilde{Q}_{12}(s) \equiv & \left\langle \left\langle \frac{g^2}{16\sqrt{k^- p_2^-}} \int_{-\infty}^{\infty} dy^- \int_{-\infty}^{\infty} dz^- \left[ \bar{\psi}(y^-, \underline{x}_2) \left( \frac{1}{2} \gamma^+ \gamma^5 \right) V_2[y^-, \infty] V_1[\infty, z^-] \psi(z^-, \underline{x}_1) \right. \right. \right. \\ & \left. \left. \left. + \bar{\psi}(y^-, \underline{x}_2) \left( \frac{1}{2} \gamma^+ \gamma^5 \right) V_2[y^-, -\infty] V_1[-\infty, z^-] \psi(z^-, \underline{x}_1) + \text{c.c.} \right] \right\rangle \right\rangle (s). \end{aligned}$$

# $g_1$ structure function

- $g_1$  structure function is obtained similarly, using DIS in the dipole picture:



- One gets 
$$g_1(x, Q^2) = - \sum_f \frac{N_c Z_f^2}{4\pi^3} \int_{\Lambda^2/s}^1 \frac{dz}{z} \int_{\frac{1}{zs}}^{\min\left\{\frac{1}{zQ^2}, \frac{1}{\Lambda^2}\right\}} \frac{dx_{10}^2}{x_{10}^2} [Q(x_{10}^2, zs) + 2G_2(x_{10}^2, zs)]$$

- $G_2$  was defined before. This is the gluon admixture to quark helicity distributions.
- The dipole amplitude  $Q$  is due to  $F^{12}$  & axial current.
- The contribution of  $G_2$  comes from the DD operator in the quark S-matrix.
- Hence, the DD operator is related to the Jaffe-Manohar distribution.

# Amplitude Q

$$Q(x_{10}^2, zs) \equiv \int d^2 \left( \frac{x_0 + x_1}{2} \right) Q_{10}(zs)$$

- The amplitude Q is defined by

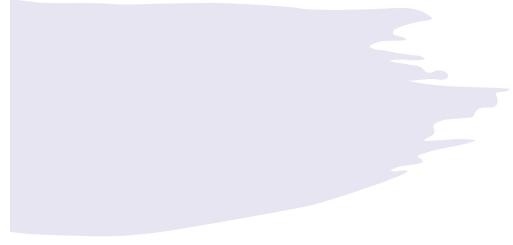
$$Q_{10}(zs) \equiv \frac{1}{2N_c} \text{Re} \left\langle \left\langle T \text{tr} \left[ V_0^\dagger V_1^{\text{pol}[1]\dagger} \right] + T \text{tr} \left[ V_1^{\text{pol}[1]} V_0^\dagger \right] \right\rangle \right\rangle$$

with  $V_{\underline{x}}^{\text{pol}[1]} = V_{\underline{x}}^G[1] + V_{\underline{x}}^q[1]$ , where

$$V_{\underline{x}}^G[1] = \frac{i g P^+}{s} \int_{-\infty}^{\infty} dx^- V_{\underline{x}}[\infty, x^-] F^{12}(x^-, \underline{x}) V_{\underline{x}}[x^-, -\infty]$$

$$V_{\underline{x}}^q[1] = \frac{g^2 P^+}{2s} \int_{-\infty}^{\infty} dx_1^- \int_{x_1^-}^{\infty} dx_2^- V_{\underline{x}}[\infty, x_2^-] t^b \psi_\beta(x_2^-, \underline{x}) U_{\underline{x}}^{ba}[x_2^-, x_1^-] [\gamma^+ \gamma^5]_{\alpha\beta} \bar{\psi}_\alpha(x_1^-, \underline{x}) t^a V_{\underline{x}}[x_1^-, -\infty]$$

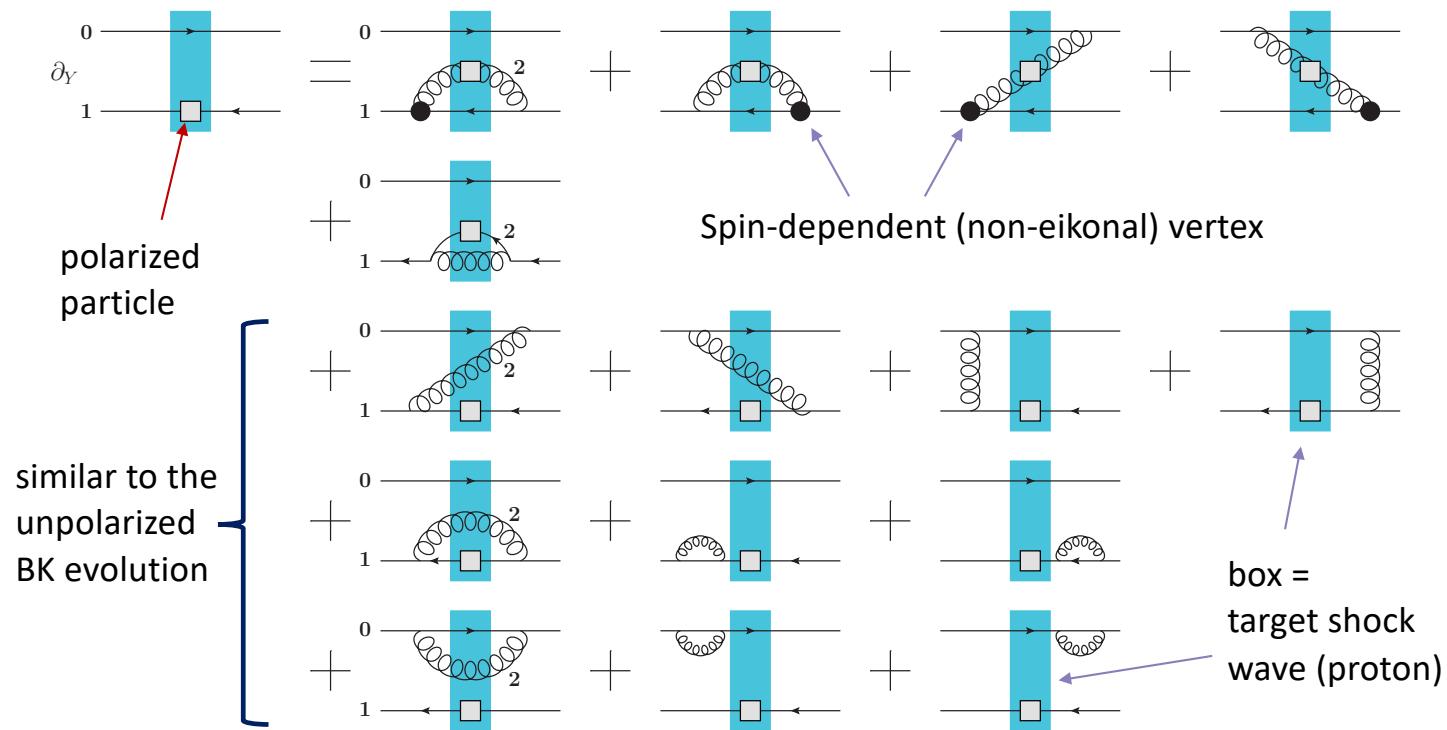
- $U$  = adjoint light-cone Wilson line.



# Helicity Evolution

# Evolution for Polarized Quark Dipole

One can construct an evolution equation for the polarized dipole:



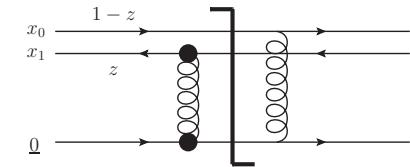
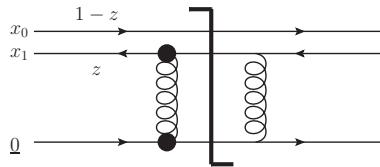
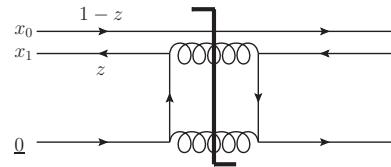
# Large $N_c$

- At large- $N_c$  the equations close ( $Q \rightarrow G$ ).
- Everything with 2 in the subscript (e.g.,  $G_2$  and  $\Gamma_2$ ) is new (CTT+K) compared to the KPS ('15-'18) papers.

$$\begin{aligned}
G(x_{10}^2, zs) &= G^{(0)}(x_{10}^2, zs) + \frac{\alpha_s N_c}{2\pi} \int_{\frac{1}{sx_{10}^2}}^z \frac{dz'}{z'} \int_{\frac{1}{z's}}^{\frac{x_{10}^2}{x_{21}^2}} \frac{dx_{21}^2}{x_{21}^2} \left[ \Gamma(x_{10}^2, x_{21}^2, z's) + 3G(x_{21}^2, z's) \right. \\
&\quad \left. + 2G_2(x_{21}^2, z's) + 2\Gamma_2(x_{10}^2, x_{21}^2, z's) \right], \\
\Gamma(x_{10}^2, x_{21}^2, z's) &= G^{(0)}(x_{10}^2, z's) + \frac{\alpha_s N_c}{2\pi} \int_{\frac{1}{sx_{10}^2}}^{z'} \frac{dz''}{z''} \int_{\frac{1}{z''s}}^{\min[x_{10}^2, x_{21}^2, \frac{z'}{z''}]} \frac{dx_{32}^2}{x_{32}^2} \left[ \Gamma(x_{10}^2, x_{32}^2, z''s) + 3G(x_{32}^2, z''s) \right. \\
&\quad \left. + 2G_2(x_{32}^2, z''s) + 2\Gamma_2(x_{10}^2, x_{32}^2, z''s) \right], \\
G_2(x_{10}^2, zs) &= G_2^{(0)}(x_{10}^2, zs) + \frac{\alpha_s N_c}{\pi} \int_{\frac{\Lambda^2}{s}}^z \frac{dz'}{z'} \int_{\max[x_{10}^2, \frac{1}{z's}]}^{\min[\frac{z}{z'}, x_{10}^2, \frac{1}{\Lambda^2}]} \frac{dx_{21}^2}{x_{21}^2} [G(x_{21}^2, z's) + 2G_2(x_{21}^2, z's)], \\
\Gamma_2(x_{10}^2, x_{21}^2, z's) &= G_2^{(0)}(x_{10}^2, z's) + \frac{\alpha_s N_c}{\pi} \int_{\frac{\Lambda^2}{s}}^{z' \frac{x_{21}^2}{x_{10}^2}} \frac{dz''}{z''} \int_{\max[x_{10}^2, \frac{1}{z''s}]}^{\min[\frac{z'}{z''} x_{21}^2, \frac{1}{\Lambda^2}]} \frac{dx_{32}^2}{x_{32}^2} [G(x_{32}^2, z''s) + 2G_2(x_{32}^2, z''s)]
\end{aligned}$$

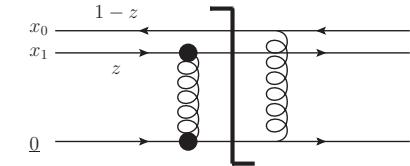
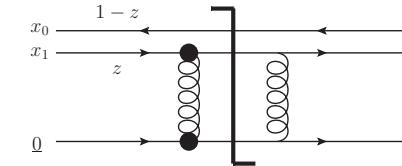
# Initial Conditions

- The initial conditions are given by the Born-level graphs



$$G^{(0)}(x_{10}^2, z) = \frac{\alpha_s^2 C_F}{N_c} \pi \left[ C_F \ln \frac{zs}{\Lambda^2} - 2 \ln(zs x_{10}^2) \right]$$

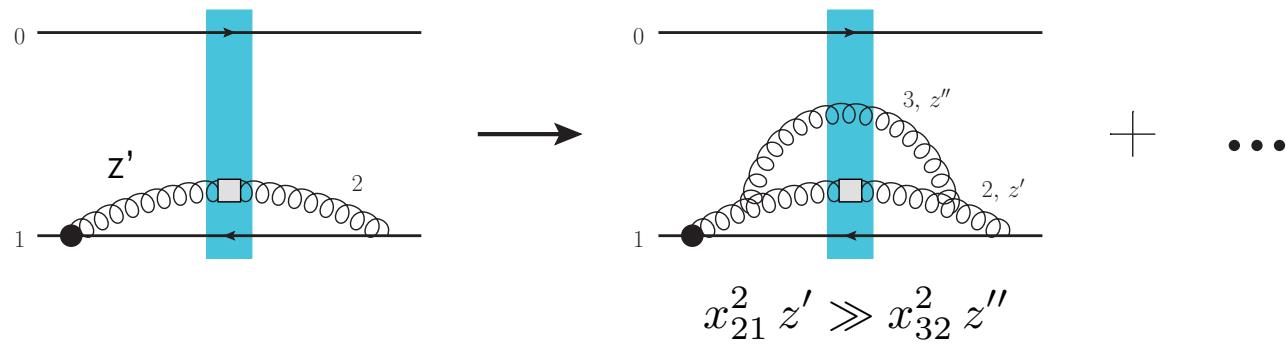
$$\Gamma^{(0)}(x_{10}^2, x_{21}^2, z) = G^{(0)}(x_{10}^2, z)$$



- Similar Born-level calculation is done for  $G_2$  and  $\Gamma_2$ .

# “Neighbor” dipole

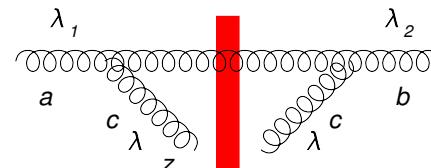
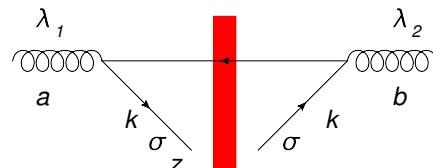
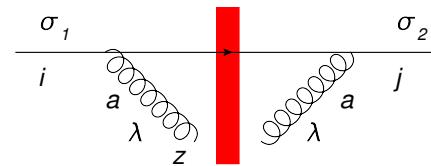
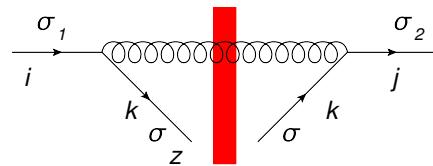
- There is a new object in the evolution equation – **the neighbor dipole amplitude**.
- This is specific for the DLA evolution. Gluon emission may happen in one dipole, but, due to lifetime ordering, may ‘know’ about another dipole:



- We denote the evolution in the neighbor dipole 02 by  $\Gamma_{02, 21}(z')$

# Helicity Evolution Ingredients

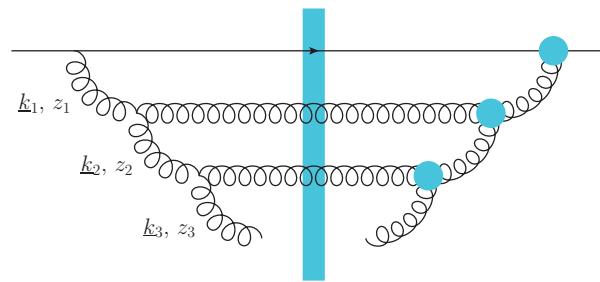
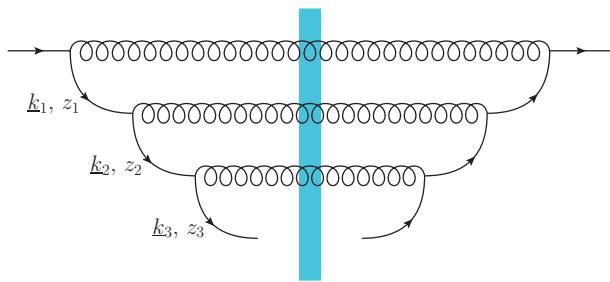
- Unlike the unpolarized evolution, in one step of helicity evolution we may emit a soft gluon or a soft quark (all in  $A^- = 0$  LC gauge of the projectile):



- When emitting gluons, one emission is eikonal, while another one is soft, but non-eikonal, as is needed to transfer polarization down the cascade/ladder.

# Helicity Evolution: Ladders

- To get an idea of how the helicity evolution works let us try iterating the splitting kernels by considering ladder diagrams (circles denote non-eikonal gluon vertices):

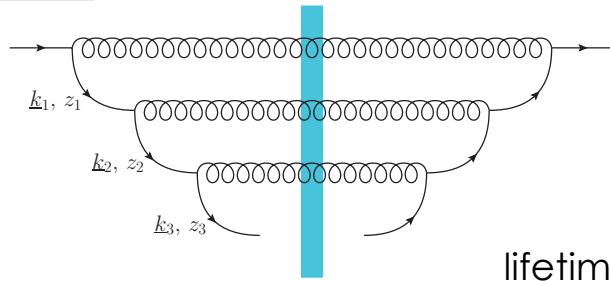


- To get the leading-energy asymptotics we need to order the longitudinal momentum fractions of the quarks and gluons (just like in the unpolarized evolution case)  $1 \gg z_1 \gg z_2 \gg z_3 \gg \dots$

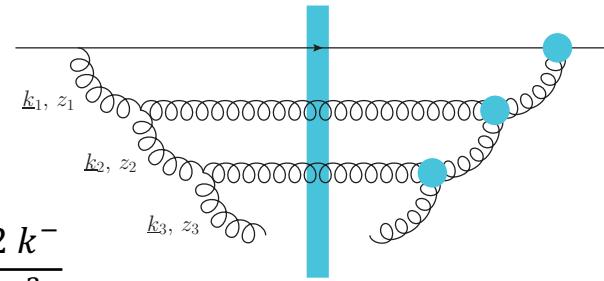
obtaining a nested integral

$$\alpha_s^3 \int_{z_i}^1 \frac{dz_1}{z_1} \int_{z_i}^{z_1} \frac{dz_2}{z_2} \int_{z_i}^{z_2} \frac{dz_3}{z_3} z_3 \otimes \frac{1}{z_3 s} \sim \frac{1}{s} \alpha_s^3 \ln^3 s$$

# Helicity Evolution: Ladders



$$\text{lifetime} = \frac{2 k^-}{k_\perp^2}$$



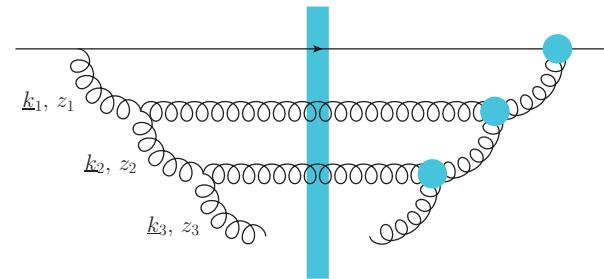
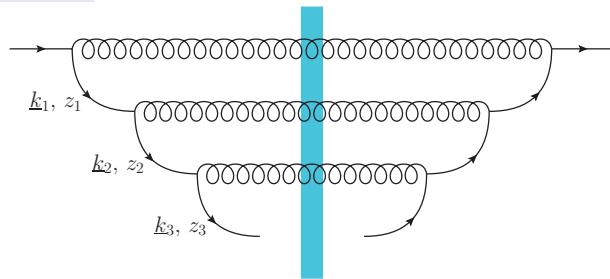
- However, these are not all the logs of energy one can get here. Transverse momentum (or distance) integrals have UV and IR divergences, which lead to logs of energy as well.
- If we order gluon/quark lifetimes as (Sudakov- $\beta$  ordering)  $\frac{2k_1^-}{k_1^2} \gg \frac{2k_2^-}{k_2^2} \gg \frac{2k_3^-}{k_3^2} \gg \dots$   
then ( $z_i = k_i^-/p^-$ ).  $\frac{k_1^2}{z_1} \ll \frac{k_2^2}{z_2} \ll \frac{k_3^2}{z_3} \ll \dots$  and  $z_1 \underline{x}_1^2 \gg z_2 \underline{x}_2^2 \gg z_3 \underline{x}_3^2 \gg \dots$

we would get integrals like

$$\int_{1/(z_n s)}^{x_{n-1,\perp}^2 z_{n-1}/z_n} \frac{dx_{n,\perp}^2}{x_{n,\perp}^2}$$

also generating logs of energy.

# Helicity Evolution: Ladders



- To summarize, the above ladder diagrams are parametrically of the order

$$\frac{1}{s} \alpha_s^3 \ln^6 s$$

- Note two features:
  - $1/s$  suppression due to non-eikonal exchange
  - two logs of energy per each power of the coupling!

# Resummation Parameter

- For helicity evolution the leading resummation parameter is different from BFKL, BK or JIMWLK, which resum powers of leading logarithms (LLA)

$$\alpha_s \ln(1/x)$$

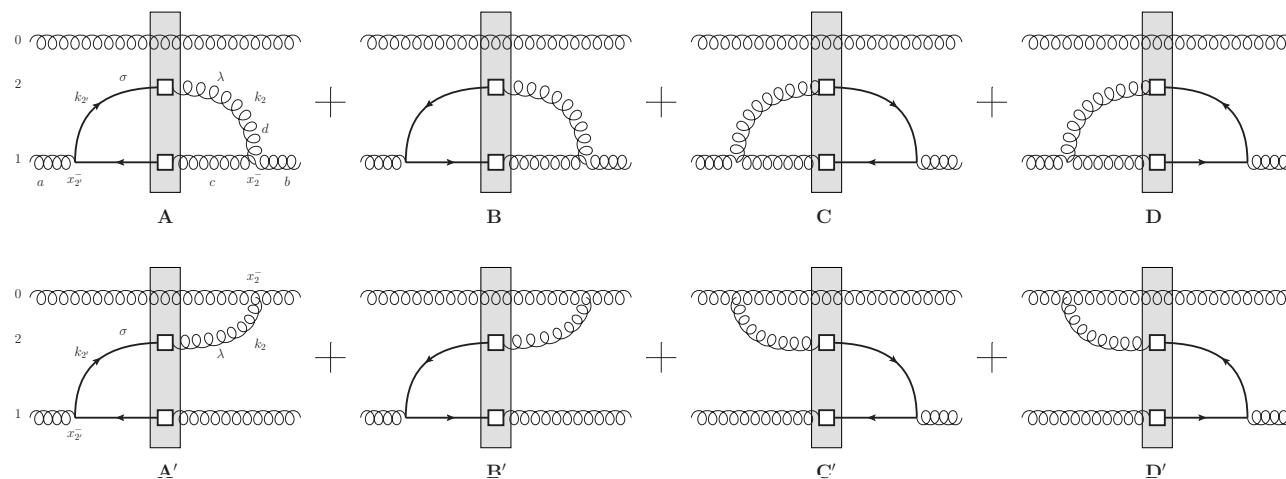
- Helicity evolution resummation parameter is double-logarithmic (DLA):

$$\alpha_s \ln^2 \frac{1}{x}$$

- The second logarithm of  $x$  arises due to transverse momentum (or transverse coordinate) integration being logarithmic both in the UV and IR.
- This was known before: Kirschner and Lipatov '83; Kirschner '84; Bartels, Ermolaev, Ryskin '95, '96; Griffiths and Ross '99; Itakura et al '03; Bartels and Lublinsky '03.

# Large- $N_c$ & $N_f$ Evolution

- Helicity evolution equations also close in the large- $N_c$ & $N_f$  (Veneziano) limit.
- To derive those, need to add the transition diagrams (J. Borden, YK, M. Li, '24):



# Large- $N_c$ & $N_f$

- Large- $N_c$ & $N_f$  limit is more realistic as it includes quarks.
- Everything with 2 in the subscript (e.g.,  $G_2$  and  $\Gamma_2$ ) is new (CTT-K) compared to the KPS ('15-'18) papers.
- Blue is the contribution of transition operators (BCL).
- These equations agree with polarized DGLAP anomalous dimensions at small  $x$  to all three known loops.

$$Q(x_{10}^2, zs) = Q^{(0)}(x_{10}^2, zs) + \frac{\alpha_s N_c}{2\pi} \int_{1/sx_{10}^2}^z \frac{dz'}{z'} \int_{1/z's}^{\min\{x_{10}^2, 1/\Lambda^2\}} \frac{dx_{21}^2}{x_{21}^2} [2\tilde{G}(x_{21}^2, z's) + 2\tilde{\Gamma}(x_{10}^2, x_{21}^2, z's)] \quad (76a)$$

$$+ Q(x_{21}^2, z's) - \bar{\Gamma}(x_{10}^2, x_{21}^2, z's) + 2\Gamma_2(x_{10}^2, x_{21}^2, z's) + 2G_2(x_{21}^2, z's)$$

$$+ \frac{\alpha_s N_c}{4\pi} \int_{\Lambda^2/s}^z \frac{dz'}{z'} \int_{1/z's}^{\min\{x_{10}^2 z'/z', 1/\Lambda^2\}} \frac{dx_{21}^2}{x_{21}^2} [Q(x_{21}^2, z's) + 2G_2(x_{21}^2, z's)],$$

$$\bar{\Gamma}(x_{10}^2, x_{21}^2, z's) = Q^{(0)}(x_{10}^2, z's) + \frac{\alpha_s N_c}{2\pi} \int_{1/sx_{10}^2}^{z'} \frac{dz''}{z''} \int_{1/z''s}^{\min\{x_{10}^2, x_{21}^2 z'/z''\}} \frac{dx_{32}^2}{x_{32}^2} [2\tilde{G}(x_{32}^2, z''s)] \quad (76b)$$

$$+ 2\tilde{\Gamma}(x_{10}^2, x_{32}^2, z''s) + Q(x_{32}^2, z''s) - \bar{\Gamma}(x_{10}^2, x_{32}^2, z''s) + 2\Gamma_2(x_{10}^2, x_{32}^2, z''s) + 2G_2(x_{32}^2, z''s)$$

$$+ \frac{\alpha_s N_c}{4\pi} \int_{\Lambda^2/s}^{z'} \frac{dz''}{z''} \int_{1/z''s}^{\min\{x_{21}^2 z'/z'', 1/\Lambda^2\}} \frac{dx_{32}^2}{x_{32}^2} [Q(x_{32}^2, z''s) + 2G_2(x_{32}^2, z''s)],$$

$$\tilde{G}(x_{10}^2, zs) = \tilde{G}^{(0)}(x_{10}^2, zs) + \frac{\alpha_s N_c}{2\pi} \int_{1/sx_{10}^2}^z \frac{dz'}{z'} \int_{1/z's}^{\min\{x_{10}^2, 1/\Lambda^2\}} \frac{dx_{21}^2}{x_{21}^2} [3\tilde{G}(x_{21}^2, z's) + \tilde{\Gamma}(x_{10}^2, x_{21}^2, z's)] \quad (76c)$$

$$+ 2G_2(x_{21}^2, z's) + \left(2 - \frac{N_f}{2N_c}\right) \Gamma_2(x_{10}^2, x_{21}^2, z's) - \frac{N_f}{4N_c} \bar{\Gamma}(x_{10}^2, x_{21}^2, z's) - \frac{N_f}{2N_c} \tilde{Q}(x_{21}^2, z's)$$

$$- \frac{\alpha_s N_f}{8\pi} \int_{\Lambda^2/s}^z \frac{dz'}{z'} \int_{\max\{x_{10}^2, 1/z's\}}^{\min\{x_{10}^2 z'/z', 1/\Lambda^2\}} \frac{dx_{21}^2}{x_{21}^2} [Q(x_{21}^2, z's) + 2G_2(x_{21}^2, z's)],$$

$$\tilde{\Gamma}(x_{10}^2, x_{21}^2, z's) = \tilde{G}^{(0)}(x_{10}^2, z's) + \frac{\alpha_s N_c}{2\pi} \int_{1/sx_{10}^2}^{z'} \frac{dz''}{z''} \int_{1/z''s}^{\min\{x_{10}^2, x_{21}^2 z'/z''\}} \frac{dx_{32}^2}{x_{32}^2} [3\tilde{G}(x_{32}^2, z''s)] \quad (76d)$$

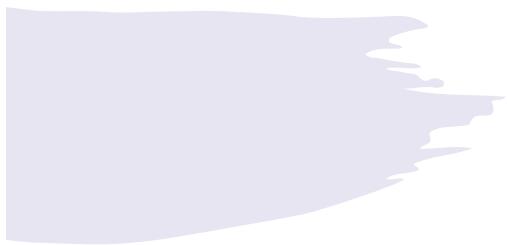
$$+ \tilde{\Gamma}(x_{10}^2, x_{32}^2, z''s) + 2G_2(x_{32}^2, z''s) + \left(2 - \frac{N_f}{2N_c}\right) \Gamma_2(x_{10}^2, x_{32}^2, z''s) - \frac{N_f}{4N_c} \bar{\Gamma}(x_{10}^2, x_{32}^2, z''s) - \frac{N_f}{2N_c} \tilde{Q}(x_{32}^2, z''s)$$

$$- \frac{\alpha_s N_f}{8\pi} \int_{\Lambda^2/s}^{z' x_{21}^2/x_{10}^2} \frac{dz''}{z''} \int_{\max\{x_{10}^2, 1/z''s\}}^{\min\{x_{21}^2 z'/z'', 1/\Lambda^2\}} \frac{dx_{32}^2}{x_{32}^2} [Q(x_{32}^2, z''s) + 2G_2(x_{32}^2, z''s)],$$

$$G_2(x_{10}^2, zs) = G_2^{(0)}(x_{10}^2, zs) + \frac{\alpha_s N_c}{\pi} \int_{\frac{\Lambda^2}{s}}^z \frac{dz'}{z'} \int_{\max\{x_{10}^2, \frac{1}{z's}\}}^{\min\{\frac{z'}{z}, x_{10}^2, 1/\Lambda^2\}} \frac{dx_{21}^2}{x_{21}^2} [\tilde{G}(x_{21}^2, z's) + 2G_2(x_{21}^2, z's)], \quad (76e)$$

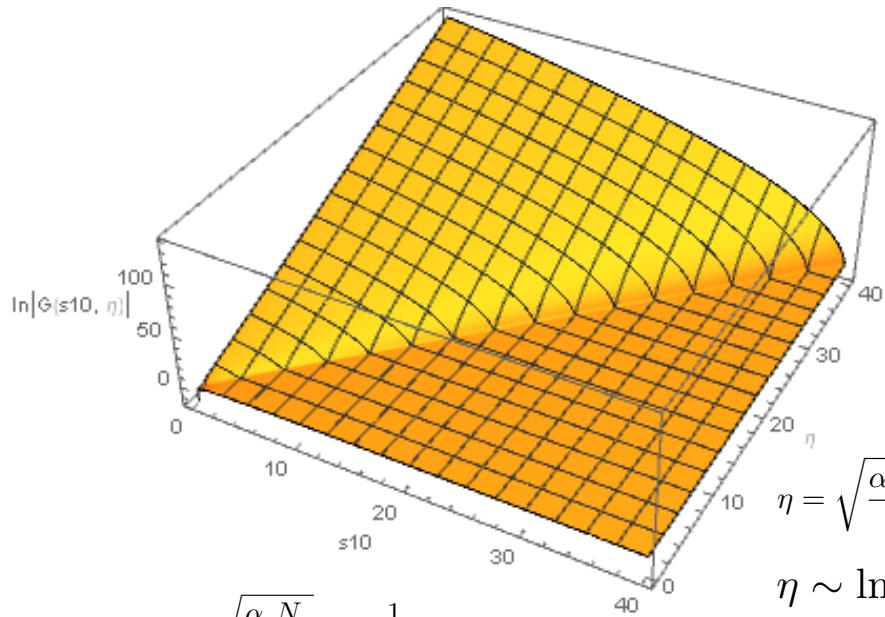
$$\Gamma_2(x_{10}^2, x_{21}^2, z's) = G_2^{(0)}(x_{10}^2, z's) + \frac{\alpha_s N_c}{\pi} \int_{\frac{\Lambda^2}{s}}^{z' x_{10}^2} \frac{dz''}{z''} \int_{\max\{x_{10}^2, \frac{1}{z''s}\}}^{\min\{\frac{z'}{z''}, x_{21}^2, 1/\Lambda^2\}} \frac{dx_{32}^2}{x_{32}^2} [\tilde{G}(x_{32}^2, z''s) + 2G_2(x_{32}^2, z''s)], \quad (76f)$$

$$\tilde{Q}(x_{10}^2, zs) = \tilde{Q}^{(0)}(x_{10}^2, zs) - \frac{\alpha_s N_c}{2\pi} \int_{\frac{\Lambda^2}{s}}^z \frac{dz'}{z'} \int_{\max\{x_{10}^2, \frac{1}{z's}\}}^{\min\{\frac{z'}{z}, x_{10}^2, 1/\Lambda^2\}} \frac{dx_{21}^2}{x_{21}^2} [Q(x_{21}^2, z's) + 2G_2(x_{21}^2, z's)]. \quad (76g)$$



# Small-x Asymptotics

# Solution of the Large- $N_c$ Equations

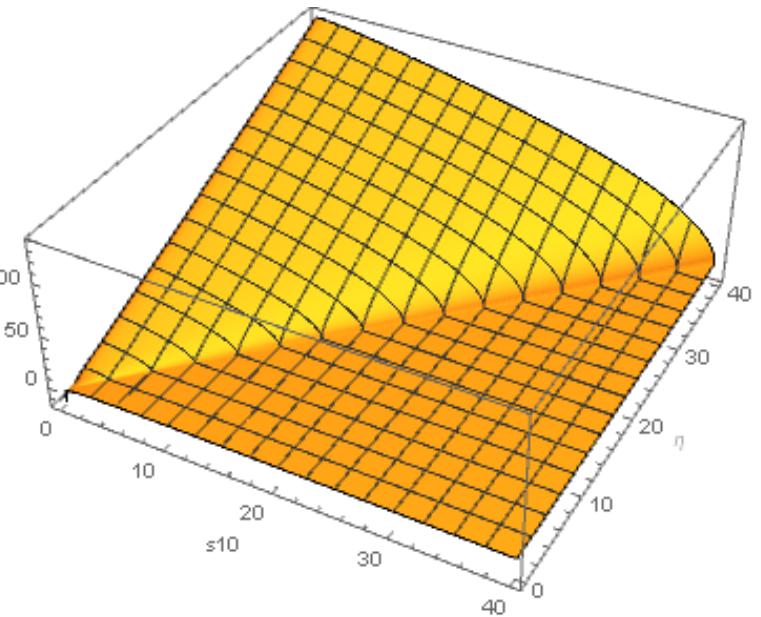


$$s_{10} = \sqrt{\frac{\alpha_s N_c}{2\pi}} \ln \frac{1}{x_{10}^2 \Lambda^2}$$

$$s_{10} \sim \ln \frac{Q^2}{\Lambda^2}$$

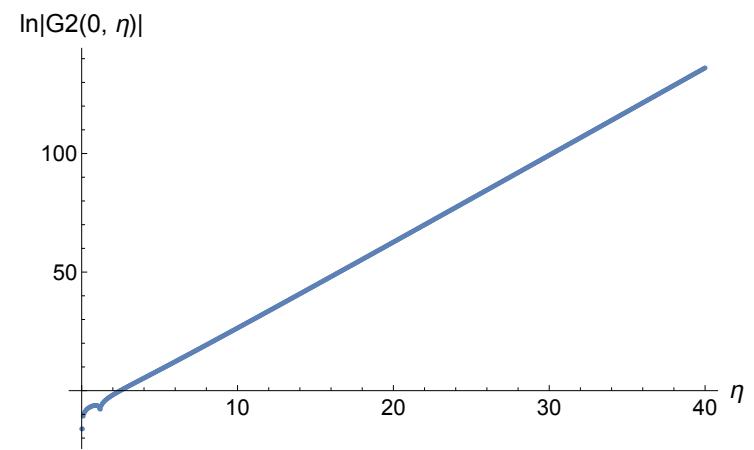
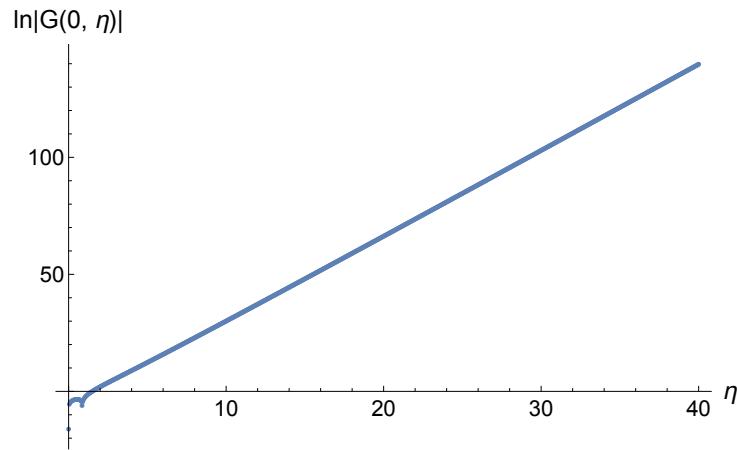
$$\begin{aligned}\eta &= \sqrt{\frac{\alpha_s N_c}{2\pi}} \ln \frac{zs}{\Lambda^2} \\ \eta &\sim \ln \frac{1}{x} + \ln \frac{Q^2}{\Lambda^2}\end{aligned}$$

The large- $N_c$  equations for  $G$  and  $G_2$  can be solved numerically (and, possibly, analytically).



# Small-x Asymptotics

- Fitting the slope of the log plots of  $G$  and  $G_2$  vs  $e$  we can read off the small- $x$  intercept (the power of  $x$ ):



F. Cougoulic, YK, A. Tarasov, Y. Tawabutr, 2022

# Small-x Asymptotics for Helicity Distributions

- The resulting small-x asymptotics for helicity PDFs and the  $g_1$  structure function at large  $N_c$  is

$$\Delta\Sigma(x, Q^2) \sim \Delta G(x, Q^2) \sim g_1(x, Q^2) \sim \left(\frac{1}{x}\right)^{3.66 \sqrt{\frac{\alpha_s N_c}{2\pi}}}$$

- This power (aka the intercept) is in complete agreement with the work by J. Bartels, B. Ermolaev, and M. Ryskin (BER, 1996) using infrared evolution equations (with the analytic intercept constructed by KPS in 2016):

$$\alpha_h = \sqrt{\frac{17 + \sqrt{97}}{2}} \sqrt{\frac{\alpha_s N_c}{2\pi}} \approx 3.664 \sqrt{\frac{\alpha_s N_c}{2\pi}}$$

- “Peace in the valley.”
- **Right?**

# Analytic Solution of the Large- $N_c$ Equations

- We want to solve these equations:

$$G(x_{10}^2, z s) = G^{(0)}(x_{10}^2, z s) + \frac{\alpha_s N_c}{2\pi} \int_{\frac{1}{s x_{10}^2}}^z \frac{dz'}{z'} \int_{\frac{1}{z' s}}^{\frac{x_{10}^2}{x_{21}^2}} \frac{dx_{21}^2}{x_{21}^2} \left[ \Gamma(x_{10}^2, x_{21}^2, z' s) + 3 G(x_{21}^2, z' s) + 2 G_2(x_{21}^2, z' s) + 2 \Gamma_2(x_{10}^2, x_{21}^2, z' s) \right],$$

$$\Gamma(x_{10}^2, x_{21}^2, z' s) = G^{(0)}(x_{10}^2, z' s) + \frac{\alpha_s N_c}{2\pi} \int_{\frac{1}{s x_{10}^2}}^{z'} \frac{dz''}{z''} \int_{\frac{1}{z'' s}}^{\min[x_{10}^2, x_{21}^2, \frac{z''}{s}]} \frac{dx_{32}^2}{x_{32}^2} \left[ \Gamma(x_{10}^2, x_{32}^2, z'' s) + 3 G(x_{32}^2, z'' s) + 2 G_2(x_{32}^2, z'' s) + 2 \Gamma_2(x_{10}^2, x_{32}^2, z'' s) \right],$$

$$G_2(x_{10}^2, z s) = G_2^{(0)}(x_{10}^2, z s) + \frac{\alpha_s N_c}{\pi} \int_{\frac{\Lambda^2}{s}}^z \frac{dz'}{z'} \int_{\max[x_{10}^2, \frac{1}{z' s}]}^{\min[\frac{z'}{s}, x_{10}^2, \frac{1}{\Lambda^2}]} \frac{dx_{21}^2}{x_{21}^2} [G(x_{21}^2, z' s) + 2 G_2(x_{21}^2, z' s)],$$

$$\Gamma_2(x_{10}^2, x_{21}^2, z' s) = G_2^{(0)}(x_{10}^2, z' s) + \frac{\alpha_s N_c}{\pi} \int_{\frac{\Lambda^2}{s}}^{z' \frac{x_{21}^2}{x_{10}^2}} \frac{dz''}{z''} \int_{\max[x_{10}^2, \frac{1}{z'' s}]}^{\min[\frac{z''}{s}, x_{21}^2, \frac{1}{\Lambda^2}]} \frac{dx_{32}^2}{x_{32}^2} [G(x_{32}^2, z'' s) + 2 G_2(x_{32}^2, z'' s)]$$

# Analytic Solution of the Large- $N_c$ Equations

- The strategy is to use the double Laplace transform,

$$\bar{\alpha}_s \equiv \frac{\alpha_s N_c}{2\pi}$$

$$G_2(x_{10}^2, zs) = \int \frac{d\omega}{2\pi i} \int \frac{d\gamma}{2\pi i} e^{\omega \ln(zsx_{10}^2) + \gamma \ln\left(\frac{1}{x_{10}^2 \Lambda^2}\right)} G_{2\omega\gamma}$$

- One gets the expressions for all the other dipole amplitudes this way, for instance

$$G(x_{10}^2, zs) = \int \frac{d\omega}{2\pi i} \int \frac{d\gamma}{2\pi i} e^{\omega \ln(zsx_{10}^2) + \gamma \ln\left(\frac{1}{x_{10}^2 \Lambda^2}\right)} \left[ \frac{\omega\gamma}{2\bar{\alpha}_s} \left( G_{2\omega\gamma} - G_{2\omega\gamma}^{(0)} \right) - 2G_{2\omega\gamma} \right]$$

- Neighbor amplitudes involve several different double Laplace transforms:

$$\Gamma_2(x_{10}^2, x_{21}^2, z's) = \int \frac{d\omega}{2\pi i} \int \frac{d\gamma}{2\pi i} \left[ e^{\omega \ln(z'sx_{21}^2) + \gamma \ln\left(\frac{1}{x_{10}^2 \Lambda^2}\right)} \left( G_{2\omega\gamma} - G_{2\omega\gamma}^{(0)} \right) + e^{\omega \ln(z'sx_{10}^2) + \gamma \ln\left(\frac{1}{x_{10}^2 \Lambda^2}\right)} G_{2\omega\gamma}^{(0)} \right]$$

# Analytic Solution of the Large-N<sub>C</sub> Equations

- In the end, all the amplitudes in the double-Laplace space can be expressed in terms of the initial conditions/inhomogeneous terms, e.g.,

$$G_{2\omega\gamma} = G_{2\omega\gamma}^{(0)} + \frac{\bar{\alpha}_s}{\omega(\gamma - \gamma_\omega^-)(\gamma - \gamma_\omega^+)} \left[ 2(\gamma - \delta_\omega^+) \left( G_{\delta_\omega^+\gamma}^{(0)} + 2G_{2\delta_\omega^+\gamma}^{(0)} \right) - 2(\gamma_\omega^+ - \delta_\omega^+) \left( G_{\delta_\omega^+\gamma_\omega^+}^{(0)} + 2G_{2\delta_\omega^+\gamma_\omega^+}^{(0)} \right) + 8\delta_\omega^- \left( G_{2\omega\gamma}^{(0)} - G_{2\omega\gamma_\omega^+}^{(0)} \right) \right]$$

with

$$\delta_\omega^\pm = \frac{\omega}{2} \left[ 1 \pm \sqrt{1 - \frac{4\bar{\alpha}_s}{\omega^2}} \right] \quad \gamma_\omega^\pm = \frac{\omega}{2} \left[ 1 \pm \sqrt{1 - \frac{16\bar{\alpha}_s}{\omega^2}} \sqrt{1 - \frac{4\bar{\alpha}_s}{\omega^2}} \right]$$

- More details in J. Borden, YK, 2304.06161 [hep-ph].

# Small-x Asymptotics for Helicity Distributions

- Let's take a closer look at the anomalous dimension:

$$\Delta G(x, Q^2) = \int \frac{d\omega}{2\pi i} \left(\frac{1}{x}\right)^\omega \left(\frac{Q^2}{\Lambda^2}\right)^{\Delta\gamma_{GG}(\omega)} \Delta G_\omega(\Lambda^2)$$

- In the pure-glue case, Bartels, Ermolaev and Ryskin's (BER) anomalous dimension can be found analytically. It reads (KPS '16)

$$\Delta\gamma_{GG}^{BER}(\omega) = \frac{1}{2} \left[ \omega - \sqrt{\omega^2 - 16 \bar{\alpha}_s \frac{1 - \frac{3\bar{\alpha}_s}{\omega^2}}{1 - \frac{\bar{\alpha}_s}{\omega^2}}} \right] \quad \bar{\alpha}_s = \frac{\alpha_s N_c}{2\pi}$$

- Our evolution's anomalous dimension can be found analytically at large- $N_c$  (J. Borden, YK, 2304.06161 [hep-ph]):

$$\Delta\gamma_{GG}^{us}(\omega) = \frac{1}{2} \left[ \omega - \sqrt{\omega^2 - 16 \bar{\alpha}_s \sqrt{1 - \frac{4\bar{\alpha}_s}{\omega^2}}} \right]$$

# A Tale of Two Anomalous Dimensions

- The two anomalous dimensions look similar enough but are not the same function.

$$\Delta\gamma_{GG}^{BER}(\omega) = \frac{1}{2} \left[ \omega - \sqrt{\omega^2 - 16 \bar{\alpha}_s \frac{1 - \frac{3\bar{\alpha}_s}{\omega^2}}{1 - \frac{\bar{\alpha}_s}{\omega^2}}} \right] \quad \Delta\gamma_{GG}^{us}(\omega) = \frac{1}{2} \left[ \omega - \sqrt{\omega^2 - 16 \bar{\alpha}_s \sqrt{1 - \frac{4\bar{\alpha}_s}{\omega^2}}} \right]$$

- Their expansions in  $\alpha_s$  start out the same, then differ at four (!) loops (the first 3 terms agree with the existing finite-order calculations, the four-loop result is unknown):

$$\Delta\gamma_{GG}^{BER}(\omega) = \frac{4\bar{\alpha}_s}{\omega} + \frac{8\bar{\alpha}_s^2}{\omega^3} + \frac{56\bar{\alpha}_s^3}{\omega^5} + \frac{504\bar{\alpha}_s^4}{\omega^7} + \dots$$

$$\Delta\gamma_{GG}^{us}(\omega) = \frac{4\bar{\alpha}_s}{\omega} + \frac{8\bar{\alpha}_s^2}{\omega^3} + \frac{56\bar{\alpha}_s^3}{\omega^5} + \frac{496\bar{\alpha}_s^4}{\omega^7} + \dots$$

# A Tale of Two Intercepts

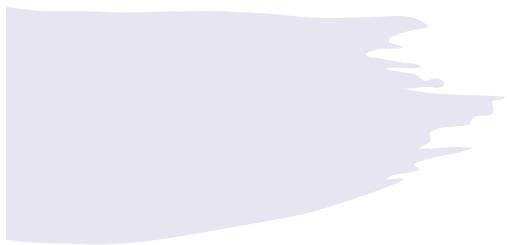
$$\Delta G(x, Q^2) = \int \frac{d\omega}{2\pi i} \left(\frac{1}{x}\right)^\omega \left(\frac{Q^2}{\Lambda^2}\right)^{\Delta\gamma_{GG}(\omega)} \Delta G_\omega(\Lambda^2)$$

$$\Delta\gamma_{GG}^{BER}(\omega) = \frac{1}{2} \left[ \omega - \sqrt{\omega^2 - 16 \bar{\alpha}_s \frac{1 - \frac{3\bar{\alpha}_s}{\omega^2}}{1 - \frac{\bar{\alpha}_s}{\omega^2}}} \right] \quad \Delta\gamma_{GG}^{us}(\omega) = \frac{1}{2} \left[ \omega - \sqrt{\omega^2 - 16 \bar{\alpha}_s \sqrt{1 - \frac{4\bar{\alpha}_s}{\omega^2}}} \right]$$

- The intercept (largest power  $\text{Re}[\omega]$ ) is given by the right-most singularity (branch point) of the anomalous dimension.

- For BER this gives  $\alpha_h = \sqrt{\frac{17 + \sqrt{97}}{2}} \sqrt{\frac{\alpha_s N_c}{2\pi}} \approx 3.664 \sqrt{\frac{\alpha_s N_c}{2\pi}}$

- For us  $\alpha_h = \frac{4}{3^{1/3}} \sqrt{\text{Re} [(-9 + i\sqrt{111})^{1/3}]} \sqrt{\frac{\alpha_s N_c}{2\pi}} \approx 3.661 \sqrt{\frac{\alpha_s N_c}{2\pi}}$



# A Tale of Two Intercepts

$$\Delta\Sigma(x, Q^2) \Big|_{x \ll 1} \sim \Delta G(x, Q^2) \Big|_{x \ll 1} \sim \left(\frac{1}{x}\right)^{\alpha_h}$$

- The power  $\alpha_h$  is known as the intercept.

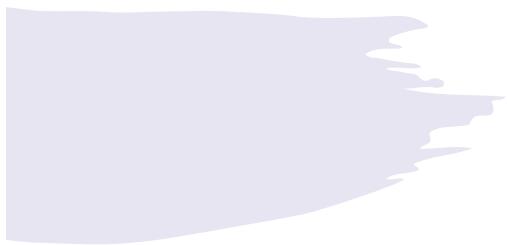
- BER:

$$\alpha_h = \sqrt{\frac{17 + \sqrt{97}}{2}} \sqrt{\frac{\alpha_s N_c}{2\pi}} \approx 3.664 \sqrt{\frac{\alpha_s N_c}{2\pi}}$$

- Us:

$$\alpha_h = \frac{4}{3^{1/3}} \sqrt{\text{Re} [(-9 + i\sqrt{111})^{1/3}]} \sqrt{\frac{\alpha_s N_c}{2\pi}} \approx 3.661 \sqrt{\frac{\alpha_s N_c}{2\pi}}$$

- Our numerical solution also gave the intercept of 3.660 or 3.661, but we believed we had larger error bars.
- We (still) disagree with BER. Albeit in the 3<sup>rd</sup> decimal point...



# Phenomenology

D. Adamiak, N. Baldonado, YK, W. Melnitchouk, D. Pitonyak,  
N. Sato, M. Sievert, A. Tarasov, Y. Tawabutr, = JAMsmallx,  
2308.07461 [hep-ph], 2503.21006 [hep-ph]

# Polarized DIS and SIDIS data

- We can use the large- $N_c$ & $N_f$  version of the evolution to fit all the existing world polarized DIS and SIDIS data.
- Why not large- $N_c$ ? Have to distinguish a true quark dipole from the subset of the gluon one. Need to extract all helicity PDFs for light quark flavors, in addition to the gluon helicity PDF.
- Hence, the quark amplitudes  $Q_q$  come with the flavor index  $q$ .
- Drawback: many dipole amplitudes, hard to constrain all.
- LO intercept is large: had to include running coupling (not shown) into the evolution.

$$Q(x_{10}^2, zs) = Q^{(0)}(x_{10}^2, zs) + \frac{\alpha_s N_c}{2\pi} \int_{1/sx_{10}^2}^z \frac{dz'}{z'} \int_{1/z's}^{\min\{x_{10}^2 z', 1/\Lambda^2\}} \frac{dx_{21}^2}{x_{21}^2} [2 \tilde{G}(x_{21}^2, z's) + 2 \tilde{\Gamma}(x_{10}^2, x_{21}^2, z's)]$$

$$+ Q(x_{21}^2, z's) - \bar{\Gamma}(x_{10}^2, x_{21}^2, z's) + 2 \Gamma_2(x_{10}^2, x_{21}^2, z's) + 2 G_2(x_{21}^2, z's)] \\ + \frac{\alpha_s N_c}{4\pi} \int_{\Lambda^2/s}^z \frac{dz'}{z'} \int_{1/z's}^{\min\{x_{10}^2 z', 1/\Lambda^2\}} \frac{dx_{21}^2}{x_{21}^2} [Q(x_{21}^2, z's) + 2 G_2(x_{21}^2, z's)],$$

$$\bar{\Gamma}(x_{10}^2, x_{21}^2, z's) = Q^{(0)}(x_{10}^2, z's) + \frac{\alpha_s N_c}{2\pi} \int_{1/sx_{10}^2}^{z'} \frac{dz''}{z''} \int_{1/z''s}^{\min\{x_{10}^2, x_{21}^2 z'/z'', 1/\Lambda^2\}} \frac{dx_{32}^2}{x_{32}^2} [2 \tilde{G}(x_{32}^2, z''s)$$

$$+ 2 \tilde{\Gamma}(x_{10}^2, x_{32}^2, z''s) + Q(x_{32}^2, z''s) - \bar{\Gamma}(x_{10}^2, x_{32}^2, z''s) + 2 \Gamma_2(x_{10}^2, x_{32}^2, z''s) + 2 G_2(x_{32}^2, z''s)] \\ + \frac{\alpha_s N_c}{4\pi} \int_{\Lambda^2/s}^{z'} \frac{dz''}{z''} \int_{1/z''s}^{\min\{x_{21}^2 z'/z'', 1/\Lambda^2\}} \frac{dx_{32}^2}{x_{32}^2} [Q(x_{32}^2, z''s) + 2 G_2(x_{32}^2, z''s)],$$

$$\tilde{G}(x_{10}^2, zs) = \tilde{G}^{(0)}(x_{10}^2, zs) + \frac{\alpha_s N_c}{2\pi} \int_{1/sx_{10}^2}^z \frac{dz'}{z'} \int_{1/z's}^{\min\{x_{10}^2 z', 1/\Lambda^2\}} \frac{dx_{21}^2}{x_{21}^2} [3 \tilde{G}(x_{21}^2, z's) + \tilde{\Gamma}(x_{10}^2, x_{21}^2, z's)]$$

$$+ 2 G_2(x_{21}^2, z's) + \left(2 - \frac{N_f}{2N_c}\right) \Gamma_2(x_{10}^2, x_{21}^2, z's) - \frac{N_f}{4N_c} \bar{\Gamma}(x_{10}^2, x_{21}^2, z's) - \frac{N_f}{2N_c} \tilde{Q}(x_{21}^2, z's)] \\ - \frac{\alpha_s N_f}{8\pi} \int_{\Lambda^2/s}^z \frac{dz'}{z'} \int_{\max\{x_{10}^2, 1/z's\}}^{\min\{x_{10}^2 z', 1/\Lambda^2\}} \frac{dx_{21}^2}{x_{21}^2} [Q(x_{21}^2, z's) + 2 G_2(x_{21}^2, z's)],$$

$$\tilde{\Gamma}(x_{10}^2, x_{21}^2, z's) = \tilde{G}^{(0)}(x_{10}^2, z's) + \frac{\alpha_s N_c}{2\pi} \int_{1/sx_{10}^2}^{z'} \frac{dz''}{z''} \int_{1/z''s}^{\min\{x_{10}^2, x_{21}^2 z'/z'', 1/\Lambda^2\}} \frac{dx_{32}^2}{x_{32}^2} [3 \tilde{G}(x_{32}^2, z''s)]$$

$$+ \tilde{\Gamma}(x_{10}^2, x_{32}^2, z''s) + 2 G_2(x_{32}^2, z''s) + \left(2 - \frac{N_f}{2N_c}\right) \Gamma_2(x_{10}^2, x_{32}^2, z''s) - \frac{N_f}{4N_c} \bar{\Gamma}(x_{10}^2, x_{32}^2, z''s) - \frac{N_f}{2N_c} \tilde{Q}(x_{32}^2, z''s)] \\ - \frac{\alpha_s N_f}{8\pi} \int_{\Lambda^2/s}^{z' x_{21}^2/x_{10}^2} \frac{dz''}{z''} \int_{\max\{x_{10}^2, 1/z''s\}}^{\min\{x_{21}^2 z'/z'', 1/\Lambda^2\}} \frac{dx_{32}^2}{x_{32}^2} [Q(x_{32}^2, z''s) + 2 G_2(x_{32}^2, z''s)],$$

$$G_2(x_{10}^2, zs) = G_2^{(0)}(x_{10}^2, zs) + \frac{\alpha_s N_c}{\pi} \int_{\frac{\Lambda^2}{s}}^z \frac{dz'}{z'} \int_{\max\{x_{10}^2, \frac{1}{z's}\}}^{\min\{\frac{z'}{z}, x_{10}^2, 1/\Lambda^2\}} \frac{dx_{21}^2}{x_{21}^2} [\tilde{G}(x_{21}^2, z's) + 2 G_2(x_{21}^2, z's)],$$

$$\Gamma_2(x_{10}^2, x_{21}^2, z's) = G_2^{(0)}(x_{10}^2, z's) + \frac{\alpha_s N_c}{\pi} \int_{\frac{\Lambda^2}{s}}^{z' x_{10}^2} \frac{dz''}{z''} \int_{\max\{x_{10}^2, \frac{1}{z''s}\}}^{\min\{\frac{z'}{z}, x_{21}^2, 1/\Lambda^2\}} \frac{dx_{32}^2}{x_{32}^2} [\tilde{G}(x_{32}^2, z''s) + 2 G_2(x_{32}^2, z''s)],$$

$$\tilde{Q}(x_{10}^2, zs) = \tilde{Q}^{(0)}(x_{10}^2, zs) - \frac{\alpha_s N_c}{2\pi} \int_{\frac{\Lambda^2}{s}}^z \frac{dz'}{z'} \int_{\max\{x_{10}^2, \frac{1}{z's}\}}^{\min\{\frac{z'}{z}, x_{10}^2, 1/\Lambda^2\}} \frac{dx_{21}^2}{x_{21}^2} [Q(x_{21}^2, z's) + 2 G_2(x_{21}^2, z's)].$$

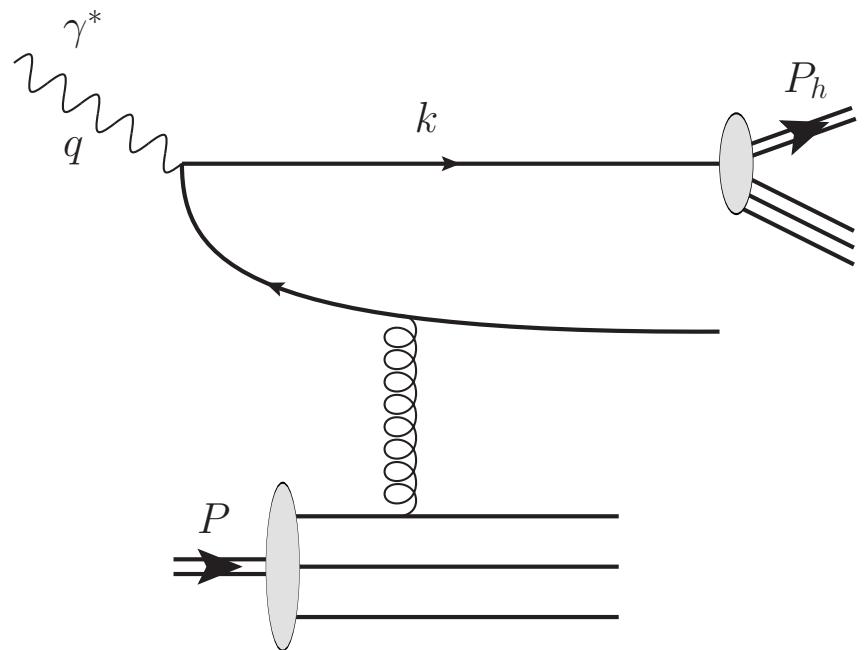
# Polarized SIDIS at small x

Consider (anti-)quark production in the current fragmentation region in the polarized e+p scattering at small x.

The process is similar to the  $g_1$  structure function calculation.

A straightforward calculation yields the SIDIS structure function ( $D_1$  = fragmentation function)

$$g_1^h(x, z, Q^2) \approx \frac{1}{2} \sum_{q, \bar{q}} e_q^2 \Delta q(x, Q^2) D_1^{h/q}(z, Q^2)$$



JAMsmallx: **Adamiak**, Baldonado, YK, Melnitchouk, Pitonyak, Sato, Sievert, Tarasov, Tawabutr,  
2308.07461 [hep-ph]

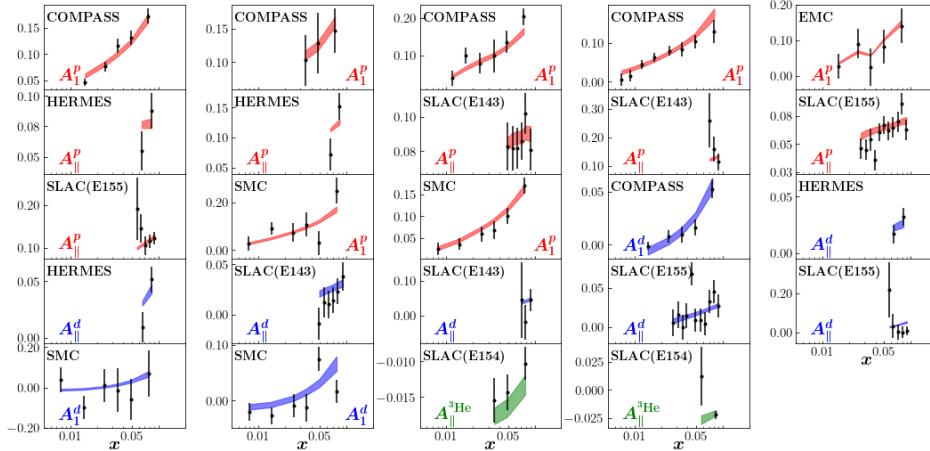
$$\chi^2/N_{pts} = 1.03$$

# The analysis

$$5 \times 10^{-3} < x < 0.1 \equiv x_0$$

$$1.69 \text{ GeV}^2 < Q^2 < 10.4 \text{ GeV}^2$$

Initial conditions:  $Q^{(0)}(x_{10}^2, z_s) \sim G_2^{(0)}(x_{10}^2, z_s) \sim a \ln \frac{z_s}{\Lambda^2} + b \ln \frac{1}{x_{10}^2 \Lambda^2} + c$

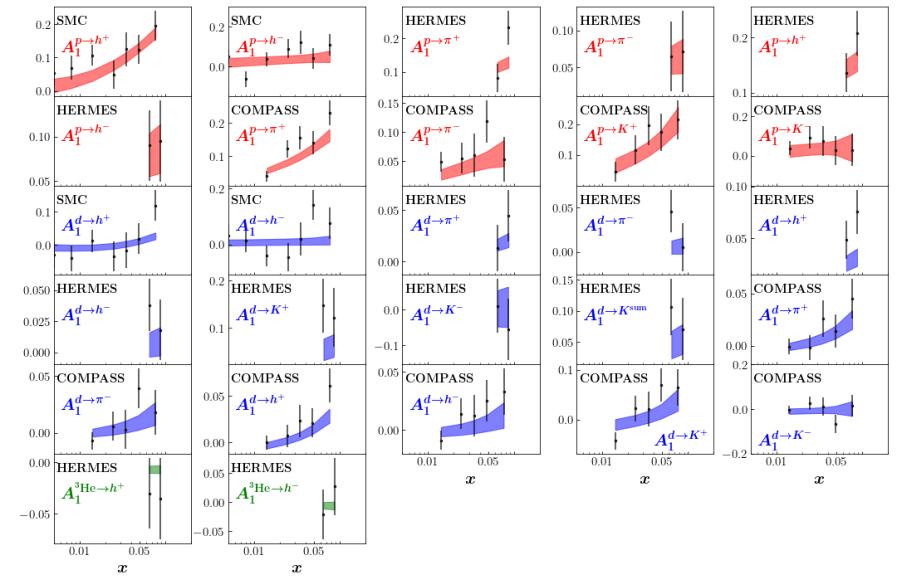


$$A_{\parallel} = \frac{\sigma^{\downarrow\uparrow} - \sigma^{\uparrow\uparrow}}{\sigma^{\downarrow\uparrow} + \sigma^{\uparrow\uparrow}}$$

$$A_1 \approx \frac{g_1}{F_1}$$

$$A_{\parallel} \approx D A_1$$

Double-spin asymmetries for p, d, and  ${}^3\text{He}$

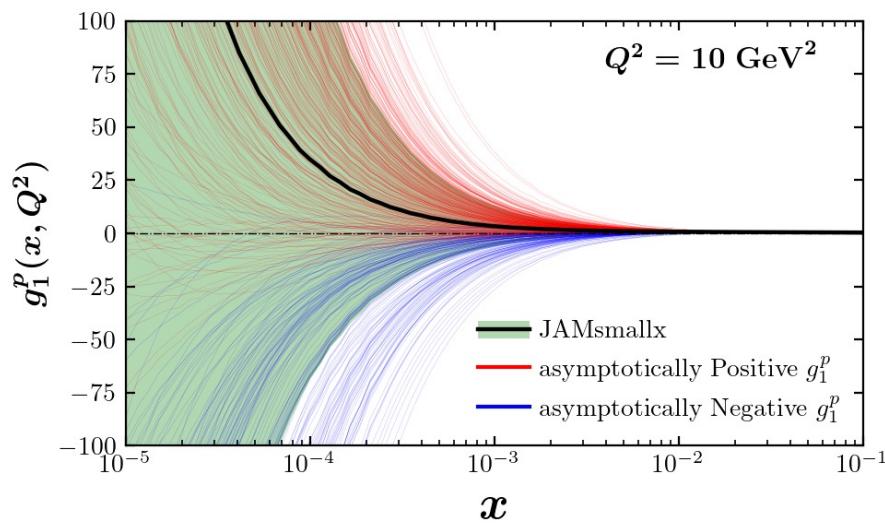


D= kinematic factor (known)

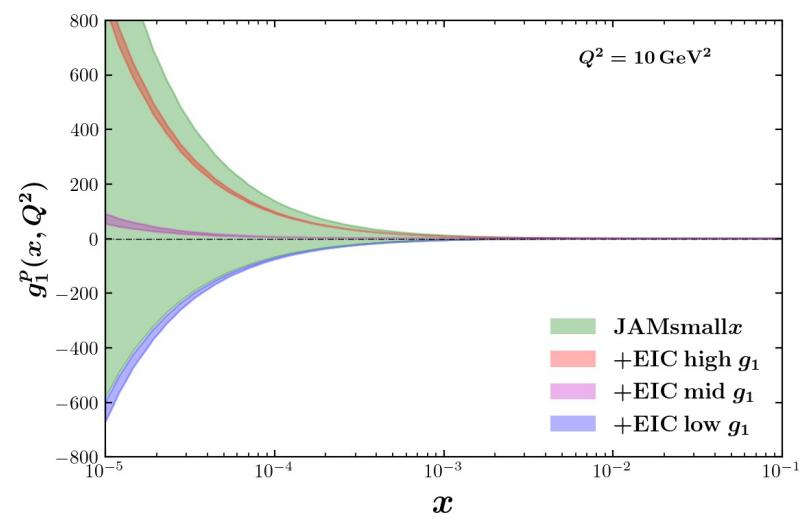
Running-coupling large- $N_c$ & $N_f$  evolution, 226 polarized DIS and SIDIS data points.

# Proton $g_1$ structure function

JAM-smallx



$g_1^p$  extracted from the existing data

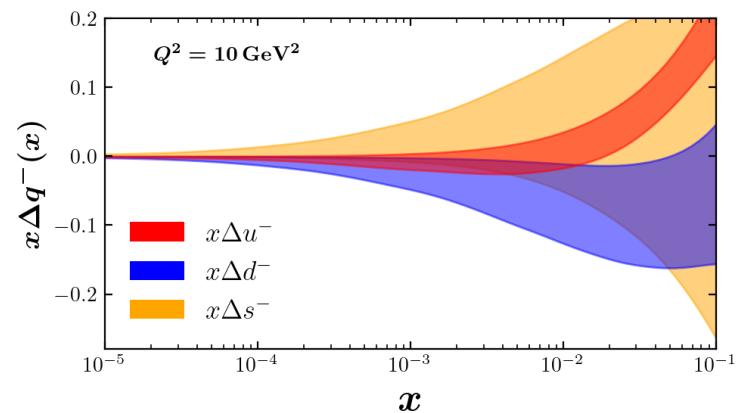
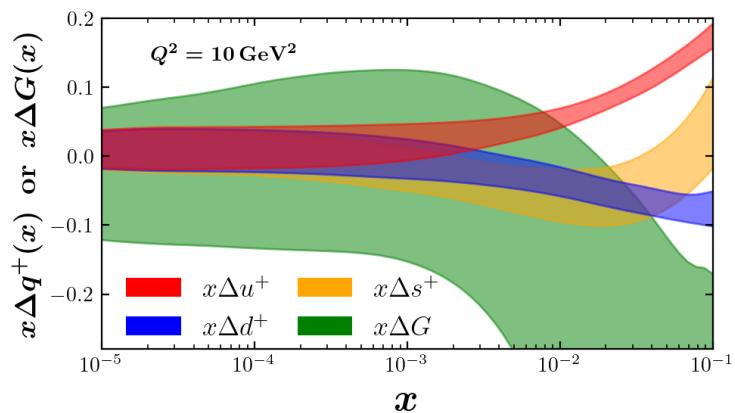


EIC impact

- JAM is based on a Bayesian Monte-Carlo: it uses replicas.
- Due to the lack of constraints, the spread is large.
- On the right, extraction using EIC pseudo-data (3 thin bands = 3 possible EIC data sets).

# Helicity PDFs:

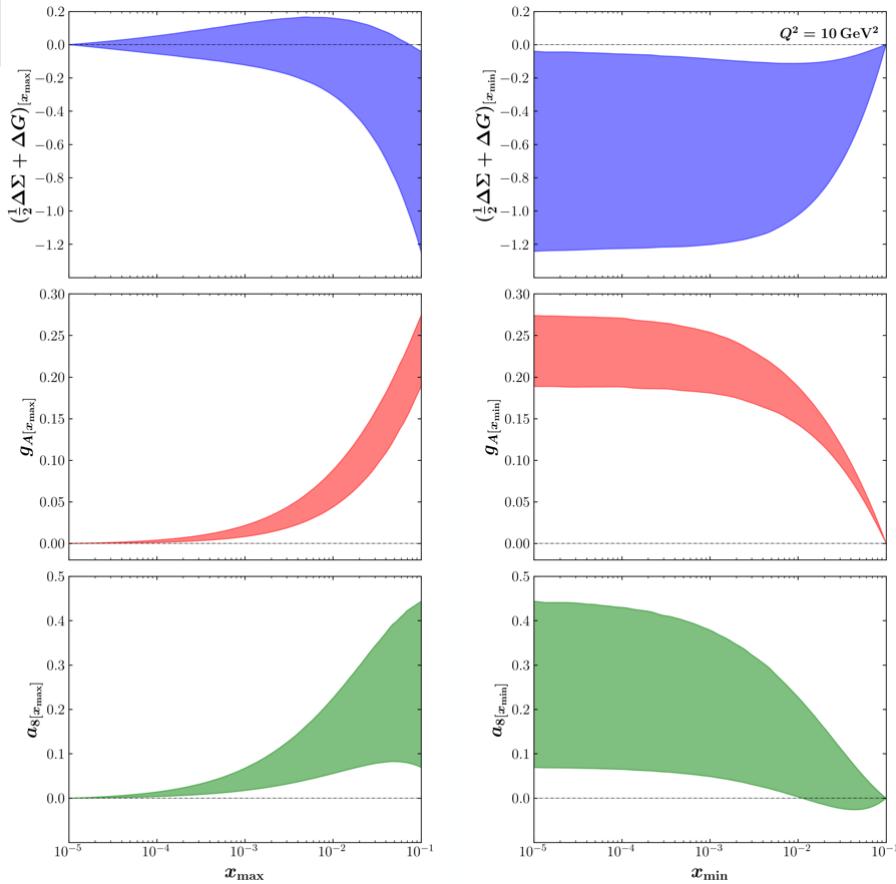
JAM-smallx



$$\Delta q^+ = \Delta q + \Delta \bar{q} \quad \Delta q^- = \Delta q - \Delta \bar{q}$$

Uncertainties at small  $x$  seem to be driven by our inability to constrain the dipole amplitude  $G_2$  and  $G_{\tilde{2}}$  using the current data.

# How much spin is there at small x?



$$\begin{aligned} \left( \frac{1}{2} \Delta\Sigma + \Delta G \right)_{[x_{\min}]}(Q^2) &\equiv \int_{x_{\min}}^{x_0} dx \left( \frac{1}{2} \Delta\Sigma + \Delta G \right)(x, Q^2), \\ g_A[x_{\min}](Q^2) &\equiv \int_{x_{\min}}^{x_0} dx [\Delta u^+(x, Q^2) - \Delta d^+(x, Q^2)], \\ a_8[x_{\min}](Q^2) &\equiv \int_{x_{\min}}^{x_0} dx [\Delta u^+(x, Q^2) + \Delta d^+(x, Q^2) - 2 \Delta s^+(x, Q^2)] \\ \left( \frac{1}{2} \Delta\Sigma + \Delta G \right)_{[x_{\max}]}(Q^2) &\equiv \int_{10^{-5}}^{x_{\max}} dx \left( \frac{1}{2} \Delta\Sigma + \Delta G \right)(x, Q^2), \\ g_A[x_{\max}](Q^2) &\equiv \int_{10^{-5}}^{x_{\max}} dx [\Delta u^+(x, Q^2) - \Delta d^+(x, Q^2)], \\ a_8[x_{\max}](Q^2) &\equiv \int_{10^{-5}}^{x_{\max}} dx [\Delta u^+(x, Q^2) + \Delta d^+(x, Q^2) - 2 \Delta s^+(x, Q^2)] \end{aligned}$$

$$\int_{10^{-5}}^{0.1} dx \left( \frac{1}{2} \Delta\Sigma + \Delta G \right)(x) = -0.64 \pm 0.60$$

**Negative net spin at small x!**

Potentially a lot of spin at small x. However, the uncertainties are large. Need a way to constrain the initial conditions. Stay tuned for inclusion of polarized p+p data from RHIC.

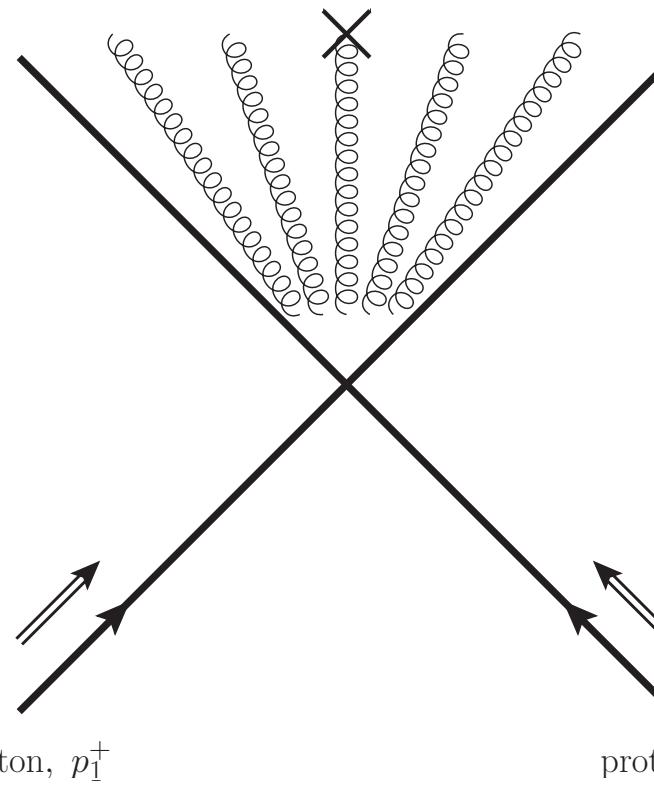


# Particle production in polarized p+p collisions

YK, M. Li, 2403.06959 [hep-ph]

# Gluon production at mid-rapidity

$$k^\mu = (k_T e^y/\sqrt{2}, k_T e^{-y}/\sqrt{2}, \vec{k}_T)$$

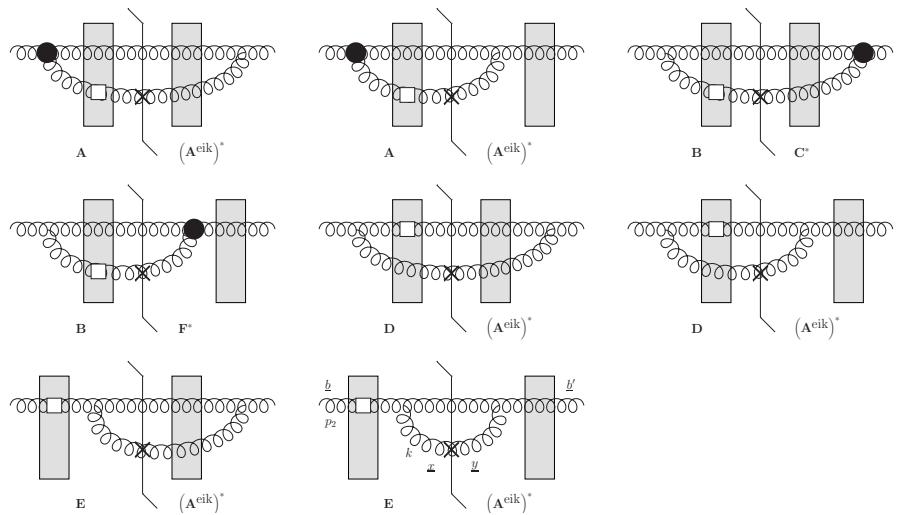


- We want to calculate gluon production cross section in polarized p+p collisions at mid-rapidity, where the gluon is small-x in both proton's wave functions.

# Gluon production in polarized p+p collisions

Working in the shock wave picture, we first need to sum up the following diagrams (emission inside shock wave is suppressed by a log):

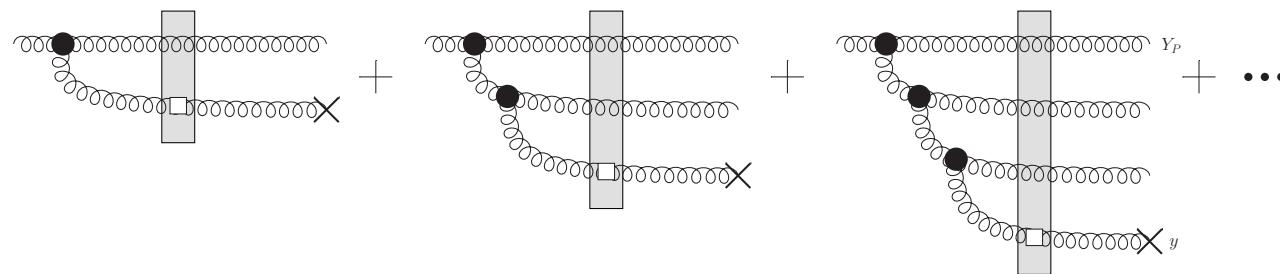
The result is shown below, and is cross-checked against the existing lowest-order calculations.



$$\frac{d\sigma(\lambda)}{d^2k_T dy} = \lambda \frac{\alpha_s}{\pi^4} \frac{1}{s} N_c \int d^2x d^2y d^2b e^{-ik \cdot (x-y)} \left\{ \frac{x - b}{|x - b|^2} \cdot \frac{y - b}{|y - b|^2} \left[ G_{\underline{x}, \underline{y}}^{\text{adj}}(2k^- p_1^+) - G_{\underline{x}, \underline{b}}^{\text{adj}}(2k^- p_1^+) \right. \right. \\ \left. \left. - \frac{1}{4} \left( G_{\underline{b}, \underline{y}}^{\text{adj}}(2k^- p_1^+) + G_{\underline{b}, \underline{x}}^{\text{adj}}(2k^- p_1^+) - 2 G_{\underline{b}, \underline{b}'}^{\text{adj}}(2k^- p_1^+) \right) \right] - 2i k^i \frac{x - b}{|x - b|^2} \times \frac{y - b}{|y - b|^2} G_{\underline{x}, \underline{b}}^{i \text{ adj}}(2k^- p_1^+) \right\}$$

# Including small-x evolution

- We need to include small-x evolution on the projectile and target sides.
- This is simple on the target side, less so on the projectile side:



- We symmetrize the above expression with respect to target—projectile interchange, after which we can include the evolution on the projectile side as well.

# Gluon production in polarized p+p collisions at mid-rapidity: the final result

- In the end we get the following expression for the cross section (at large  $N_c$ ), where the dipole amplitudes  $Q$  and  $G_2$  evolve via the above evolution equations (YK, M. Li, 2024):

$$\frac{d\sigma}{d^2 k_T dy} = \frac{C_F}{\alpha_s \pi^4} \frac{1}{s k_T^2} \int d^2 x e^{-i\underline{k} \cdot \underline{x}}$$

$$\times (4 Q_P - 2 G_{2P}) (x_\perp^2, \sqrt{2} p_2^- k_T e^{-y}) \begin{pmatrix} \frac{1}{4} \vec{\nabla}_\perp \cdot \vec{\nabla}_\perp & \vec{\nabla}_\perp^2 + \vec{\nabla}_\perp \cdot \vec{\nabla}_\perp \\ \vec{\nabla}_\perp^2 + \vec{\nabla}_\perp \cdot \vec{\nabla}_\perp & 0 \end{pmatrix} \begin{pmatrix} 4 Q_T \\ 2 G_{2T} \end{pmatrix} (x_\perp^2, \sqrt{2} p_1^+ k_T e^y).$$

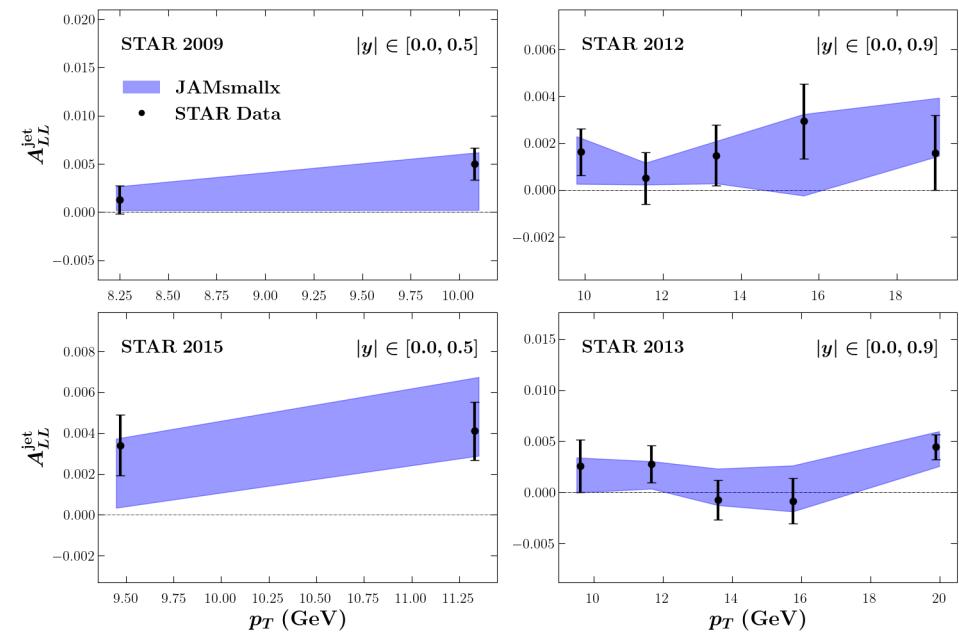
- Equivalently, in momentum space we obtain the following factorized expression in terms of TMDs ( $\Delta H_{3L}^\perp$  is a twist-3 helicity-flip TMD):

$$\frac{d\sigma}{d^2 k_T dy} = -\frac{32\pi^4 \alpha_s}{N_c} \frac{1}{s k_T^2} \int \frac{d^2 q}{(2\pi)^2}$$

$$\times \begin{pmatrix} \Delta H_{3L}^{\perp \text{ dip } P} & g_{1L}^{G \text{ dip } P} \end{pmatrix} \left( q_T^2, \frac{k_T}{\sqrt{2} p_2^-} e^y \right) \begin{pmatrix} \underline{q} \cdot (\underline{k} - \underline{q}) & \underline{q} \cdot \underline{k} \\ \underline{k} \cdot (\underline{k} - \underline{q}) & 0 \end{pmatrix} \begin{pmatrix} \Delta H_{3L}^{\perp \text{ dip } T} \\ g_{1L}^{G \text{ dip } T} \end{pmatrix} \left( (\underline{k} - \underline{q})^2, \frac{k_T}{\sqrt{2} p_1^+} e^{-y} \right)$$

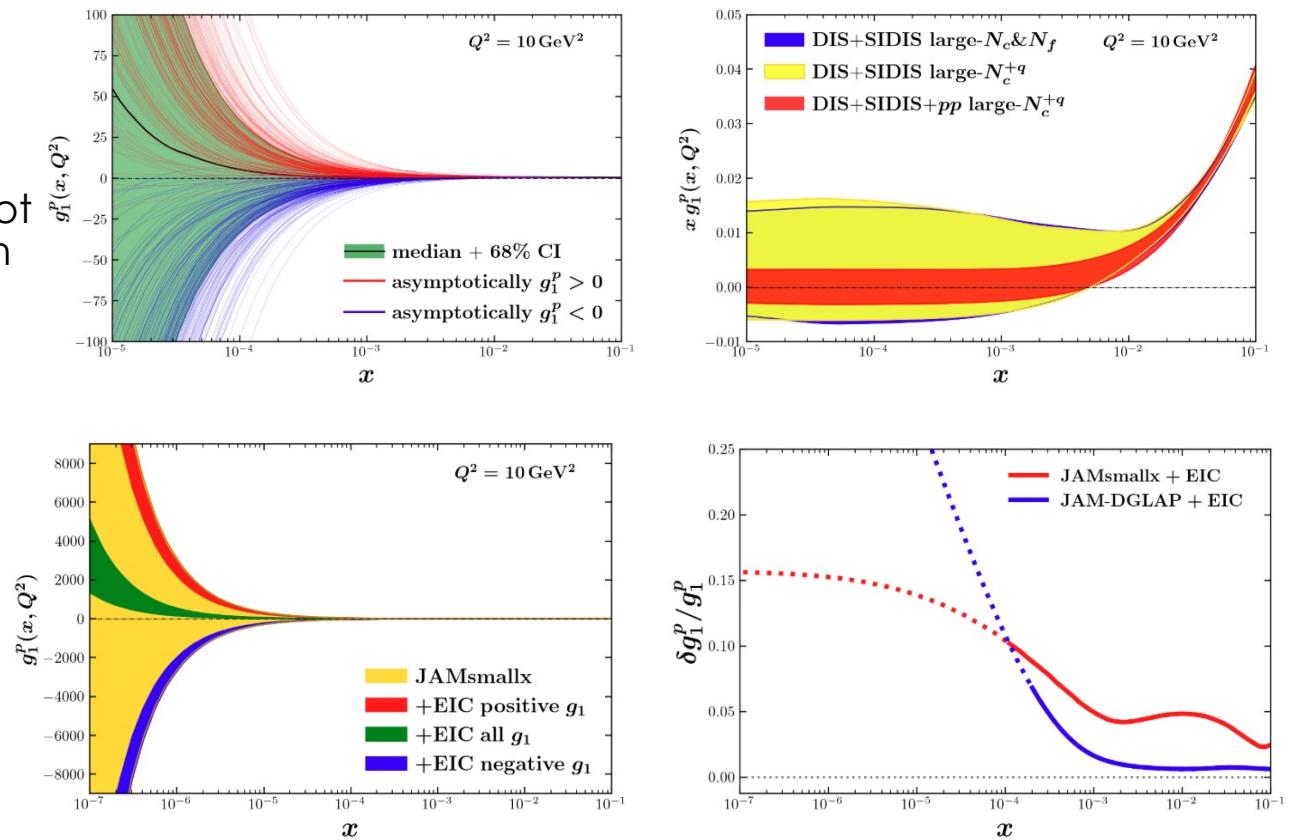
# Polarized p+p collisions: small-x phenomenology

- The above result can be applied to RHIC data  
(D. Adamiak, **N. Baldonado**, et al,  
2503.21006 [hep-ph]):
- Note that the calculation was for **gluons only**, quarks need to be included (in progress). Hence, comparison with the data is a proof-of-concept at this point.
- Only large- $N_c$  evolution (+external quarks) is employed.



# Longitudinal Spin: Small-x Evolution

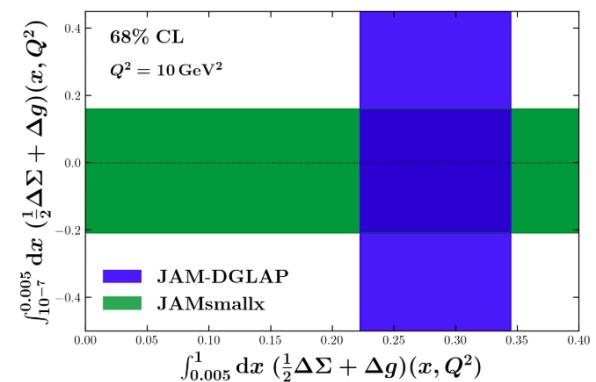
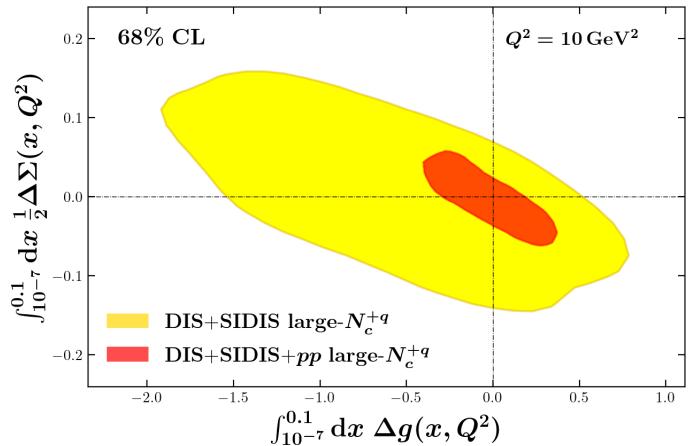
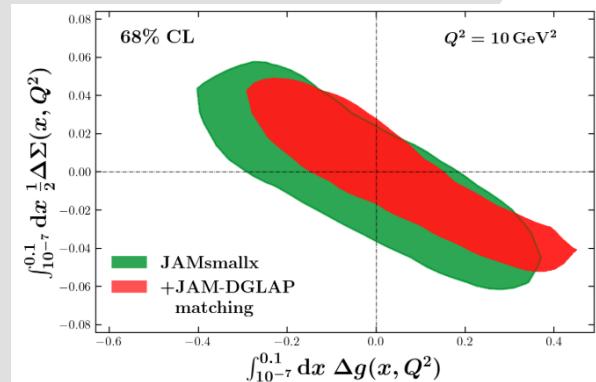
- Small-x evolution can predict helicity distributions at small x.
- But: hard to fix initial conditions given the existing data (note: not all polarized p+p data has been analyzed yet).
- End result: also a spread of predictions for EIC.
- EIC will provide constraints:
- Plots are from JAMsmallx, D. Adamik, **N. Baldonado**, et al, 2503.21006 [hep-ph]



# New constraints coming from polarized p+p data:

- Including more data constrains the initial conditions for the dipole amplitudes involved, resulting in more precise EIC predictions for the proton  $g_1$  structure function and estimates of spin at low  $x$ :

D. Adamiak, **N. Baldonado**, et al, 2503.21006 [hep-ph]





# Quark and Gluon OAM at Small $x$ and Large $N_c$

YK, B. Manley, 2310.18404 [hep-ph]; B. Manley, 2401.05508 [hep-ph];  
YK, B. Manley, 2410.21260 [hep-ph].

# OAM Distributions

- We begin by writing the (Jaffe-Manohar) quark and gluon OAM in terms of the Wigner distribution as

$$L_z = \int \frac{d^2 b_\perp db^- d^2 k_\perp dk^+}{(2\pi)^3} (\underline{b} \times \underline{k})_z W(k, b)$$

- After much algebra, we arrive at the quark and gluon OAM distributions at small x :

$$\Delta\Sigma(x, Q^2) = \frac{N_f}{\alpha_s \pi^2} \tilde{Q} \left( x_{10}^2 = \frac{1}{Q^2}, s = \frac{Q^2}{x} \right),$$

$$\Delta G(x, Q^2) = \frac{2N_c}{\alpha_s \pi^2} G_2 \left( x_{10}^2 = \frac{1}{Q^2}, s = \frac{Q^2}{x} \right),$$

$$L_{q+\bar{q}}(x, Q^2) = -\frac{2 N_f}{\alpha_s \pi^2} \tilde{I} \left( x_{10}^2 = \frac{1}{Q^2}, s = \frac{Q^2}{x} \right),$$

$$L_G(x, Q^2) = -\frac{2 N_c}{\alpha_s \pi^2} [2 I_4 + 3 I_5] \left( x_{10}^2 = \frac{1}{Q^2}, s = \frac{Q^2}{x} \right)$$

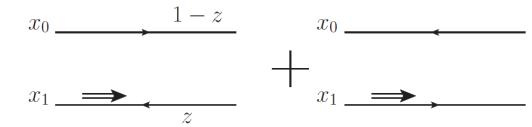
# OAM Distributions and Moment Amplitudes

$$L_{q+\bar{q}}(x, Q^2) = -\frac{2 N_f}{\alpha_s \pi^2} \tilde{I} \left( x_{10}^2 = \frac{1}{Q^2}, s = \frac{Q^2}{x} \right),$$

$$L_G(x, Q^2) = -\frac{2 N_c}{\alpha_s \pi^2} \left[ 2 I_4 + 3 I_5 \right] \left( x_{10}^2 = \frac{1}{Q^2}, s = \frac{Q^2}{x} \right)$$

- We now have the impact parameter **moments of dipole amplitudes/operators:**

$$\int d^2 x_1 x_1^m \tilde{Q}_{10}(s) = x_{10}^m \tilde{I}(x_{10}^2, s) + \epsilon^{mi} x_{10}^i \tilde{J}(x_{10}^2, s)$$



$$\int d^2 x_1 x_1^m Q_{10}(s) = x_{10}^m I_3(x_{10}^2, s) + \epsilon^{mj} x_{10}^j J_3(x_{10}^2, s),$$

$$\int d^2 x_1 x_1^m G_{10}^i(s) = \epsilon^{mi} x_{10}^2 I_4(x_{10}^2, s) + \epsilon^{mk} x_{10}^k x_{10}^i I_5(x_{10}^2, s) + \delta^{im} x_{10}^2 J_4(x_{10}^2, s) + x_{10}^i x_{10}^m J_5(x_{10}^2, s).$$

# Evolution for Moment Dipole Amplitudes

$$\begin{pmatrix} I_3 \\ I_4 \\ I_5 \end{pmatrix} (x_{10}^2, z s) = \begin{pmatrix} I_3^{(0)} \\ I_4^{(0)} \\ I_5^{(0)} \end{pmatrix} (x_{10}^2, z s) + \frac{\alpha_s N_c}{4\pi} \int_{\frac{1}{s x_{10}^2}}^z \frac{dz'}{z'} \int_{\frac{1}{z' s}}^{x_{10}^2} dx_{21}^2 \begin{pmatrix} 2\Gamma_3 - 4\Gamma_4 + 2\Gamma_5 - 2\Gamma_2 \\ 0 \\ 0 \end{pmatrix} (x_{10}^2, x_{21}^2, z' s)$$

Evolution equations for the moment amplitudes in DLA and at large  $N_c$  are derived in

YK, B. Manley,  
2310.18404 [hep-ph].

$$+ \frac{\alpha_s N_c}{4\pi} \int_{\frac{\Lambda^2}{s}}^z \frac{dz'}{z'} \int_{\max[x_{10}^2, \frac{1}{z' s}]}^{\min[\frac{z'}{z''} x_{10}^2, \frac{1}{\Lambda^2}]} \frac{dx_{21}^2}{x_{21}^2} \begin{pmatrix} 4 & -4 & 2 & -4 & -6 \\ 0 & 4 & 2 & -2 & -3 \\ -2 & 2 & -1 & 4 & 7 \end{pmatrix} \begin{pmatrix} I_3 \\ I_4 \\ I_5 \\ G \\ G_2 \end{pmatrix} (x_{21}^2, z' s)$$

They can be solved numerically (same ref) and analytically (B. Manley, 2401.05508 [hep-ph])

$$\begin{pmatrix} \Gamma_3 \\ \Gamma_4 \\ \Gamma_5 \end{pmatrix} (x_{10}^2, x_{21}^2, z' s) = \begin{pmatrix} I_3^{(0)} \\ I_4^{(0)} \\ I_5^{(0)} \end{pmatrix} (x_{10}^2, z' s)$$

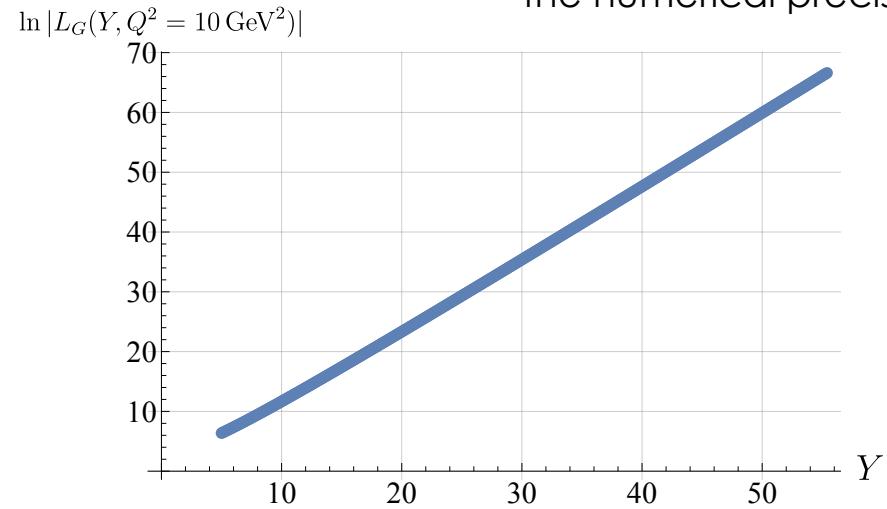
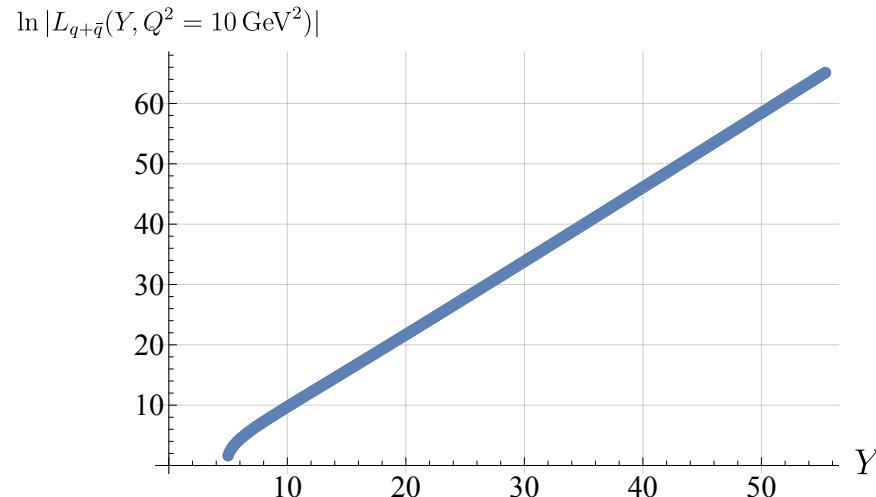
$$+ \frac{\alpha_s N_c}{4\pi} \int_{\frac{1}{s x_{10}^2}}^{z'} \frac{dz''}{z''} \int_{\frac{1}{z'' s}}^{\min[x_{10}^2, x_{21}^2, \frac{z'}{z''}]} \frac{dx_{32}^2}{x_{32}^2} \begin{pmatrix} 2\Gamma_3 - 4\Gamma_4 + 2\Gamma_5 - 2\Gamma_2 \\ 0 \\ 0 \end{pmatrix} (x_{10}^2, x_{32}^2, z'' s)$$

$$+ \frac{\alpha_s N_c}{4\pi} \int_{\frac{\Lambda^2}{s}}^{z' \frac{x_{21}^2}{x_{10}^2}} \frac{dz''}{z''} \int_{\max[x_{10}^2, \frac{1}{z'' s}]}^{\min[\frac{z'}{z''} x_{21}^2, \frac{1}{\Lambda^2}]} \frac{dx_{32}^2}{x_{32}^2} \begin{pmatrix} 4 & -4 & 2 & -4 & -6 \\ 0 & 4 & 2 & -2 & -3 \\ -2 & 2 & -1 & 4 & 7 \end{pmatrix} \begin{pmatrix} I_3 \\ I_4 \\ I_5 \\ G \\ G_2 \end{pmatrix} (x_{32}^2, z'' s)$$

# Small-x asymptotics of OAM distributions

- Solving the above evolution equations numerically, we arrive at

$$L_{q+\bar{q}}(x, Q^2) \sim L_G(x, Q^2) \sim \left(\frac{1}{x}\right)^{3.66\sqrt{\frac{\alpha_s N_c}{2\pi}}}$$



Consistent with Boussarie, Hatta, Yuan, 2019 (based on BER IREE from 1996) within the numerical precision

# Two intercepts, again

- The evolution equations for moment dipole amplitudes have been solved analytically by B. Manley in 2401.05508 [hep-ph]. The solution was constructed using the double Laplace transform, similar to the solution for the impact-parameter integrated amplitudes.
- The resulting small-x OAM asymptotics at large  $N_c$  is the same as for helicity PDFs,

$$L_{q+\bar{q}}(x, Q^2) \sim L_G(x, Q^2) \sim \left(\frac{1}{x}\right)^{\alpha_h}$$

with the intercept

$$\alpha_h = \frac{4}{3^{1/3}} \sqrt{\operatorname{Re} [(-9 + i\sqrt{111})^{1/3}]} \sqrt{\frac{\alpha_s N_c}{2\pi}} \approx 3.661 \sqrt{\frac{\alpha_s N_c}{2\pi}}$$

- This slightly disagrees with the work of Boussarie, Hatta, and Yuan (2019), which resulted in the same intercept as BER:

$$\alpha_h = \sqrt{\frac{17 + \sqrt{97}}{2}} \sqrt{\frac{\alpha_s N_c}{2\pi}} \approx 3.664 \sqrt{\frac{\alpha_s N_c}{2\pi}}$$

# OAM Distribution to hPDF Ratios

- Following Boussarie *et al* (2019), we consider the ratios of OAM distributions to helicity PDFs at small x.
- For these ratios, Boussarie *et al*, predict, inspired by the Wandzura-Wilczek approximation:

$$\frac{L_{q+\bar{q}}(x, Q^2)}{\Delta\Sigma(x, Q^2)} \approx -1$$

$$\frac{L_G(x, Q^2)}{\Delta G(x, Q^2)} \approx -2$$

$$\Delta\Sigma(x, Q^2) \Big|_{x \ll 1} \sim \Delta G(x, Q^2) \Big|_{x \ll 1} \sim \left(\frac{1}{x}\right)^{\alpha_h}$$

$$L_{q+\bar{q}}(x, Q^2) \sim L_G(x, Q^2) \sim \left(\frac{1}{x}\right)^{\alpha_h}$$

- Pure WW approximation predicts:

$$\frac{L_{q+\bar{q}}(x, Q^2)}{\Delta\Sigma(x, Q^2)} = -\frac{1}{1 + \alpha_h}$$

$$\frac{L_G(x, Q^2)}{\Delta G(x, Q^2)} = -\frac{2}{1 + \alpha_h}$$

# OAM Distribution to hPDF Ratios

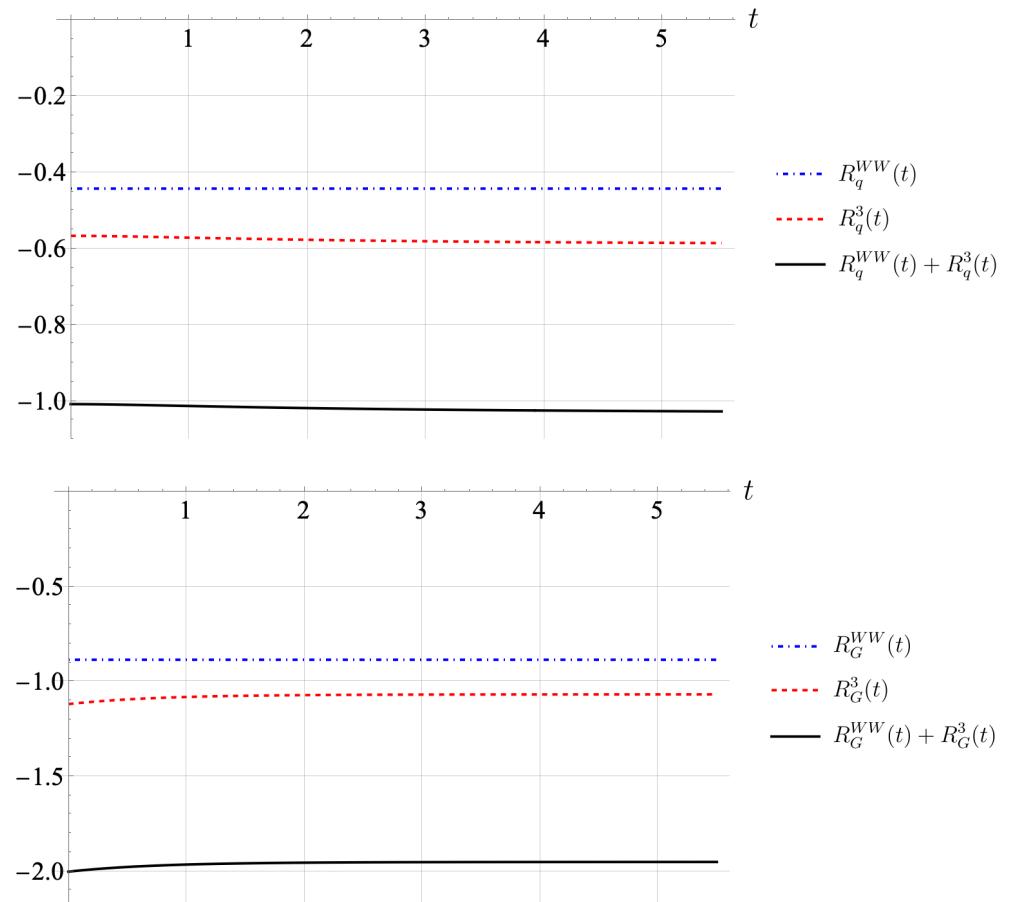
- Analytic solution from B. Manley, 2401.05508 [hep-ph], gives

$$t = \sqrt{\frac{\alpha_s N_c}{2\pi} \ln \frac{Q^2}{\Lambda^2}}$$

$$\alpha_s = 0.25 \quad \Lambda = 1 \text{ GeV}$$

- Compares well with Boussarie et al:

$$\frac{L_{q+\bar{q}}(x, Q^2)}{\Delta\Sigma(x, Q^2)} \approx -1 \quad \frac{L_G(x, Q^2)}{\Delta G(x, Q^2)} \approx -2$$



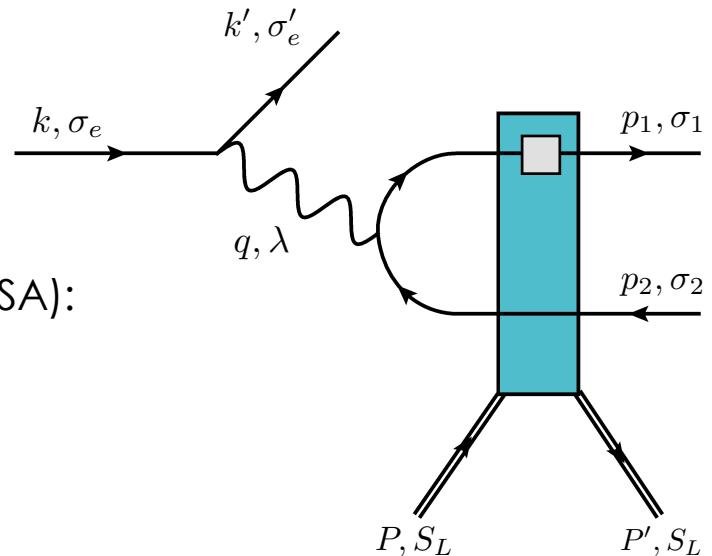
# Elastic dijet production in e+p collisions

The process is similar to the one above, except now the proton remains intact.

One considers two observables, double spin asymmetry (DSA) and single spin asymmetry (SSA):

$$d\sigma^{DSA} = \frac{1}{4} \sum_{\sigma_e, S_L} \sigma_e S_L d\sigma(\sigma_e, S_L),$$

$$d\sigma^{SSA} = \frac{1}{4} \sum_{\sigma_e, S_L} S_L d\sigma(\sigma_e, S_L)$$



Hatta et al, 2016; S. Bhattacharya,  
R. Boussarie and Y. Hatta, 2022 & 2024;  
S. Bhattacharya, D. Zheng and J. Zhou, 2023;  
YK, B. Manley, 2410.21260 [hep-ph]

# Measuring OAM distributions in elastic e+p collisions

- In the small-t limit ( $p_T, Q \gg \Lambda_{QCD} \gg \Delta_\perp$  with  $t = -\Delta_\perp^2$ ) the elastic dijet DSA measures moments of dipole amplitudes  $I_3, I_4$ , and  $I_5$ , thus **allowing (in principle) to measure OAM distributions!**
- Cf. Hatta et al, 2016; S. Bhattacharya, R. Boussarie and Y. Hatta, 2022 & 2024; S. Bhattacharya, D. Zheng and J. Zhou, 2023.
- Feasibility study in progress (G.Z. Becker, J. Borden, B. Manley, YK).

$$z(1-z) \frac{1}{2} \sum_{S_L, \lambda \pm 1} S_L \lambda \frac{d\sigma_{\text{symm.}}^{\gamma^* p \rightarrow q\bar{q}p'}}{d^2 p d^2 \Delta dz} = -\frac{2}{(2\pi)^5 z(1-z)s} \int d^2 x_{12} d^2 x_{1'2'} e^{-ip \cdot (\underline{x}_{12} - \underline{x}_{1'2'})} N(x_{1'2'}^2, s) \quad (107a)$$

$$\begin{aligned} & \times \left\{ \left[ \left( 1 - 2z + i\Delta \cdot \underline{x}_{12} (z^2 + (1-z)^2) - \frac{i}{2} \Delta \cdot \underline{x}_{1'2'} (1-2z)^2 \right) Q(x_{12}^2, s) - i\Delta \cdot \underline{x}_{12} I_3(x_{12}^2, s) \right. \right. \\ & \quad \left. \left. - i\Delta \times \underline{x}_{12} J_3(x_{12}^2, s) \right] \Phi_{\text{TT}}^{[1]}(\underline{x}_{12}, \underline{x}_{1'2'}, z) \right. \\ & \quad \left. + \left[ i(1-2z) \left( \Delta^j \epsilon^{ji} x_{12}^2 I_4(x_{12}^2, s) + \Delta \times \underline{x}_{12} x_{12}^i I_5(x_{12}^2, s) + \Delta^i x_{12}^2 J_4(x_{12}^2, s) + \Delta \cdot \underline{x}_{12} x_{12}^i J_5(x_{12}^2, s) \right) \right. \right. \\ & \quad \left. \left. - \left[ 1 + i(1-2z) \Delta \cdot \left( \underline{x}_{12} - \frac{\underline{x}_{1'2'}}{2} \right) \right] \left( \epsilon^{ik} x_{12}^k G_2(x_{12}^2, s) + x_{12}^i G_1(x_{12}^2, s) \right) \right] \right. \\ & \quad \left. \times \left( \partial_1^i - ip^i \right) \Phi_{\text{TT}}^{[2]}(\underline{x}_{12}, \underline{x}_{1'2'}, z) \right\} + \mathcal{O}(\Delta_\perp^2), \end{aligned}$$

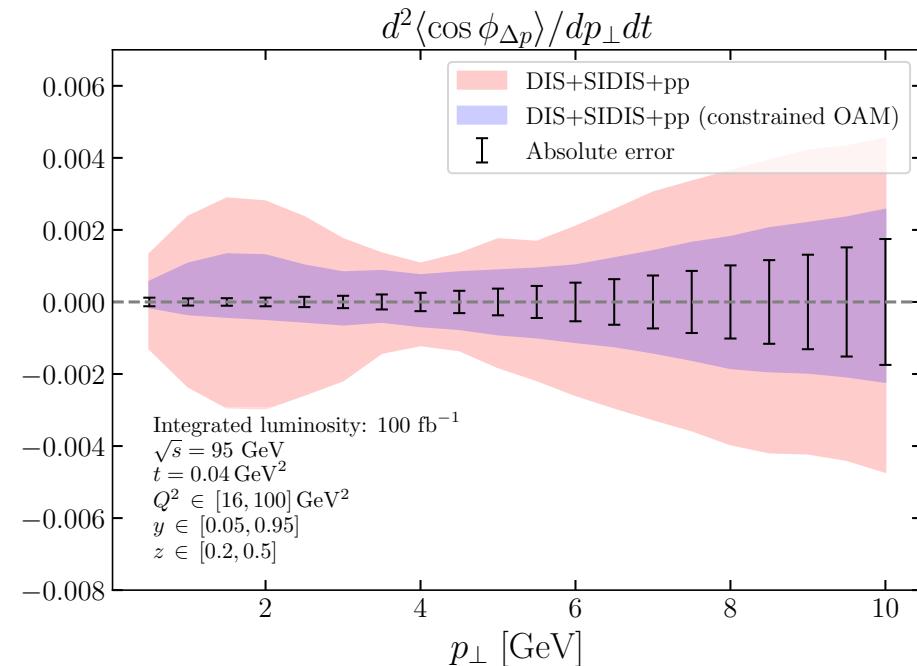
$$z(1-z) \frac{1}{2} \sum_{S_L, \lambda=\pm 1} S_L \left[ e^{i\lambda\phi} \frac{d\sigma_{\text{symm.}}^{\gamma^* p \rightarrow q\bar{q}p'}}{d^2 p d^2 \Delta dz} + \text{c.c.} \right] = -\frac{2i\sqrt{2}}{2(2\pi)^5 z(1-z)s} \int d^2 x_{12} d^2 x_{1'2'} e^{-ip \cdot (\underline{x}_{12} - \underline{x}_{1'2'})} \quad (107b)$$

$$\begin{aligned} & \times N(x_{1'2'}^2, s) \left\{ \left[ \left( 1 - 2z + i\Delta \cdot \underline{x}_{12} (z^2 + (1-z)^2) - \frac{i}{2} \Delta \cdot \underline{x}_{1'2'} (1-2z)^2 \right) Q(x_{12}^2, s) - i\Delta \cdot \underline{x}_{12} I_3(x_{12}^2, s) \right. \right. \\ & \quad \left. \left. - i\Delta \times \underline{x}_{12} J_3(x_{12}^2, s) \right] \left[ \frac{\hat{k} \cdot \underline{x}_{12}}{x_{12}} \Phi_{\text{LT}}^{[1]}(\underline{x}_{12}, \underline{x}_{1'2'}, z) - \frac{\hat{k} \cdot \underline{x}_{1'2'}}{x_{1'2'}} \Phi_{\text{LT}}^{[1]}(\underline{x}_{1'2'}, \underline{x}_{12}, z) \right] \right. \\ & \quad \left. + \left[ i(1-2z) \left( \Delta^j \epsilon^{ji} x_{12}^2 I_4(x_{12}^2, s) + \Delta \times \underline{x}_{12} x_{12}^i I_5(x_{12}^2, s) + \Delta^i x_{12}^2 J_4(x_{12}^2, s) + \Delta \cdot \underline{x}_{12} x_{12}^i J_5(x_{12}^2, s) \right) \right. \right. \\ & \quad \left. \left. - \left[ 1 + i(1-2z) \Delta \cdot \left( \underline{x}_{12} - \frac{\underline{x}_{1'2'}}{2} \right) \right] \left( \epsilon^{ik} x_{12}^k G_2(x_{12}^2, s) + x_{12}^i G_1(x_{12}^2, s) \right) \right] \right. \\ & \quad \left. \times \left( \partial_1^i - ip^i \right) \left[ \frac{\hat{k} \times \underline{x}_{12}}{x_{12}} \Phi_{\text{LT}}^{[2]}(\underline{x}_{12}, \underline{x}_{1'2'}, z) + \frac{\hat{k} \times \underline{x}_{1'2'}}{x_{1'2'}} \Phi_{\text{LT}}^{[2]}(\underline{x}_{1'2'}, \underline{x}_{12}, z) \right] \right\} + \mathcal{O}(\Delta_\perp^2), \end{aligned}$$

# OAM measurement with elastic dijets: feasibility study (very preliminary!)

Vertical axis –  $\cos \phi_{\Delta p_T}$   
harmonic in elastic dijets  $A_{LL}$ .

$pT$  = jets b2b momentum  
 $\Delta$  = momentum transfer  
assumed int. luminosity =  $100 \text{ fb}^{-1}$



JAMsmallx (Brandon Manley) – **preliminary!**



# Conclusions

We have constructed new evolution equations in  $x$  for the quark and gluon helicity distributions. We can now predict the  $x$ -dependence of helicity PDFs at small  $x$ .

These equations have now been solved at large- $N_c$  yielding the small- $x$  asymptotics of  $\Delta\Sigma(x, Q^2)$  and  $\Delta G(x, Q^2)$ . The solution of the large- $N_c$ & $N_f$  equations will soon be on the arXiv as well.

First successful fit (JAMsmallx) of polarized world DIS+ SIDIS data for  $x < 0.1$  done using solely the small- $x$  helicity evolution (KPS-CTT version of evolution). There is a clear possibility of a significant amount of proton spin to be found at small  $x$ . Some of the polarized p+p collisions data has recently been included in the fit as well.

Similar analysis has been carried out for the orbital angular momentum (OAM) distributions: small- $x$  evolution equations have been derived, small- $x$  asymptotics for  $L_q(x, Q^2)$  and  $L_G(x, Q^2)$  have been obtained, and phenomenology (elastic dijets at EIC) is under way – one may be able to measure OAM at EIC!

This project is well on its way to resolve the (small- $x$  part of the) proton spin puzzle, with the help of the EIC data.



# Backup Slides

# Resummation parameter

- BK equation resums powers of

$$\alpha_s N_c Y$$

- The Glauber-Mueller/McLerran-Venugopalan initial conditions resum powers of

$$\alpha_s^2 A^{1/3}$$

- Beyond the large- $N_c$  limit: use the JIMWLK functional evolution equation (Iancu, Jalilian-Marian, Kovner, Leonidov, McLerran and Weigert, 1997-2002)

# JIMWLK: derivation outline

A.H. Mueller, 2001

- Start by introducing a weight functional,  $W_Y[\alpha]$ . Here  $\alpha = A^+$  is the gluon field of the target proton or nucleus.  $\alpha(x^-, \vec{x}) \equiv A^+(x^+ = 0, x^-, \vec{x})$
- The functional is used to generate expectation values of gluon-field dependent operators in the target state:

$$\langle \hat{O}_\alpha \rangle_Y = \int \mathcal{D}\alpha \hat{O}_\alpha W_Y[\alpha]$$

- Imagine that we know small-x evolution for some operator  $O$ :

$$\partial_Y \langle \hat{O}_\alpha \rangle_Y = \langle \mathcal{K}_\alpha \otimes \hat{O}_\alpha \rangle_Y = \int \mathcal{D}\alpha [\mathcal{K}_\alpha \otimes \hat{O}_\alpha] W_Y[\alpha]$$

- On the other hand, we can differentiate the first equation above,

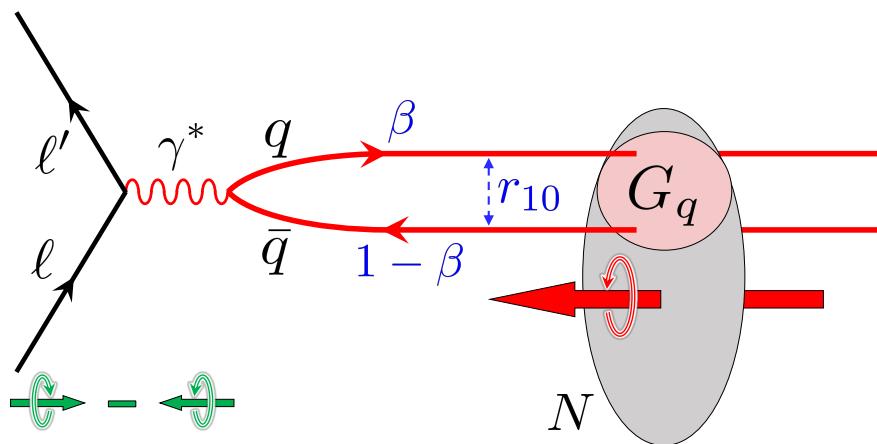
$$\partial_Y \langle \hat{O}_\alpha \rangle_Y = \int \mathcal{D}\alpha \hat{O}_\alpha \partial_Y W_Y[\alpha]$$

- Comparing the last two equations and integrating by parts in the second to last equation, we will arrive at an equation for the weight functional  $W_Y[\alpha]$ .

# Quark Helicity Distribution at Small x

- One can show that the quark helicity PDF ( $\Delta\Sigma$ ) at small-x can be expressed in terms of the polarized dipole amplitude:

$$\Delta\Sigma(x, Q^2) \sim G(r_{10}^2, \beta)$$



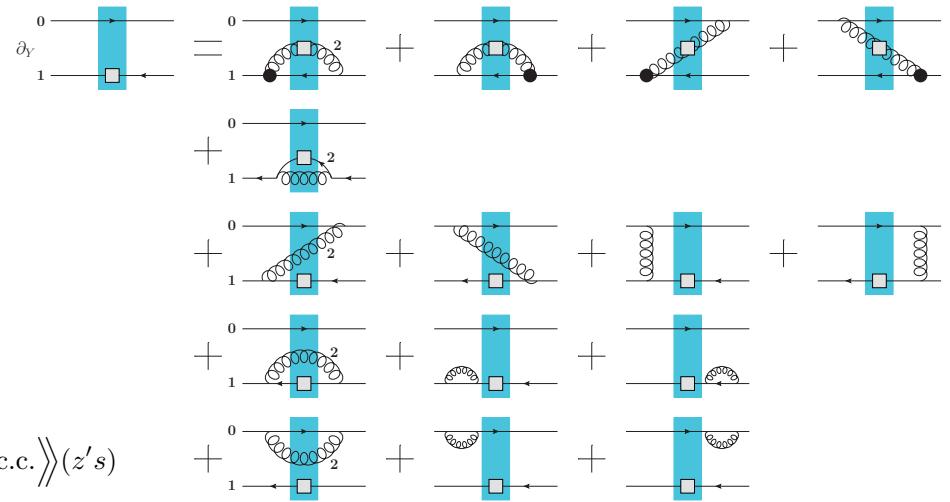
$\beta$  = longitudinal momentum fraction (aka z);  
 $r_{10}$  = (transverse) dipole size (aka  $x_{10}$ )

$$\Delta\Sigma \equiv \Delta u + \Delta \bar{u} + \Delta d + \Delta \bar{d} + \Delta s + \Delta \bar{s}$$

# Evolution for Polarized Quark Dipole

$$\langle\langle \dots \rangle\rangle = z s \langle \dots \rangle$$

$$\begin{aligned}
& \frac{1}{2N_c} \left\langle\!\left\langle \text{tr} \left[ V_0 V_1^{\text{pol}[1]\dagger} \right] + \text{c.c.} \right\rangle\!\right\rangle(zs) = \frac{1}{2N_c} \left\langle\!\left\langle \text{tr} \left[ V_0 V_1^{\text{pol}[1]\dagger} \right] + \text{c.c.} \right\rangle\!\right\rangle_0(zs) \\
& + \frac{\alpha_s N_c}{2\pi^2} \int_{\frac{\Lambda^2}{s}}^z \frac{dz'}{z'} \int d^2 x_2 \left\{ \left[ \frac{1}{x_{21}^2} - \frac{x_{21}}{x_{21}^2} \cdot \frac{x_{20}}{x_{20}^2} \right] \frac{1}{N_c^2} \left\langle\!\left\langle \text{tr} \left[ t^b V_0 t^a V_1^\dagger \right] \left( U_2^{\text{pol}[1]} \right)^{ba} + \text{c.c.} \right\rangle\!\right\rangle(z's) \right. \\
& + \left[ 2 \frac{\epsilon^{ij} x_{21}^j}{x_{21}^4} - \frac{\epsilon^{ij} (x_{20}^j + x_{21}^j)}{x_{20}^2 x_{21}^2} - \frac{2 x_{20} \times x_{21}}{x_{20}^2 x_{21}^2} \left( \frac{x_{21}^i}{x_{21}^2} - \frac{x_{20}^i}{x_{20}^2} \right) \right] \frac{1}{N_c^2} \left\langle\!\left\langle \text{tr} \left[ t^b V_0 t^a V_1^\dagger \right] \left( U_2^{iG[2]} \right)^{ba} \right\rangle\!\right\rangle(z's) \Big\} \\
& + \frac{\alpha_s N_c}{4\pi^2} \int_{\frac{\Lambda^2}{s}}^z \frac{dz'}{z'} \int \frac{d^2 x_2}{x_{21}^2} \left\{ \frac{1}{N_c^2} \left\langle\!\left\langle \text{tr} \left[ t^b V_0 t^a V_2^{\text{pol}[1]\dagger} \right] U_1^{ba} \right\rangle\!\right\rangle(z's) + 2 \frac{\epsilon^{ij} x_{21}^j}{x_{21}^2} \frac{1}{N_c^2} \left\langle\!\left\langle \text{tr} \left[ t^b V_0 t^a V_2^{iG[2]\dagger} \right] U_1^{ba} \right\rangle\!\right\rangle(z's) \right\} \\
& + \frac{\alpha_s N_c}{2\pi^2} \int_{\frac{\Lambda^2}{s}}^z \frac{dz'}{z'} \int d^2 x_2 \frac{x_{10}^2}{x_{21}^2 x_{20}^2} \left\{ \frac{1}{N_c^2} \left\langle\!\left\langle \text{tr} \left[ t^b V_0 t^a V_1^{\text{pol}[1]\dagger} \right] U_2^{ba} \right\rangle\!\right\rangle(z's) - \frac{C_F}{N_c^2} \left\langle\!\left\langle \text{tr} \left[ V_0 V_1^{\text{pol}[1]\dagger} \right] \right\rangle\!\right\rangle(z's) \right\} + \\
& \text{c.c.}
\end{aligned}$$



**Equation does not close!**