

Gravitational Waves and Stellar-Mass Black-Hole Mergers

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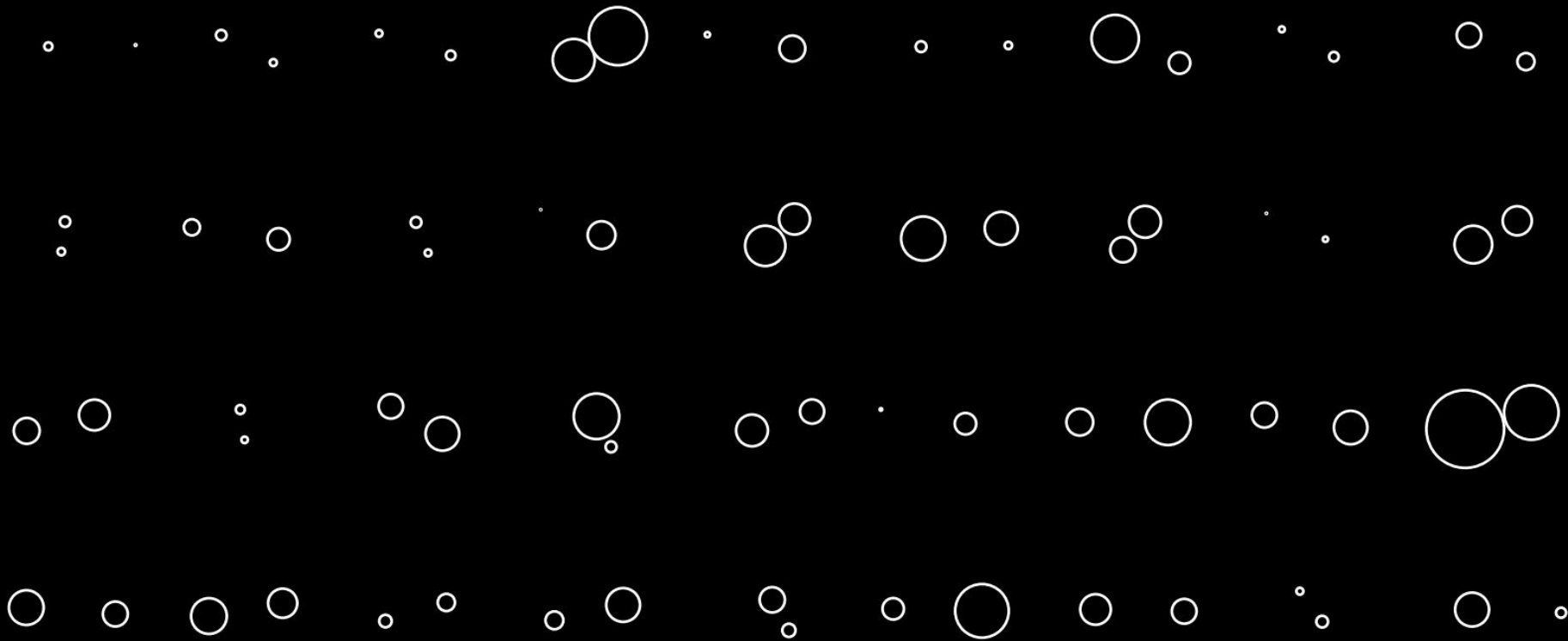
C I E R A

CENTER FOR INTERDISCIPLINARY EXPLORATION
AND RESEARCH IN ASTROPHYSICS



@actualdrdoctor





**Gain a working knowledge
of gravitational wave
detections, sources, and
implications***

* Primarily relating to stellar-mass compact objects

Overview

01.

Theory of
Gravitational waves

02.

Detecting
Gravitational Waves

03.

Characterizing GW
Sources

04.

Catalog of GW
Sources

05.

Astrophysical
Black-Hole Mergers

06.

Population
Inference

A gentle introduction to GWs and binary sources

$$g_{\alpha\beta} = \eta_{\alpha\beta} + h_{\alpha\beta},$$

Small perturbations h
to background metric

$$\left(-\frac{\partial^2}{\partial t^2} + \nabla^2 \right) \bar{h}^{\alpha\beta} = -16\pi T^{\alpha\beta}.$$

Wave equation w/
strain tensor T
(Lorentz gauge)

$$\bar{h}_{\alpha\beta} = \mathcal{A} \mathbf{e}_{\alpha\beta} \exp(ik_\gamma x^\gamma),$$

Wave solutions in
vacuum

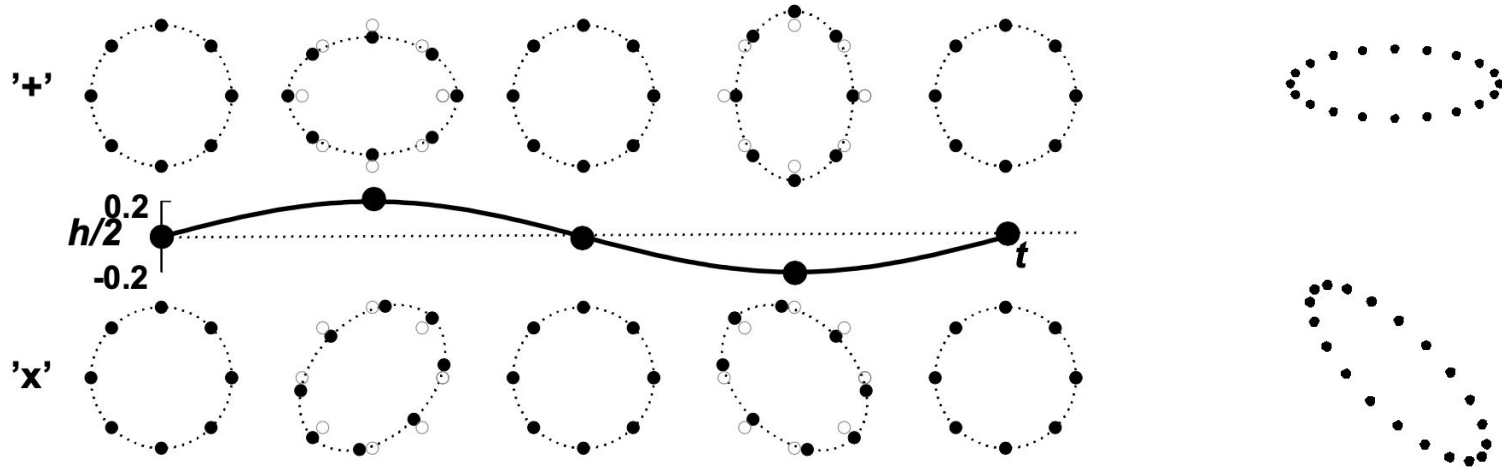
Transverse-Traceless Gauge

$$e^{ij} k_j = 0 \quad \text{transverse}$$

$$e^i_i = 0 \quad \text{traceless}$$



Two independent polarizations, aka “plus” (+) and “cross” (x)



Quadrupole formula

$$\bar{h}^{\text{TT}ij} = \frac{2}{r} \ddot{M}^{\text{TT}ij}$$

At leading order, strain is sourced by time-varying quadrupole moment M

$$L_{gw}^{mass} = \frac{1}{5} \left\langle \overset{\dots}{\tilde{M}}^{jk} \overset{\dots}{\tilde{M}}_{jk} \right\rangle$$

GW luminosity from mass quadrupole

GWs from a quasi-Circular Binary System

$$M_{xx} = \frac{1}{2} \mu R^2 \cos(2\Omega t)$$

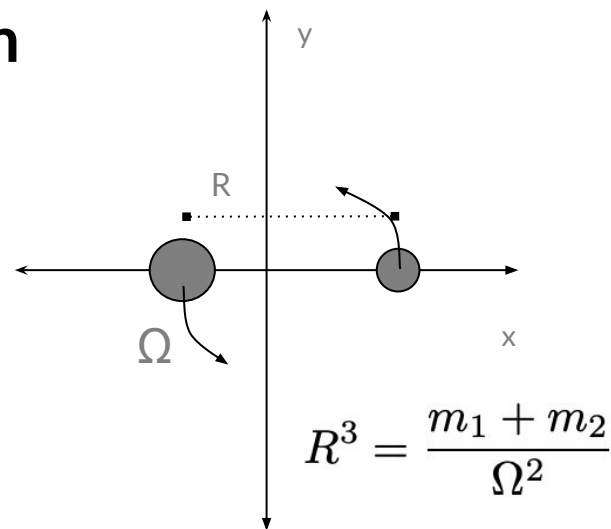
$$M^{\text{TT}xx} = M^{xx} / 2.$$

Components of the quadrupole moment

$$\bar{h}^{\text{TT}xx} = -2^{1/3} \frac{\mathcal{M}^{5/3} \Omega_{gw}^{2/3}}{r} \cos[\Omega_{gw}(t - r)],$$

$$L_{gw} = \frac{4}{5 \cdot 2^{1/3}} (\mathcal{M} \Omega_{gw})^{10/3},$$

$$\mathcal{M} := \mu^{3/5} (m_1 + m_2)^{2/5}$$



Solve for strain and luminosity

“Chirp mass”

Energy Balance of GW-Emitting Binary

GW luminosity = orbital energy loss rate

$$\mathcal{M} = \frac{(m_1 m_2)^{3/5}}{(m_1 + m_2)^{1/5}} = \frac{c^3}{G} \left(\left(\frac{5}{96} \right)^3 \pi^{-8} f_{GW}^{-11} \dot{f}_{GW}^3 \right)^{1/5}$$

**Straightforward relation between observables
and binary parameters!!!!!!!**

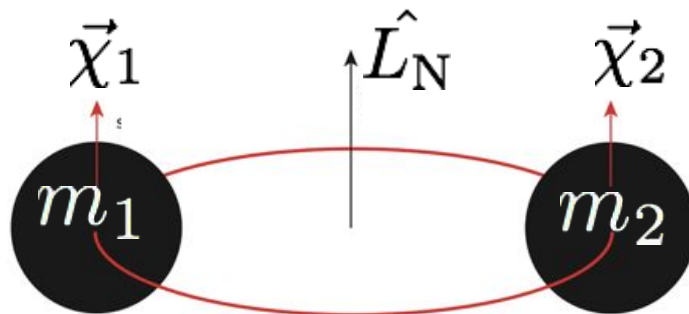
Chirping binaries are the ultimate astrophysical source

Unimpeded by
foregrounds

No calibration to
other astro
sources

Straightforward
astrophysical
inference

Effect of spins (lowest order)



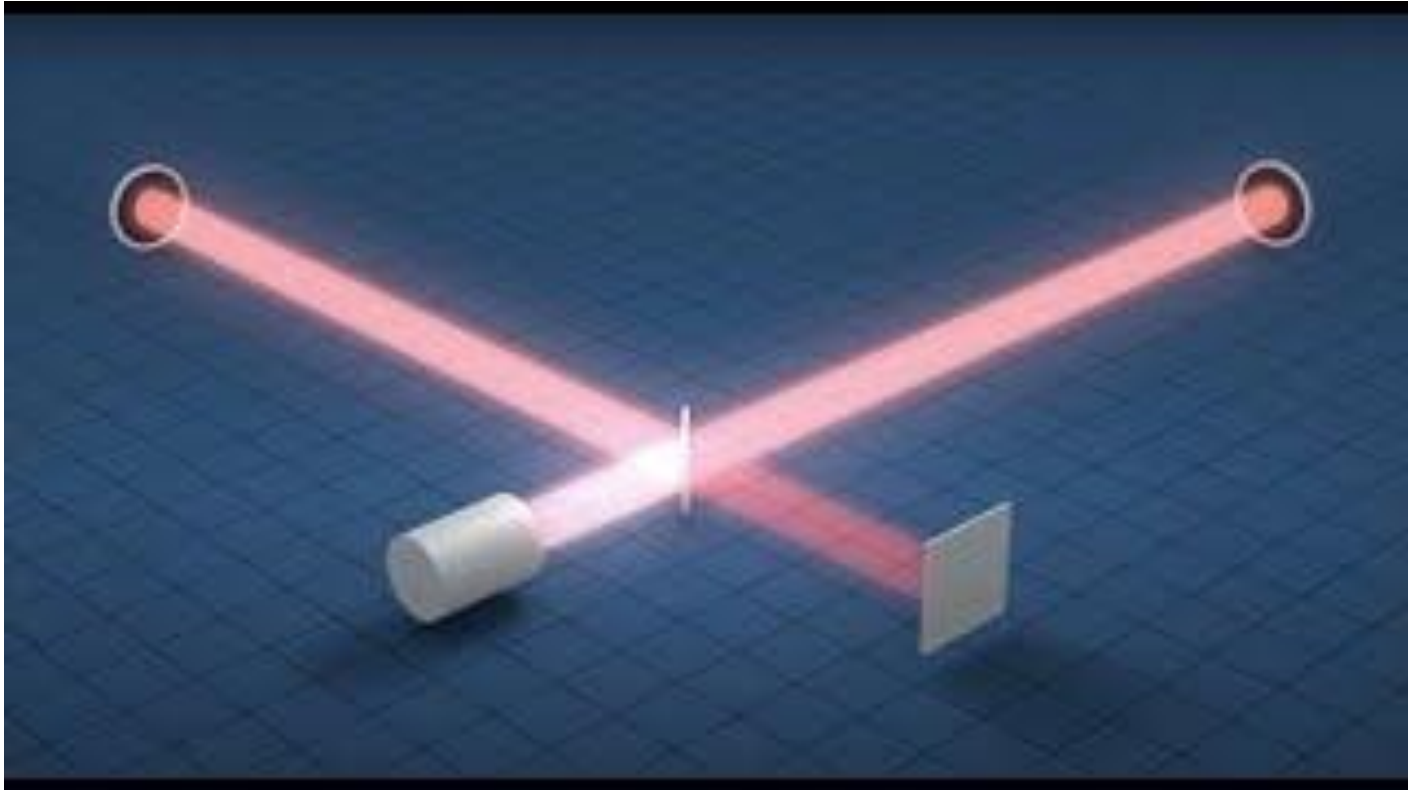
$$\chi_{\text{eff}} = \frac{(m_1 \vec{\chi}_1 + m_2 \vec{\chi}_2) \cdot \hat{L}_N}{M}$$

Effective Inspiral Spin
Parameter



**How can we detect
these GWs?**

Interferometry

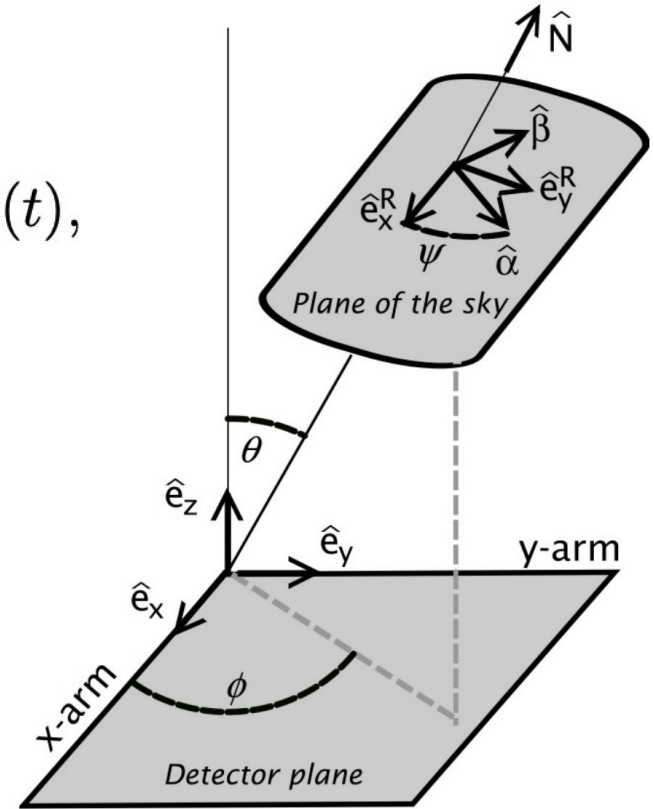


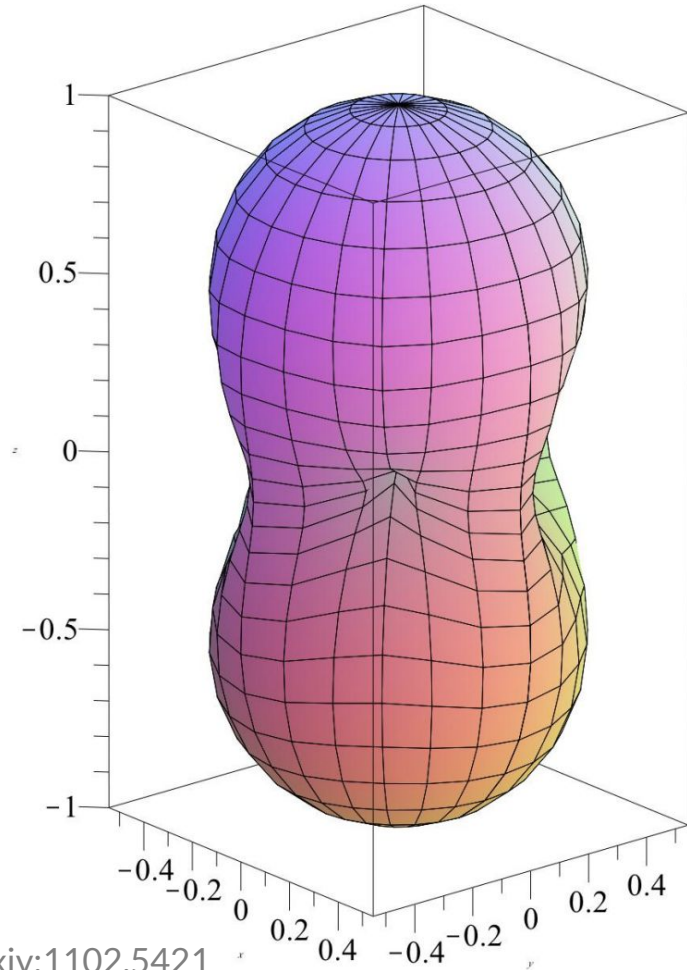
Interferometer response

$$\frac{\delta L(t)}{L} = F_+(\theta, \phi, \psi) h_+(t) + F_\times(\theta, \phi, \psi) h_\times(t),$$

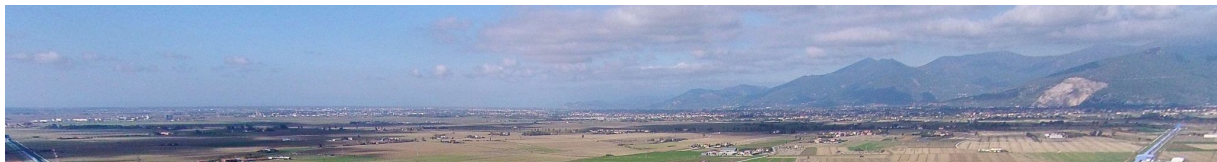
$$F_+ = \frac{1}{2} (1 + \cos^2 \theta) \cos 2\phi \cos 2\psi - \cos \theta \sin 2\phi \sin 2\psi,$$

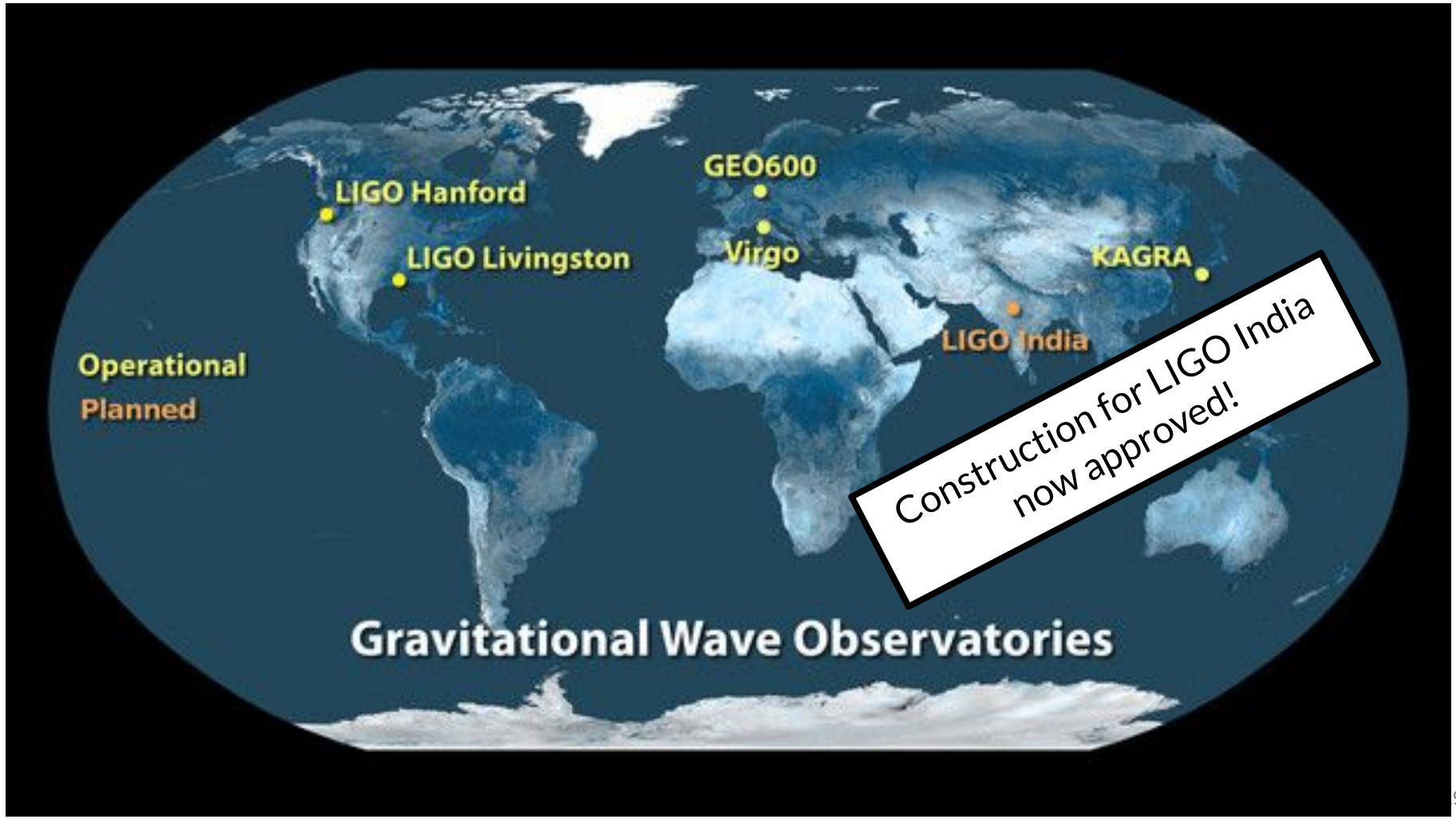
$$F_\times = \frac{1}{2} (1 + \cos^2 \theta) \cos 2\phi \sin 2\psi + \cos \theta \sin 2\phi \cos 2\psi.$$





Amplitude response of interferometer
(averaged over polarizations)



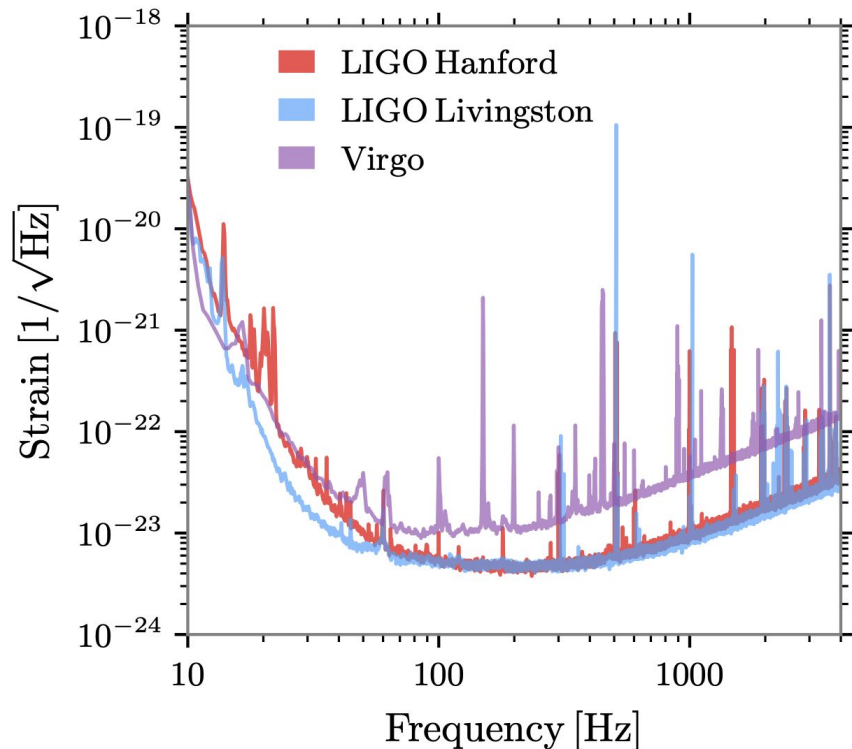


Operational
Planned

Gravitational Wave Observatories

Construction for LIGO India
now approved!

Detectors are noisy!



The amplitude spectral density of noise in detectors

Sources of noise include:

- Shot noise
- Thermal noise
- Ground motion
- Newtonian noise

Statistical distribution of detector noise

$$p(\{n(t_i)\}_i) = \frac{1}{\sqrt{2\pi|C|}} \exp\left\{-\frac{1}{2}n^\top C^{-1}n\right\}$$

Assume Gaussian noise*

$$p(\tilde{n}(f)) \sim \frac{1}{\int 2\pi S_n(f) df} e^{-\frac{1}{2}\langle n|n\rangle}$$

Covariance is diagonal (PSD S_n) in frequency domain

$$\langle a|b\rangle = 2 \int_0^\infty \frac{\tilde{a}(f)\tilde{b}^*(f) + \tilde{a}^*(f)\tilde{b}(f)}{S_n(f)} df$$

“Noise-weighted inner product”

* noise is not truly Gaussian current detectors

Matched Filtering

$$\hat{d}(t) = \int_{-\infty}^{\infty} d(t')K(t-t')dt'$$

$$\tilde{K}(f) = c \frac{\tilde{h}(f)}{S_n(f)}$$

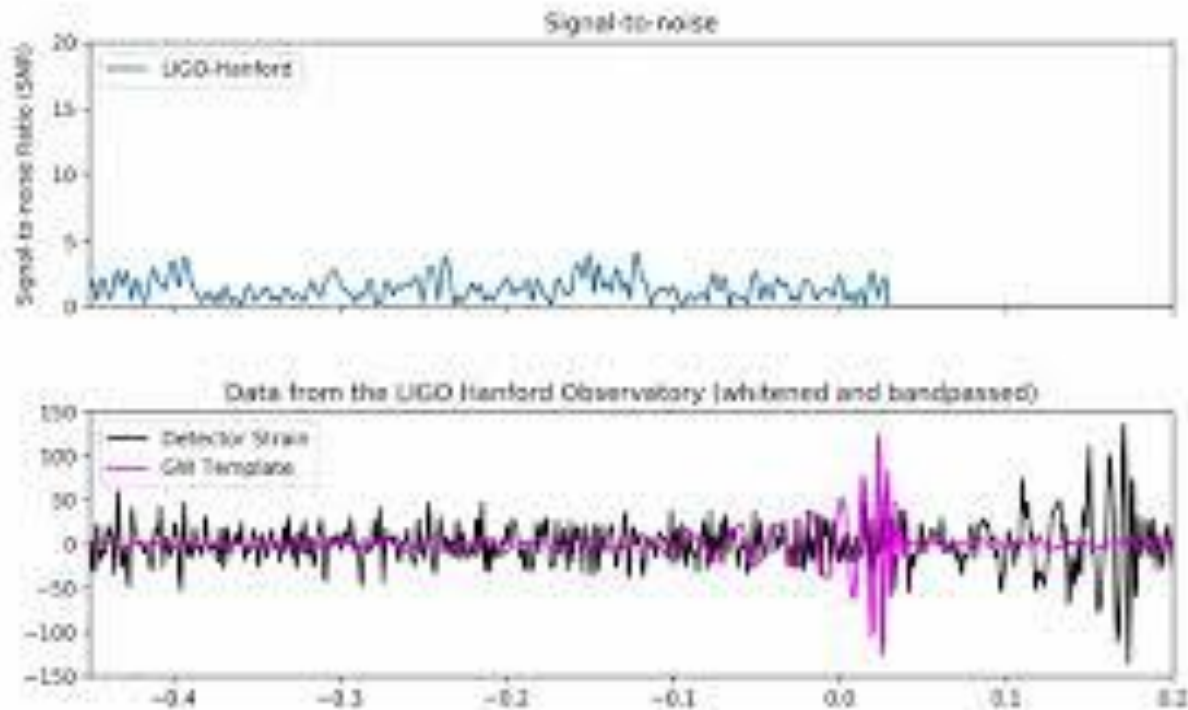
Filter the data with a template K that maximizes the signal-to-noise ratio

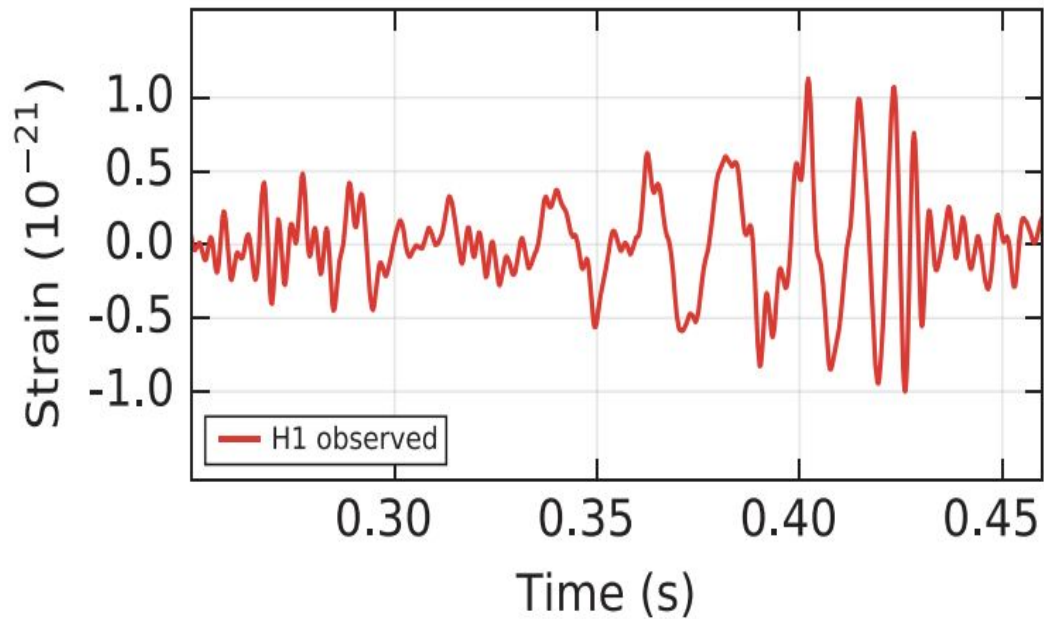
Optimal filter is the signal weighted by the PSD

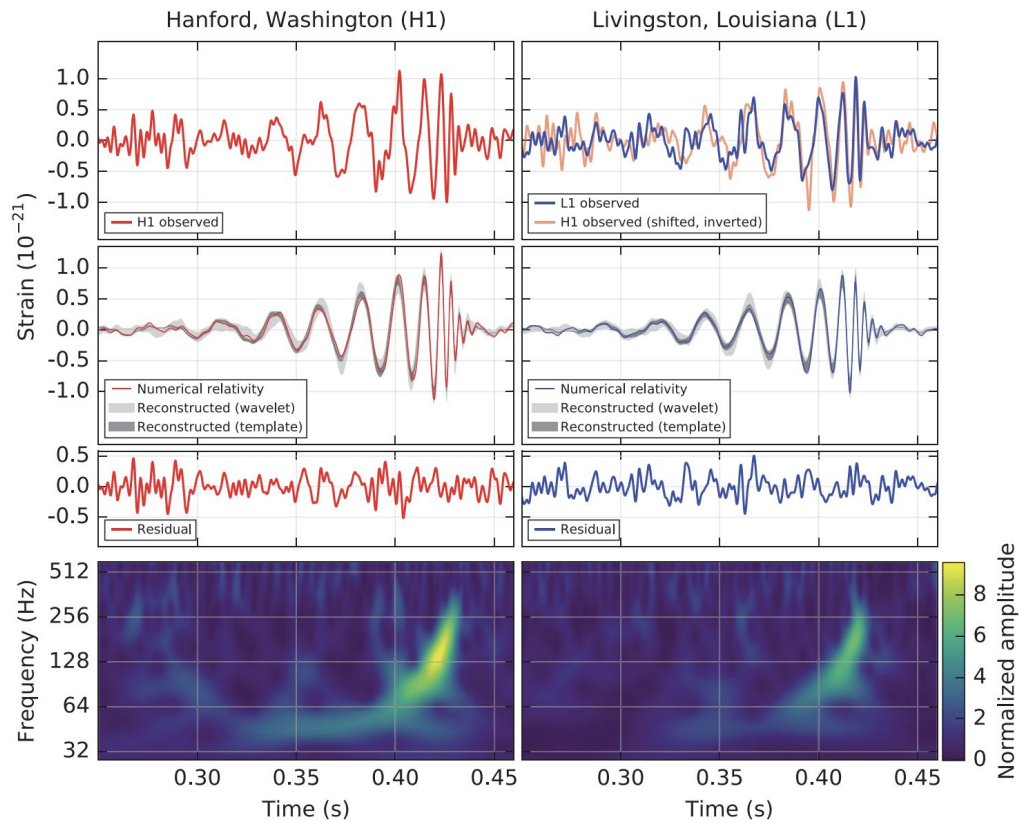
$$\rho^2(t) = \frac{\langle d|h \rangle^2}{\langle h|h \rangle}$$

“Matched-filter signal-to-noise ratio”

Matched Filtering in Action





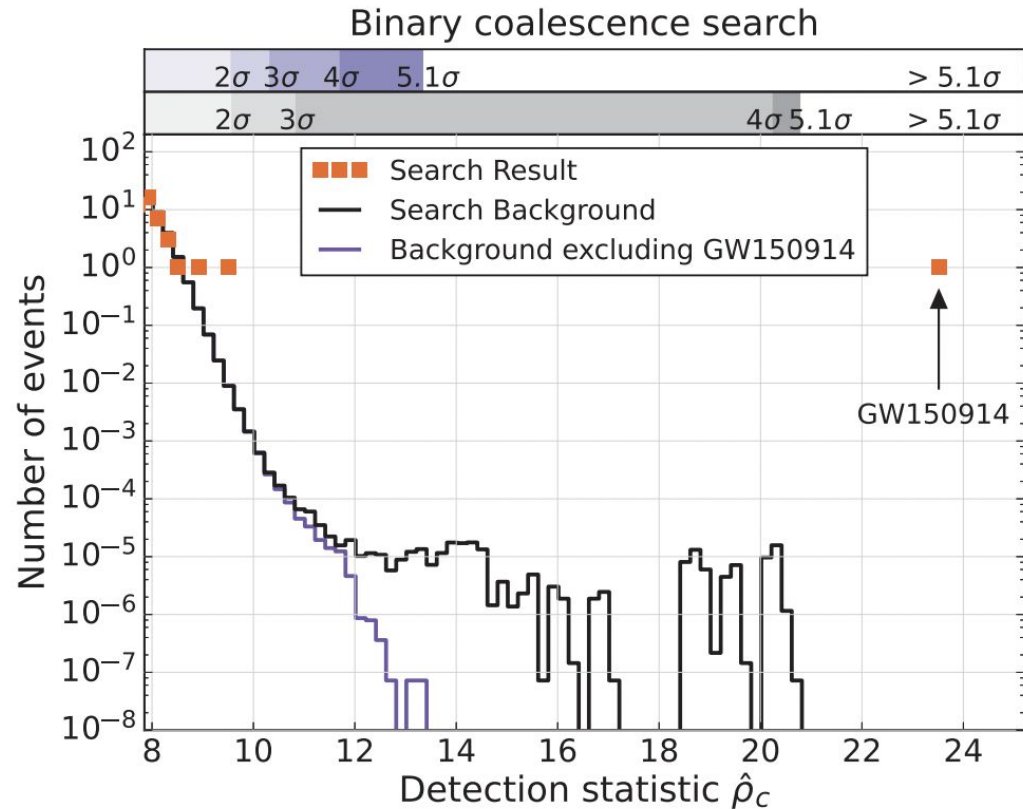


The first gravitational-wave detection:

GW150914

Noise can produce high SNR...

...but loud GW will produce even higher SNR!

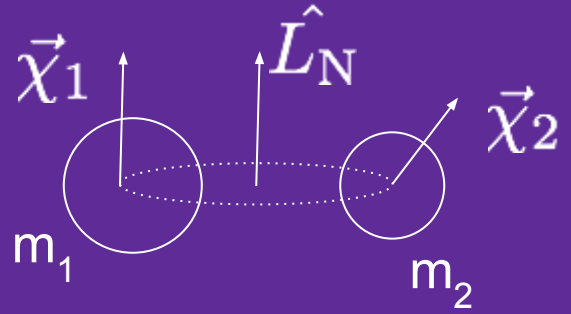


- $\sim 30 M_{\odot}$ BHs 
- ~ 0.5 Gpc away ($z \sim 0.1$) 

How do we measure
source properties,
including
uncertainties?

Bayesian Estimation of Source Parameters

$$p(\vec{\vartheta}|\vec{d}) \propto p(\vec{d}|\vec{\vartheta})\pi(\vec{\vartheta})$$



Source Parameters ϑ : Masses (m_1, m_2) and 3-D dimensionless spin vectors (χ_1, χ_2) of the two coalescing objects, luminosity distance, sky position,...

Data d : Strain in all operating detectors

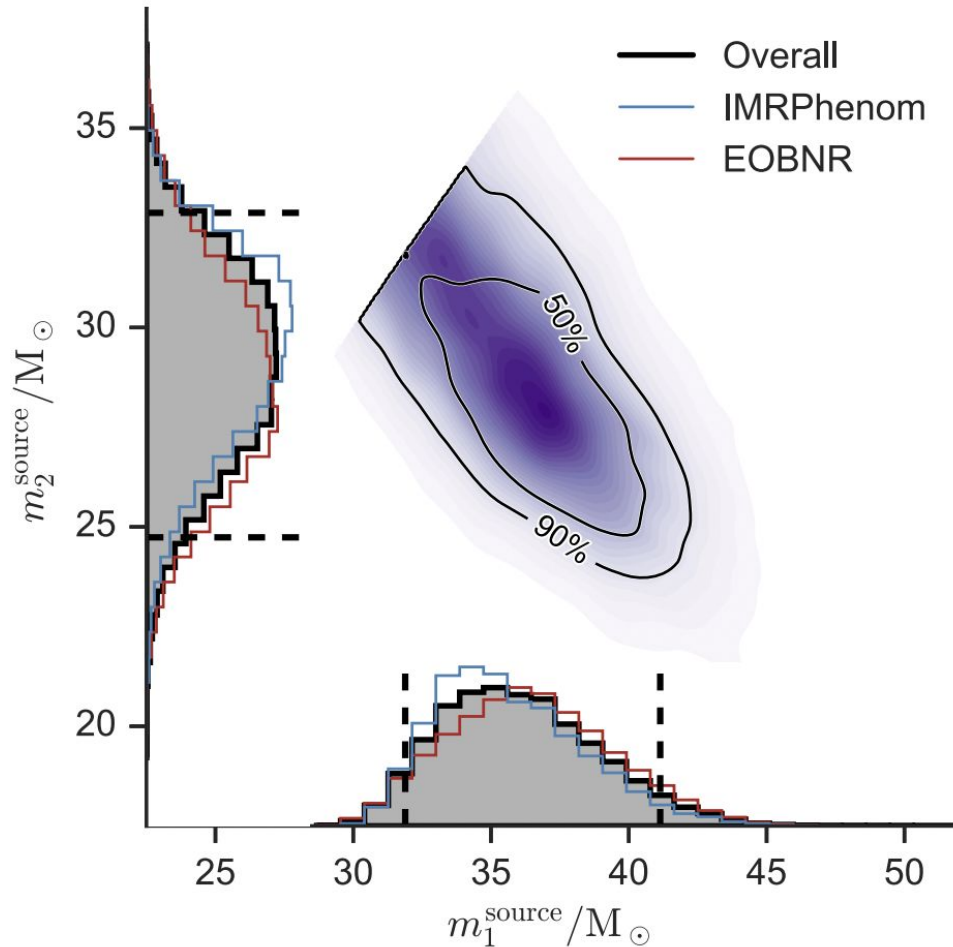
Likelihood: Gaussian in residuals between strain data and model

Priors: Up to analyst, but we will revisit...

Parameter Estimation in Practice

- GW source posterior is 15+ dimensions!
- Draw samples from the posterior distribution
- Use samples to perform monte carlo integrals over the posterior

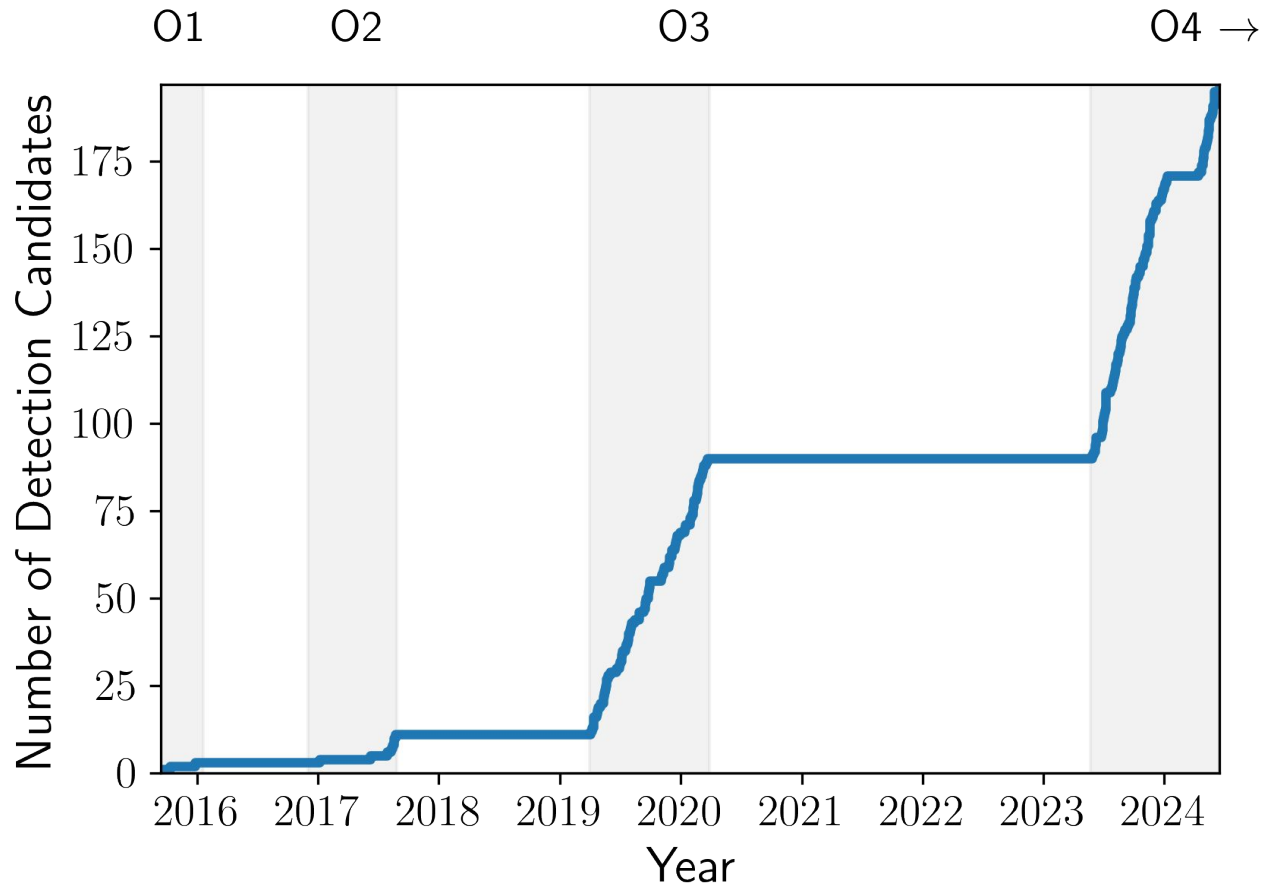
$$\int f(\theta) p(\theta|d) d\theta \approx \langle f(\theta) \rangle_{\text{samples}}$$



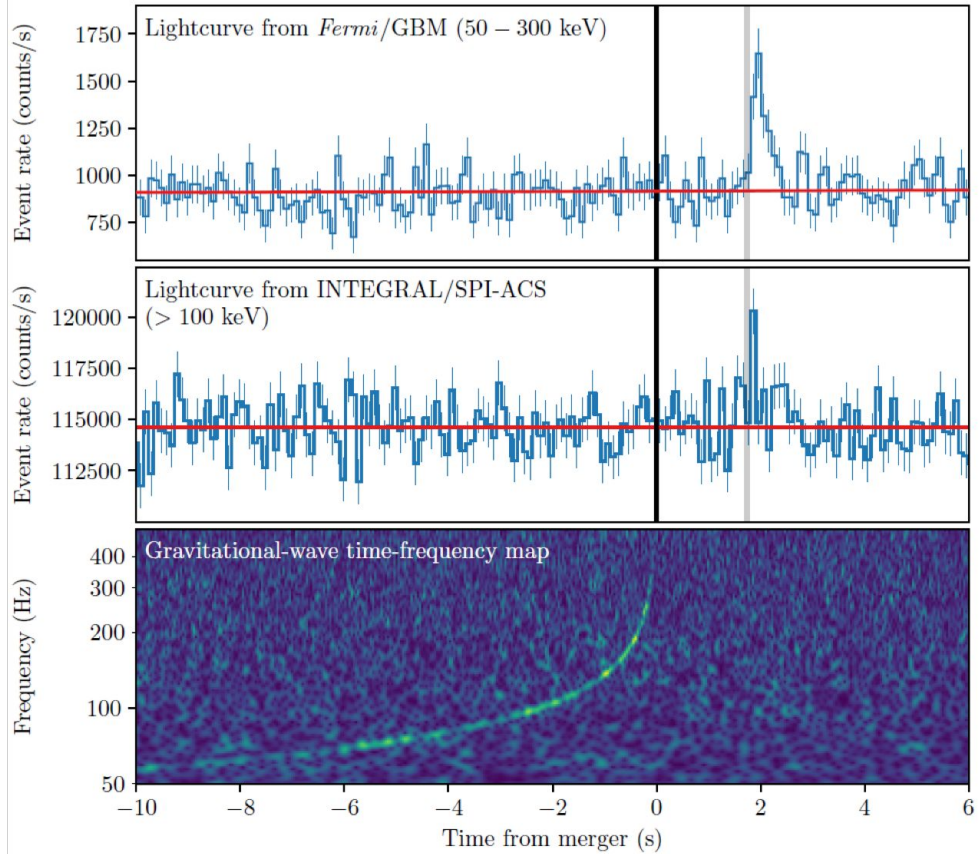
Use “**approximants**” to GR to quickly evaluate $h(t)$ for any source parameters (m_1, m_2, \dots)

- Effective one-body (EOB) family: include strong-field effects in test particle limit + calibration to NR
- IMRPhenom family: stitch PN and EOB results to NR
- Surrogate: interpolate NR simulations

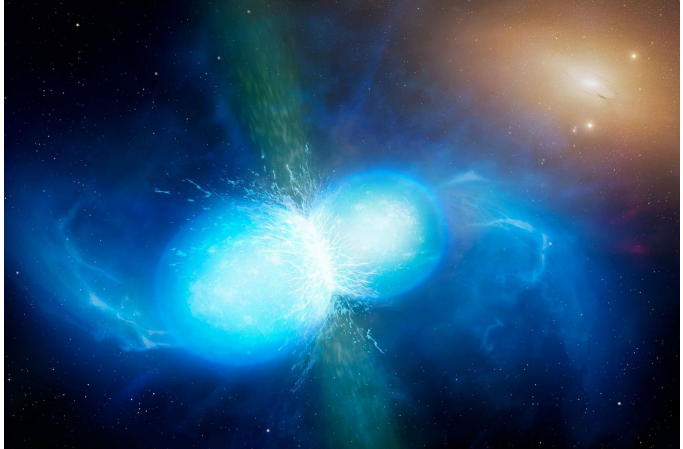
Catalog of Gravitational Wave Detections



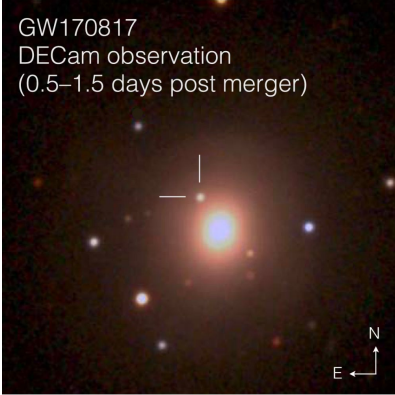
GW170817: Neutron-Star Merger w/ EM counterpart!



GW170817 Multi-messenger ApJL 848 L12 (2017)



Credit: University of Warwick/Mark Garlick

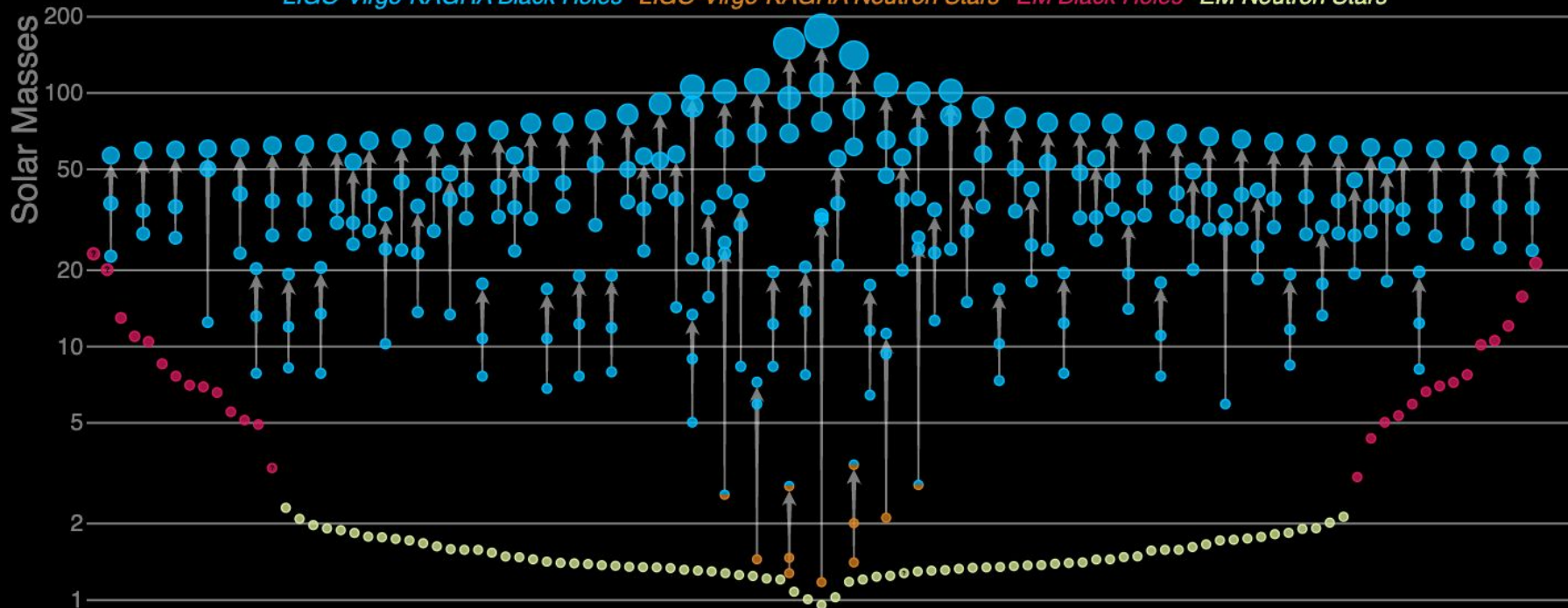


GW170817
DECAM observation
(0.5–1.5 days post merger)

Soares-Santos, ..., **ZD**, ... ApJL 848 L16 (2017)

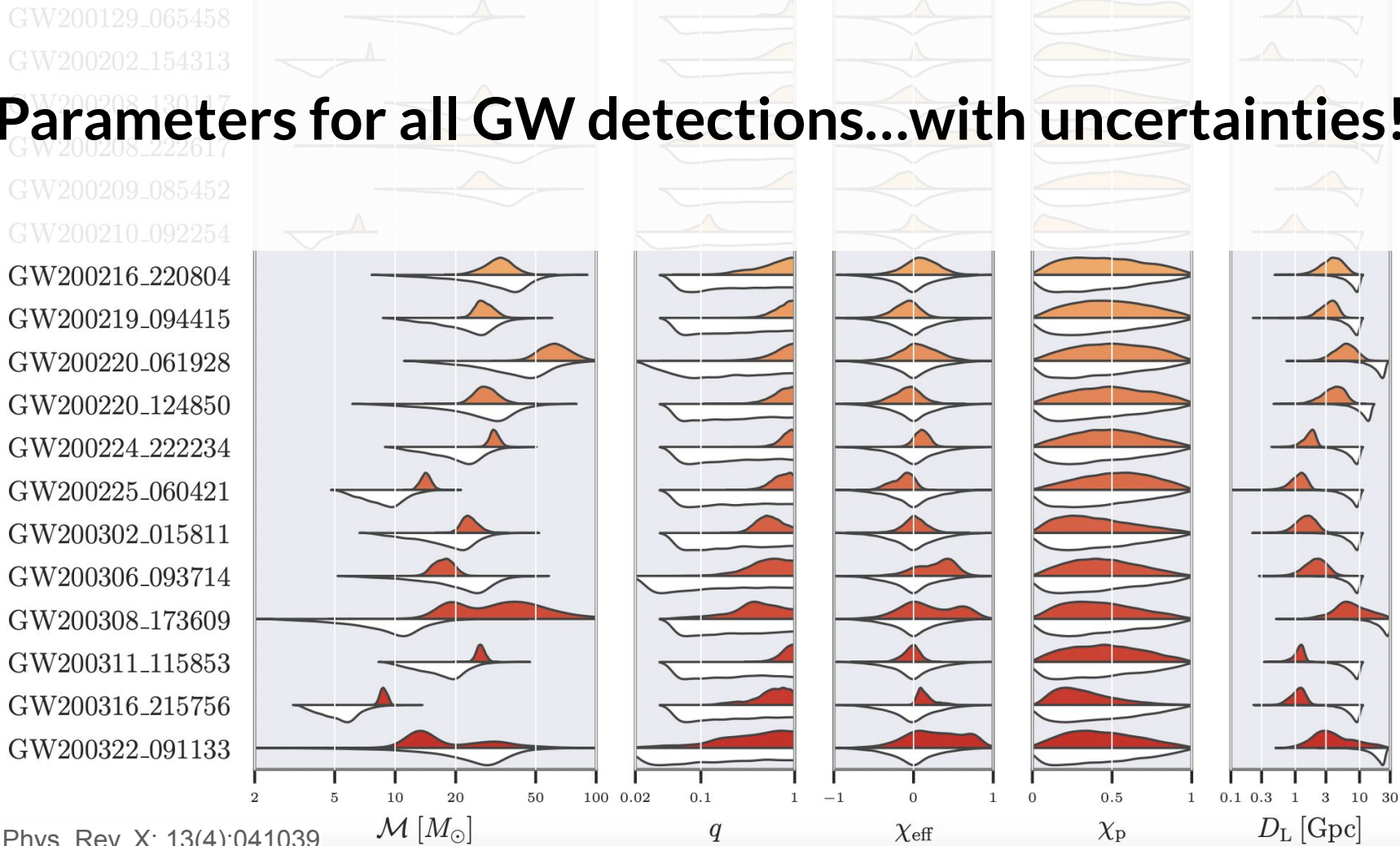
Masses in the Stellar Graveyard

LIGO-Virgo-KAGRA Black Holes LIGO-Virgo-KAGRA Neutron Stars EM Black Holes EM Neutron Stars

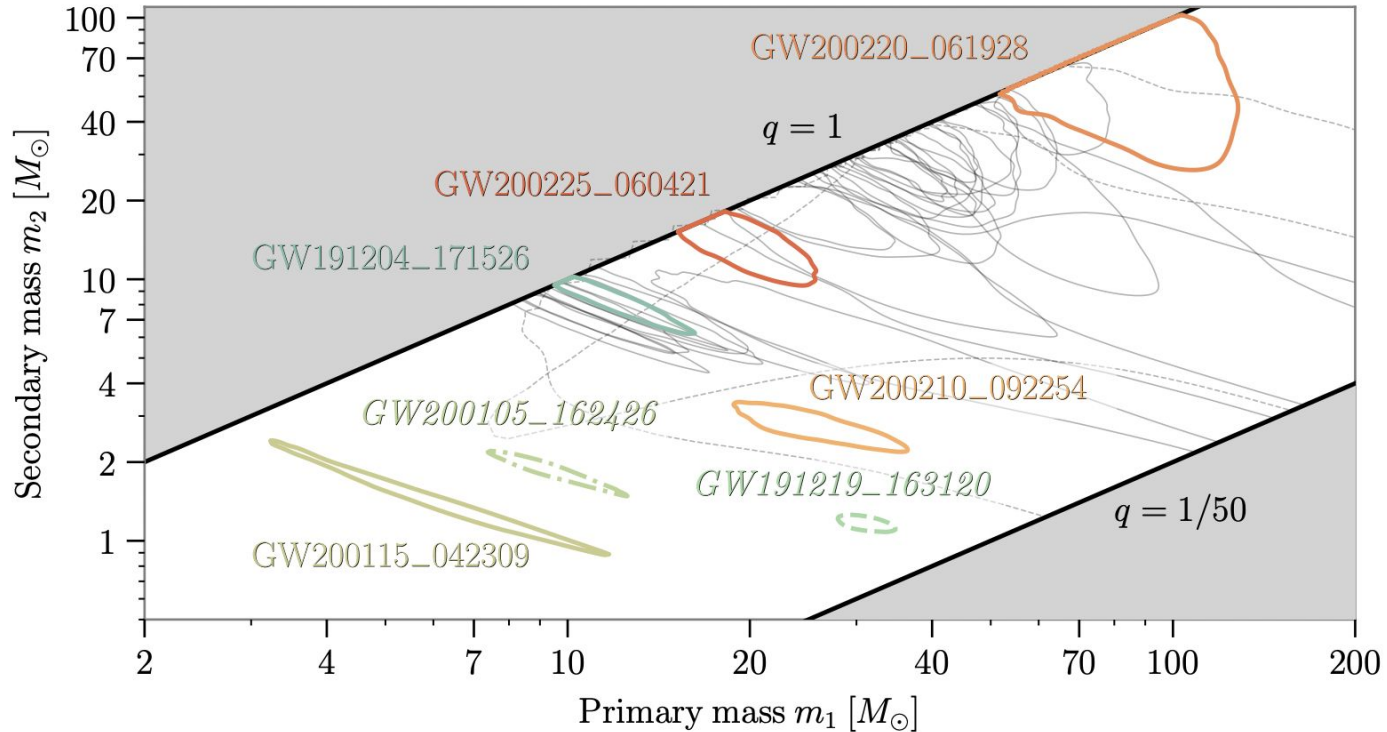


LIGO-Virgo-KAGRA | Aaron Geller | Northwestern

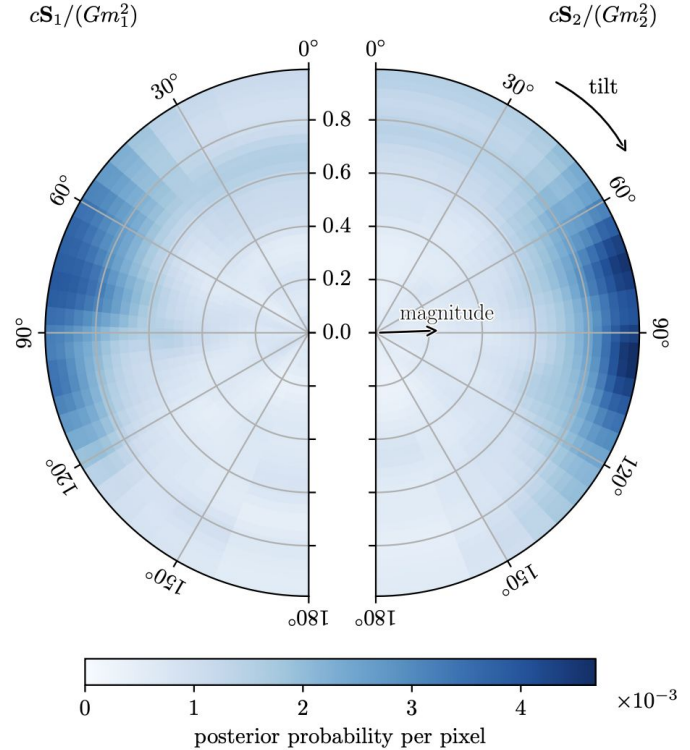
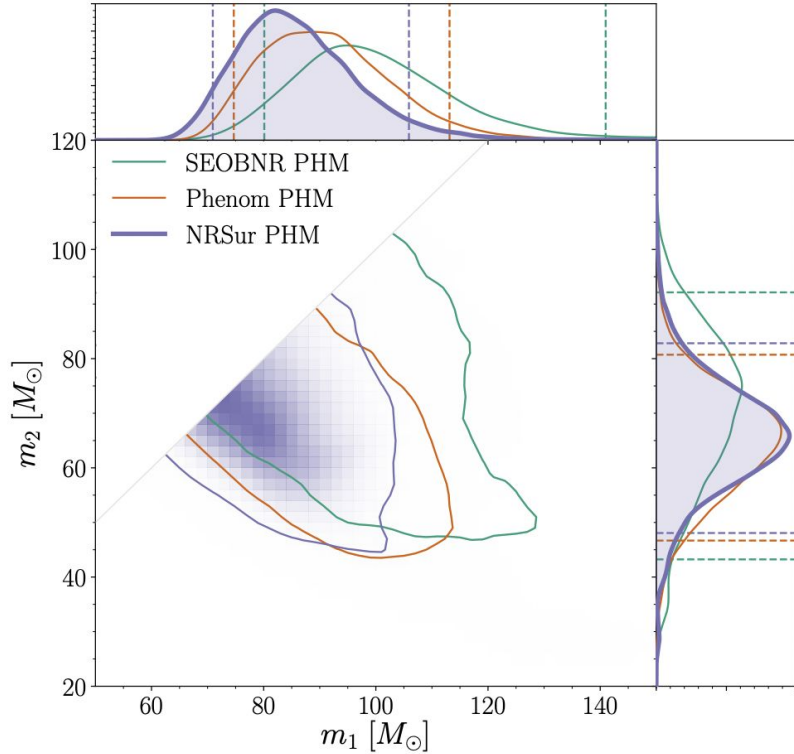
Parameters for all GW detections...with uncertainties!



Joint posterior samples for all events and parameters



GW190521 - Heavy BH Merger!





BINARY BLACK HOLE MERGERS AS OF MAY 2021: 48

GW200105

FIRST OBSERVATIONS OF
BLACK HOLE & **NEUTRON STAR**
HOLE **MERGERS**

GW200115

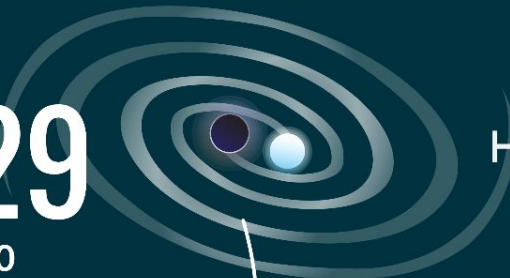
BINARY NEUTRON STAR MERGERS AS OF MAY 2021: 2



Get to know

GW230529

Full name GW230529_181500



~ 650 million light years away



Discovered on 29 May 2023 at 18h15 UTC

most likely a merger between a
Neutron Star & Black Hole (NSBH)



~1.4 M_{\odot}



~3.6 M_{\odot}

Most symmetric NSBH event so far

more likely than prior GW NSBHs to have the neutron star
ripped apart by the black hole

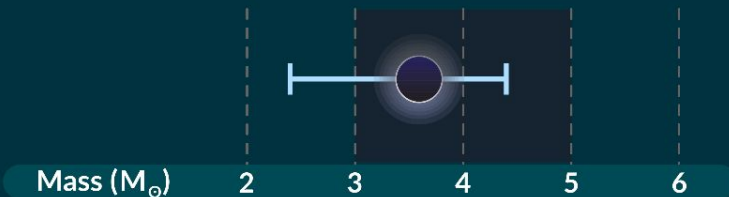
Detectors



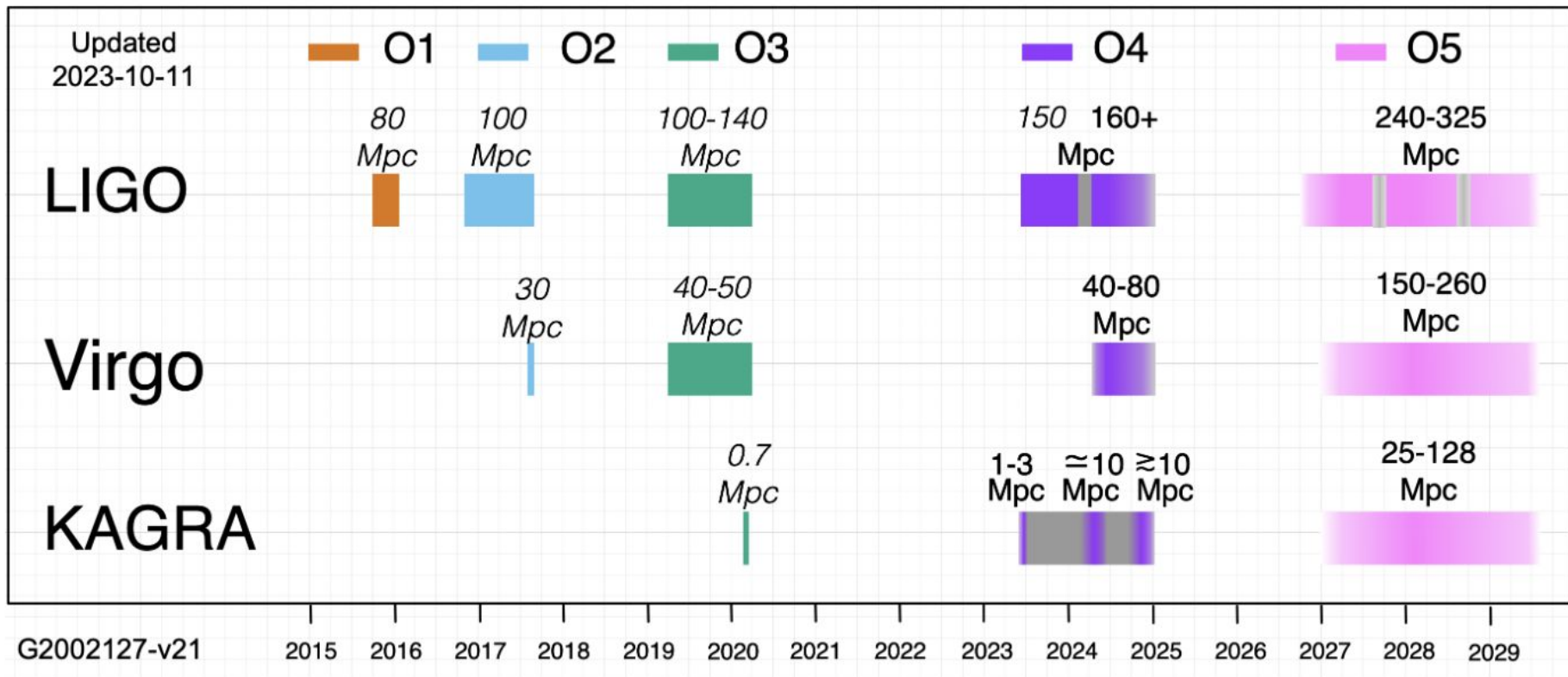
- Offline OR not operational
- Online BUT not used for analysis*
- Online AND used for analysis

Primary object in lower mass gap

further supports that this region is not empty



* Although the KAGRA detector was in observing mode, its sensitivity was insufficient to impact the analysis of GW230529





October 12, 2020

AARON JONES

[Post a Comment](#)



January 19, 2021

FRANCISCO LLAMAS

[Post a Comment](#)



May 27, 2020

ASHINI MODI

[Post a Comment](#)



September 28, 2020

DRIPTA BHATTACHARJEE

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October 30, 2019

WEYL E. COYOTE

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October 08, 2019

JOCELYN READ

[Post a Comment](#)



October 22, 2019

DEBNANDINI MUKHERJEE

[Post a Comment](#)



August 12, 2019

MAYA FISHBACH

[Post a Comment](#)



What have we learned from individual events?

$$p(\vec{\vartheta}|\vec{d}) \propto p(\vec{d}|\vec{\vartheta})\pi(\vec{\vartheta})$$

Strain data Source parameters

posterior likelihood prior

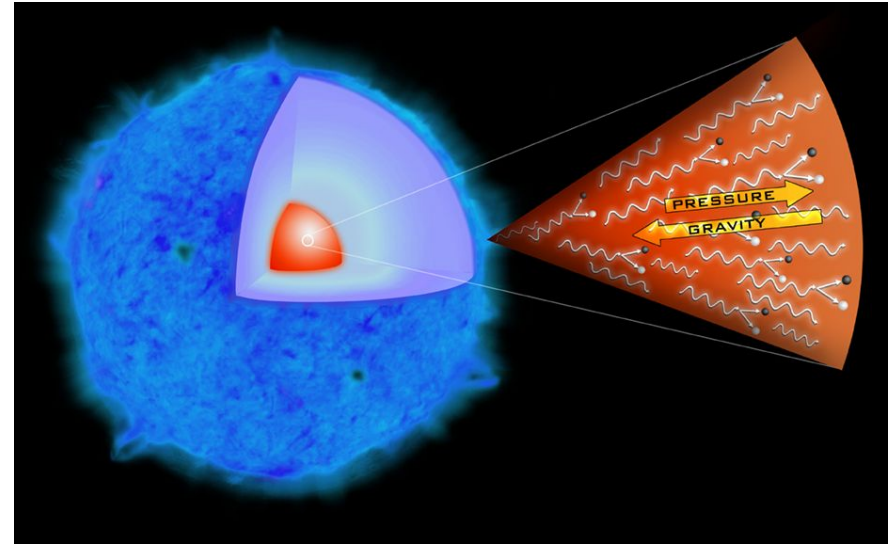
- BBH, BNS, NSBH can merge in a Hubble time!
- Some merging black holes spin
- The merging objects can have unequal masses
- BH and NS from GWs have different properties than those observed through EM

**How are compact-object
mergers produced?**

First we need to
form compact
objects...

Stellar Progenitors of Compact Objects

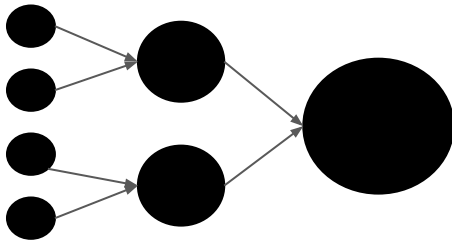
- Feature at transition from neutron stars to black holes?
- Feature at pair-instability supernova mass?
- Dips/peaks from non-linear mass - compactness relation of progenitor stars?



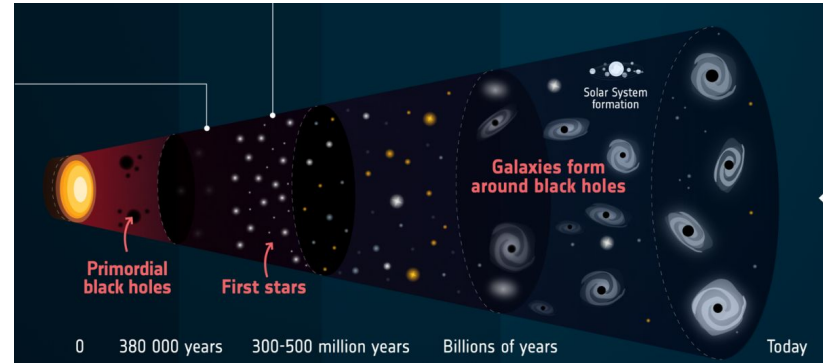
Pair instability supernova (credit NASA)

More Exotic Compact-Object Formation Scenarios

Hierarchical formation



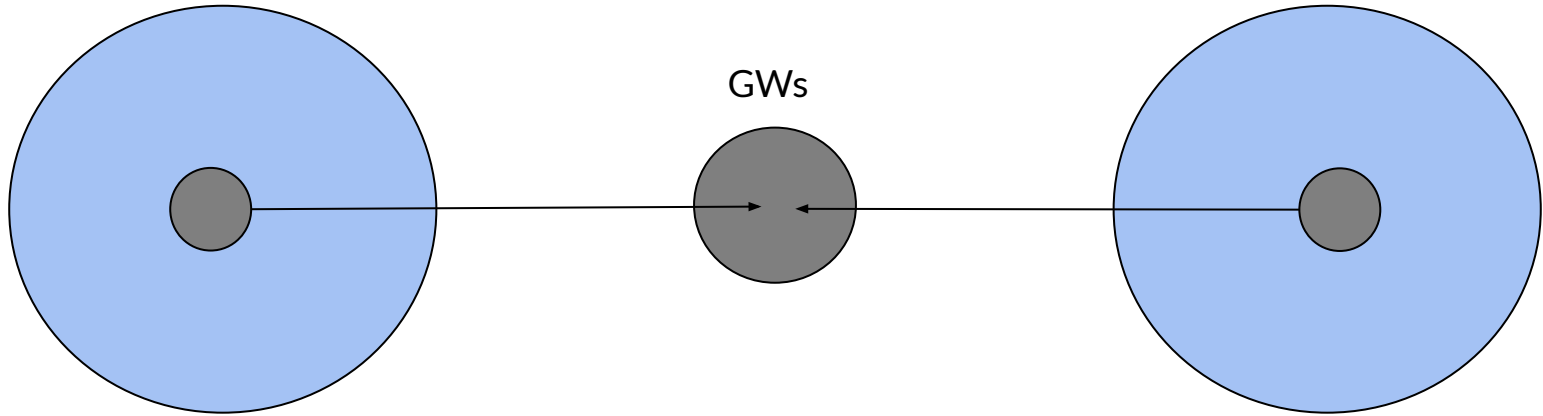
Primordial Formation



Credit: ESA

**...then we need
compact objects to
merge in a Hubble
time.**

What DOESN'T Work...



The Big Mystery...

Peters (1964):

$$a = \left(\frac{64G^3}{5c^5} M^3 t_{\text{merge}} \right)^{\frac{1}{4}} \sim 50 R_{\odot} \left(\frac{M}{60 M_{\odot}} \right)^{\frac{3}{4}} \left(\frac{t_{\text{merge}}}{14 \text{ Gyr}} \right)^{\frac{1}{4}}$$

To merge stellar-mass COs in a Hubble time,
they must be closer than the radii of their
progenitor stars!

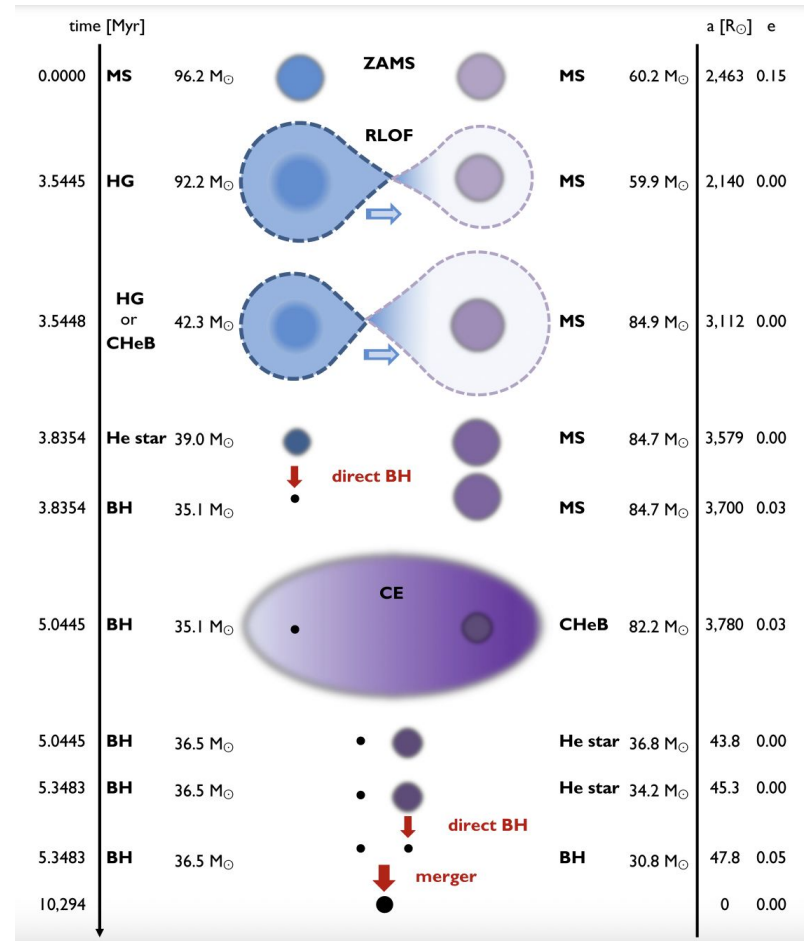
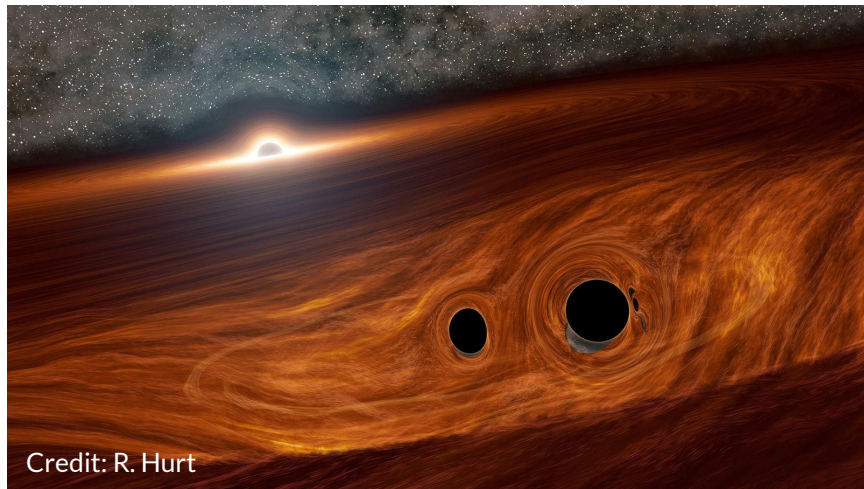
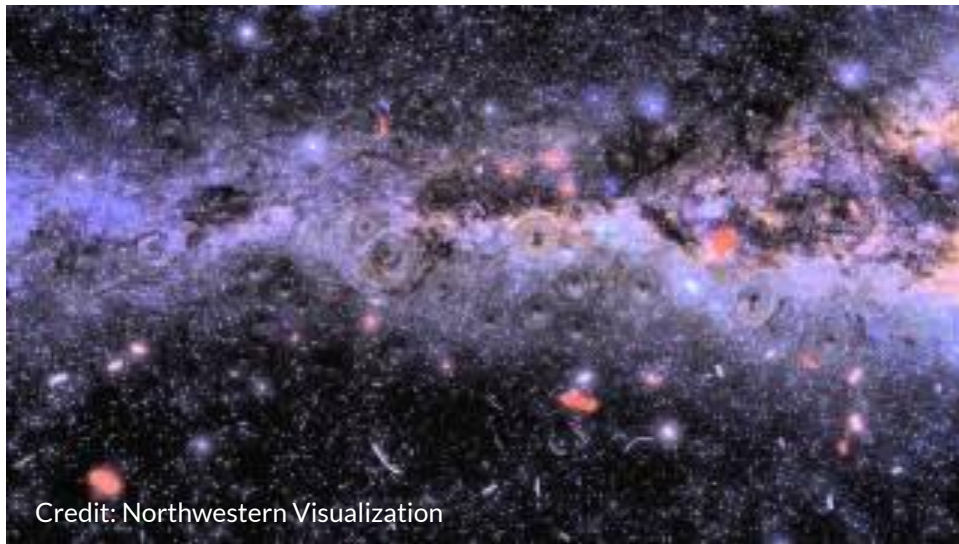
Two Families of Compact-Object Merger Channels

Dynamical Channel



Isolated Binary Channel





Belczynski, Holz, Bulik & O'Shaughnessy. Nature (2016)

Many models, many knobs!

- Initial mass function of stars / COs
- Stability of mass transfer
- Cluster potential
- Metallicity evolution
- Accretion efficiency
- ...

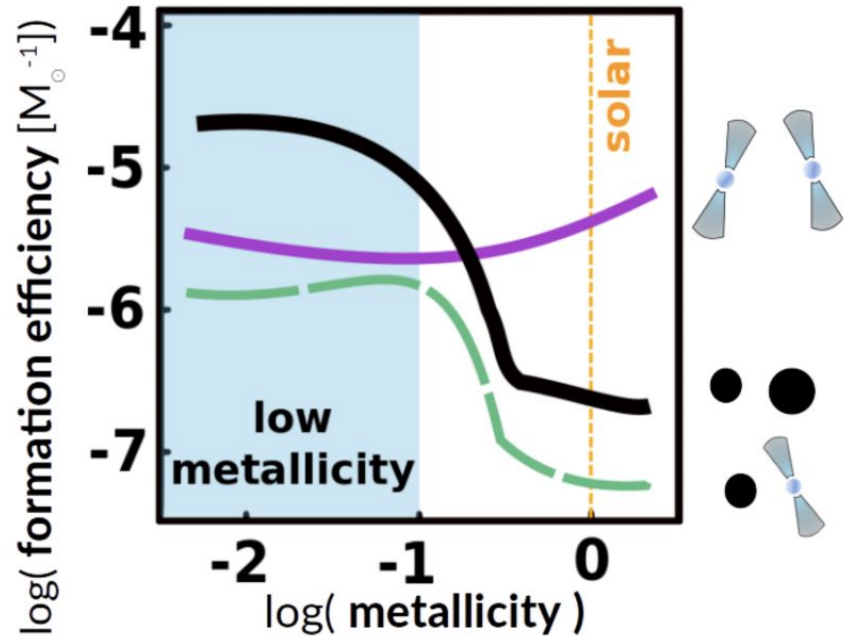
Double Compact Object Formation Depends Strongly on Metallicity

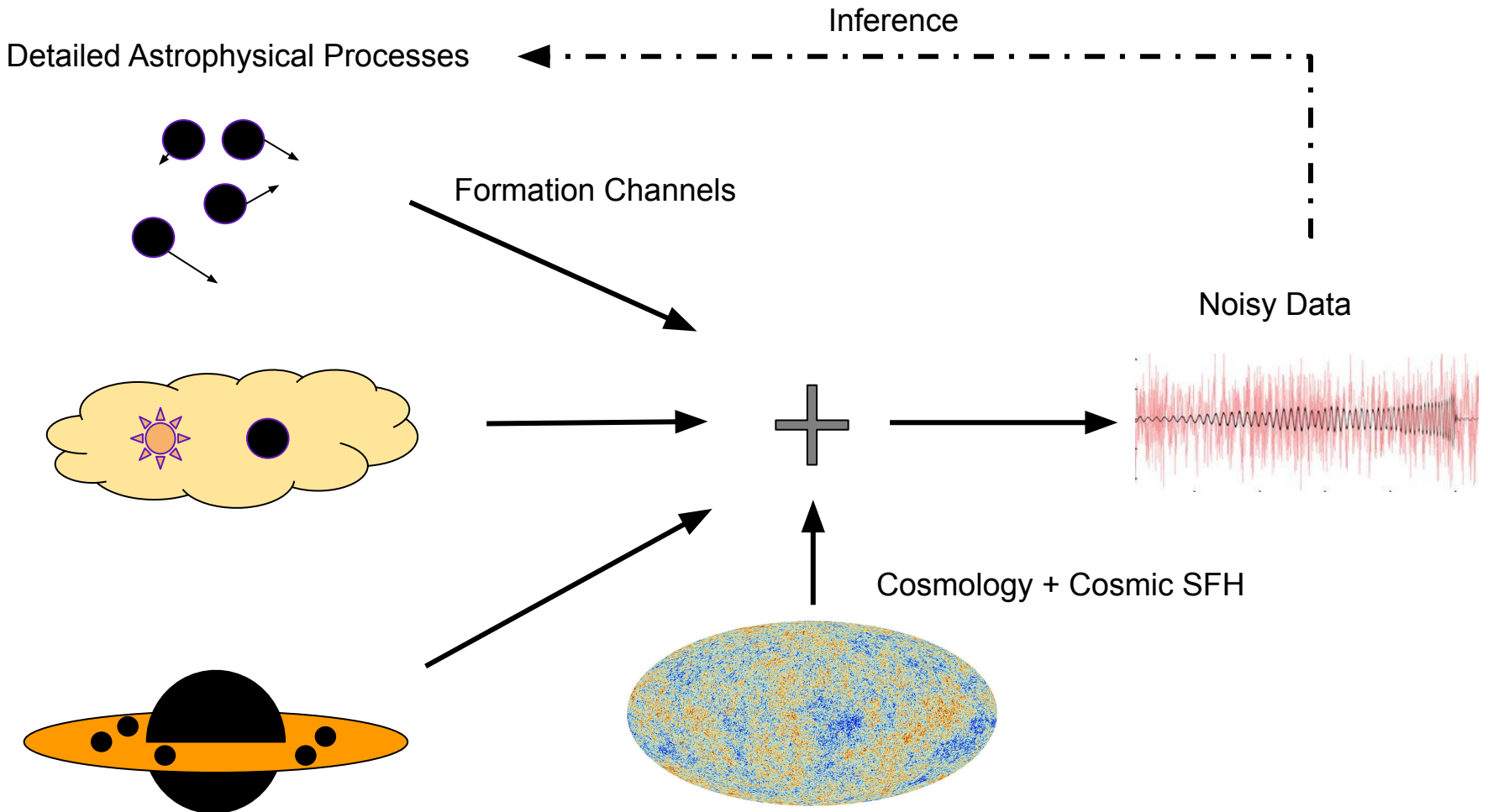
More metals...

→ more lines

→ more stellar winds

→ **smaller compact objects**



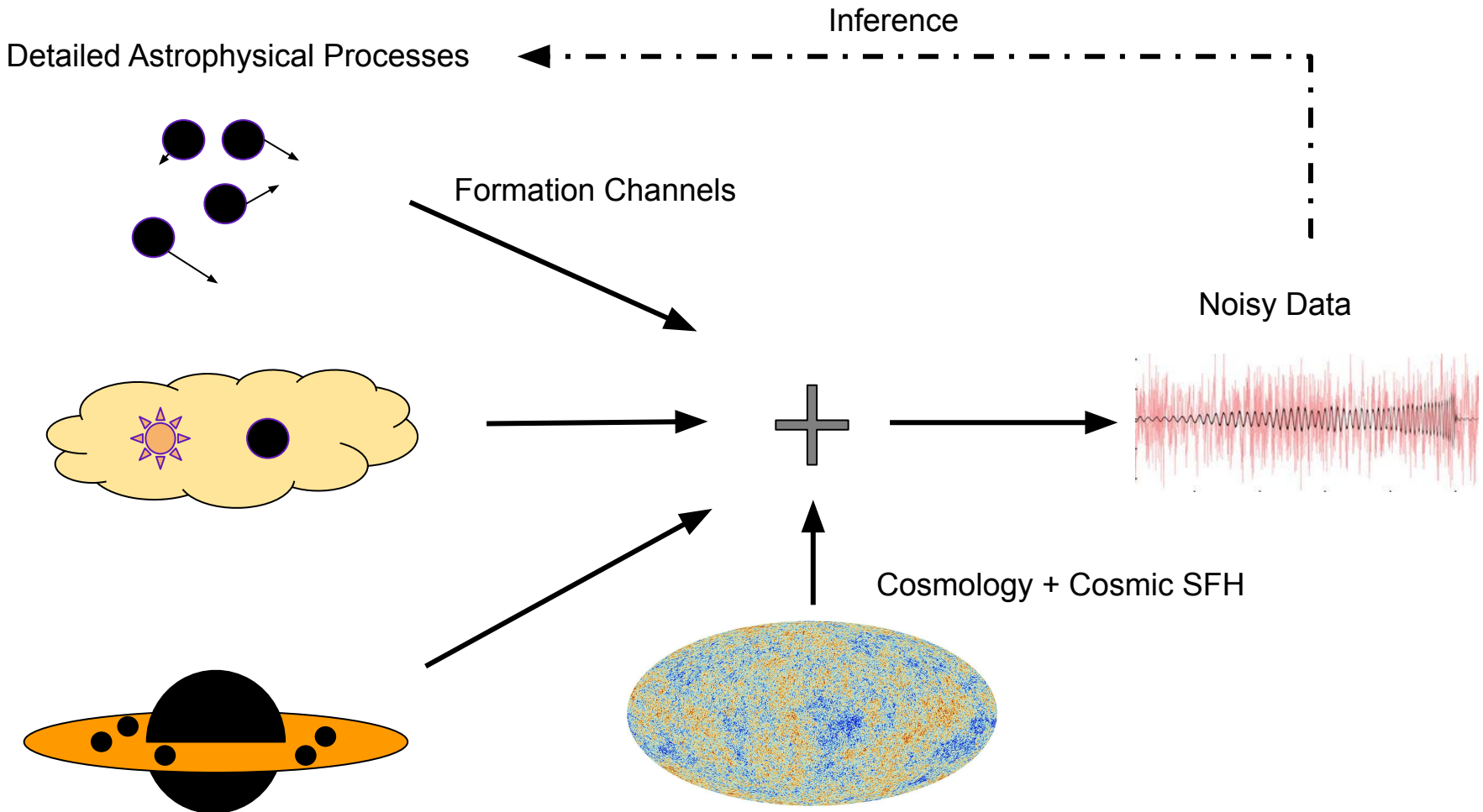


High-Dimensional Source Parameter Space!

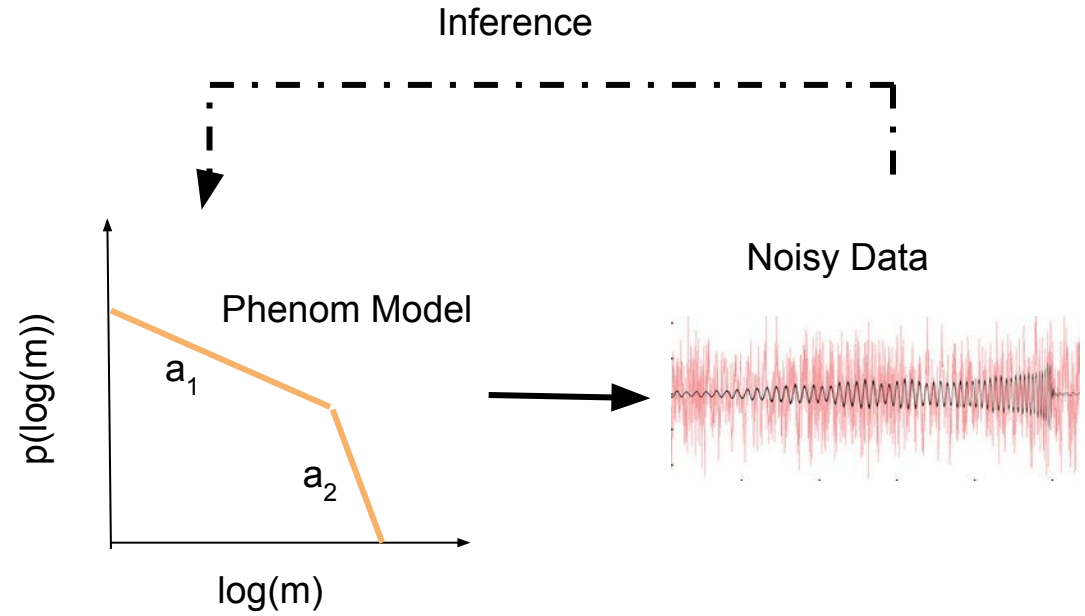
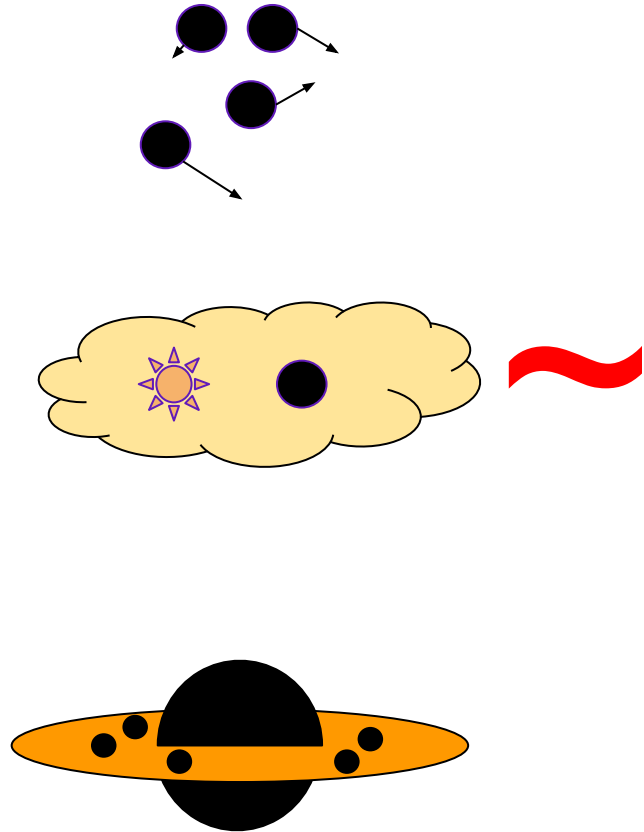
Noisy Data!

Systematic Uncertainties!

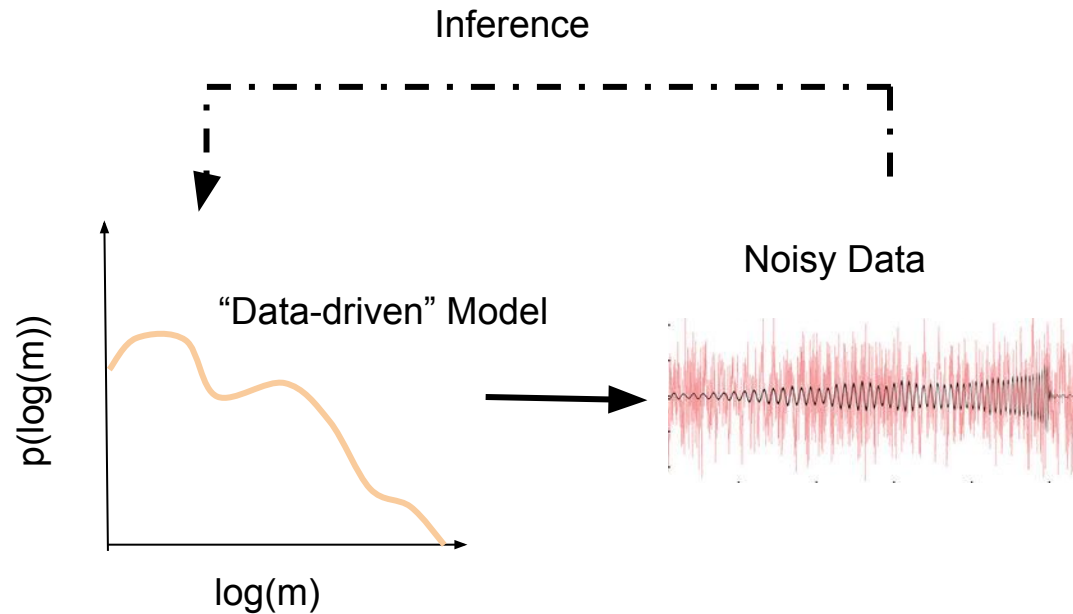
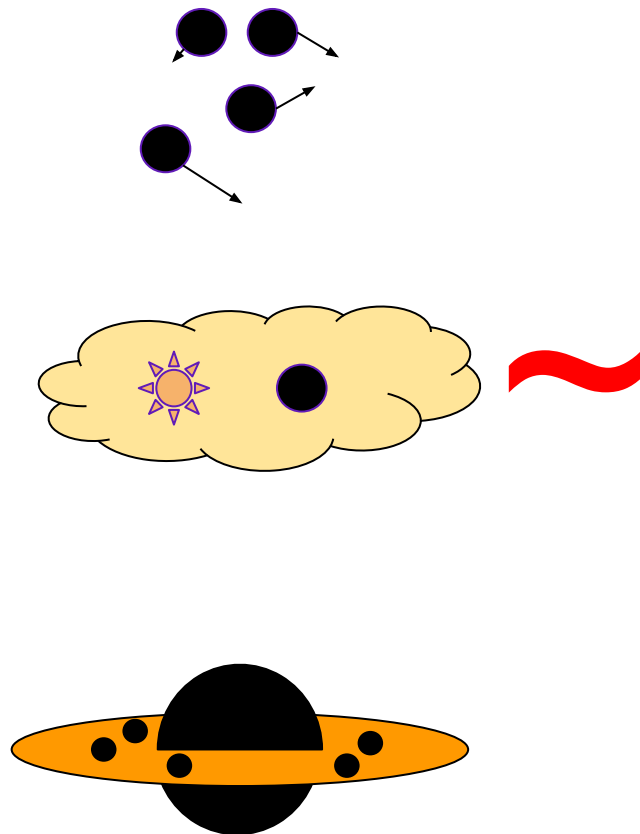
Should explain full catalog of GWs!



Detailed Astrophysical Processes



Detailed Astrophysical Processes



Simple Models

- Targeted questions (e.g. mass gaps)
- Easy to write down (e.g. power laws)
- Somewhat agnostic to astrophysical details
- Could miss important features

SYNERGY!

Detailed Astrophysical Models

- Can include our best understanding of BHs + interactions
- Can be tuned via other data sets
- Hard to write down
- Many parameters
- Possible systematic errors

Detailed Astrophysical Models

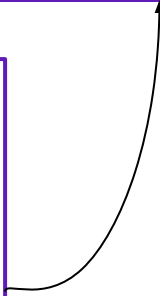
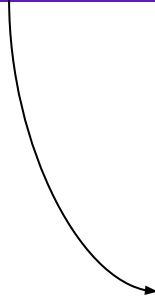
- Can include our best understanding of BHs + interactions
- Can be tuned via other data sets
- Hard to write down
- Many parameters
- Possible systematic errors

Data-Driven Models

- Find unexpected features in the population
- Corroborate results of simple models
- Compare with features in detailed models
- Black-box predictions

Simple Models

- Targeted questions
- Easy to write down
- Somewhat agnostic to astrophysical details
- Could miss important features



Strain data segments that
trigger GW search pipelines

Astrophysical Models +
Selection Effects

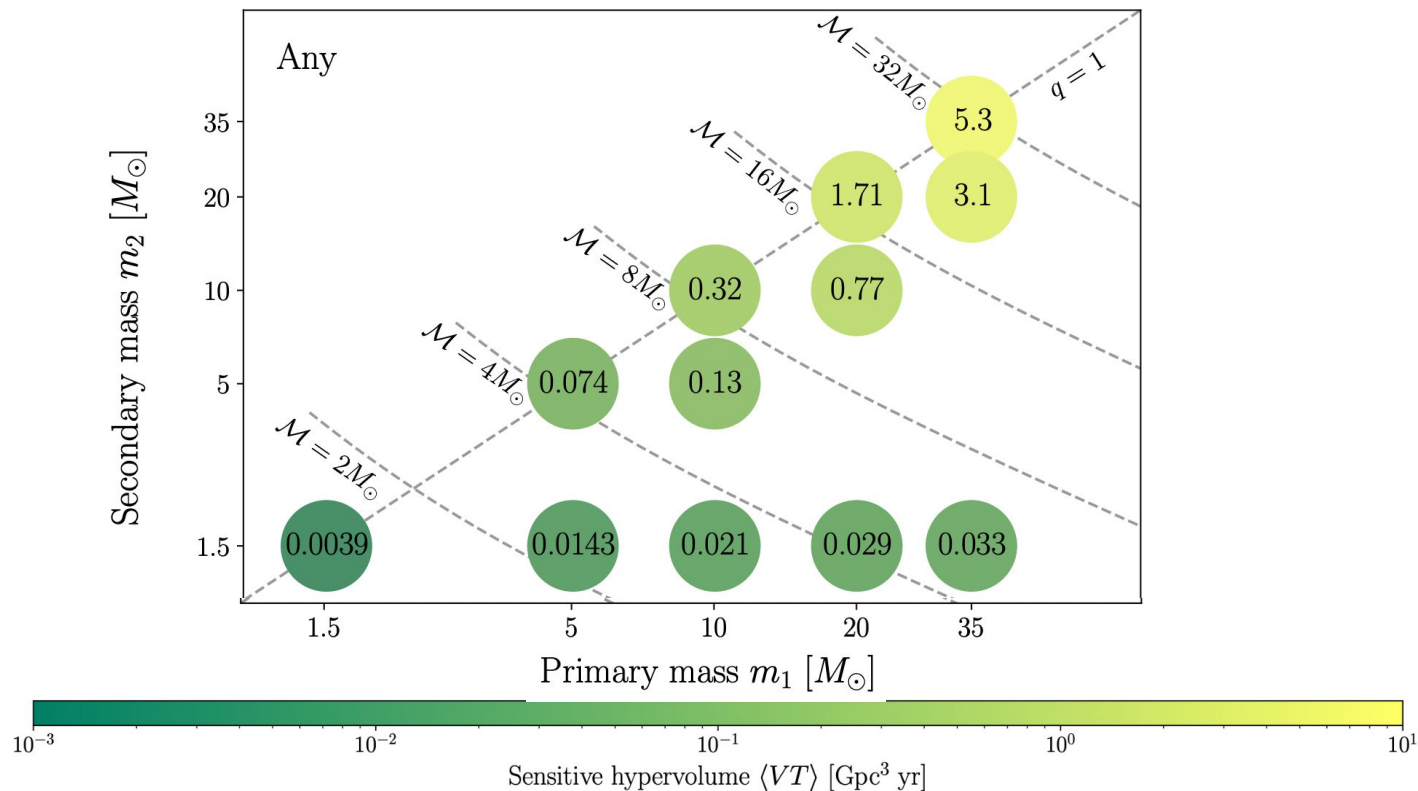
$$\mathcal{L}(\{d\}, N_{\text{det}} | \Lambda, N_{\text{exp}}) \propto$$

$$N^{N_{\text{det}}} e^{-N_{\text{exp}}} \prod_{i=1}^{N_{\text{det}}} \int \mathcal{L}(d_i | \theta) \pi(\theta | \Lambda) d\theta.$$

Single
event
likelihood

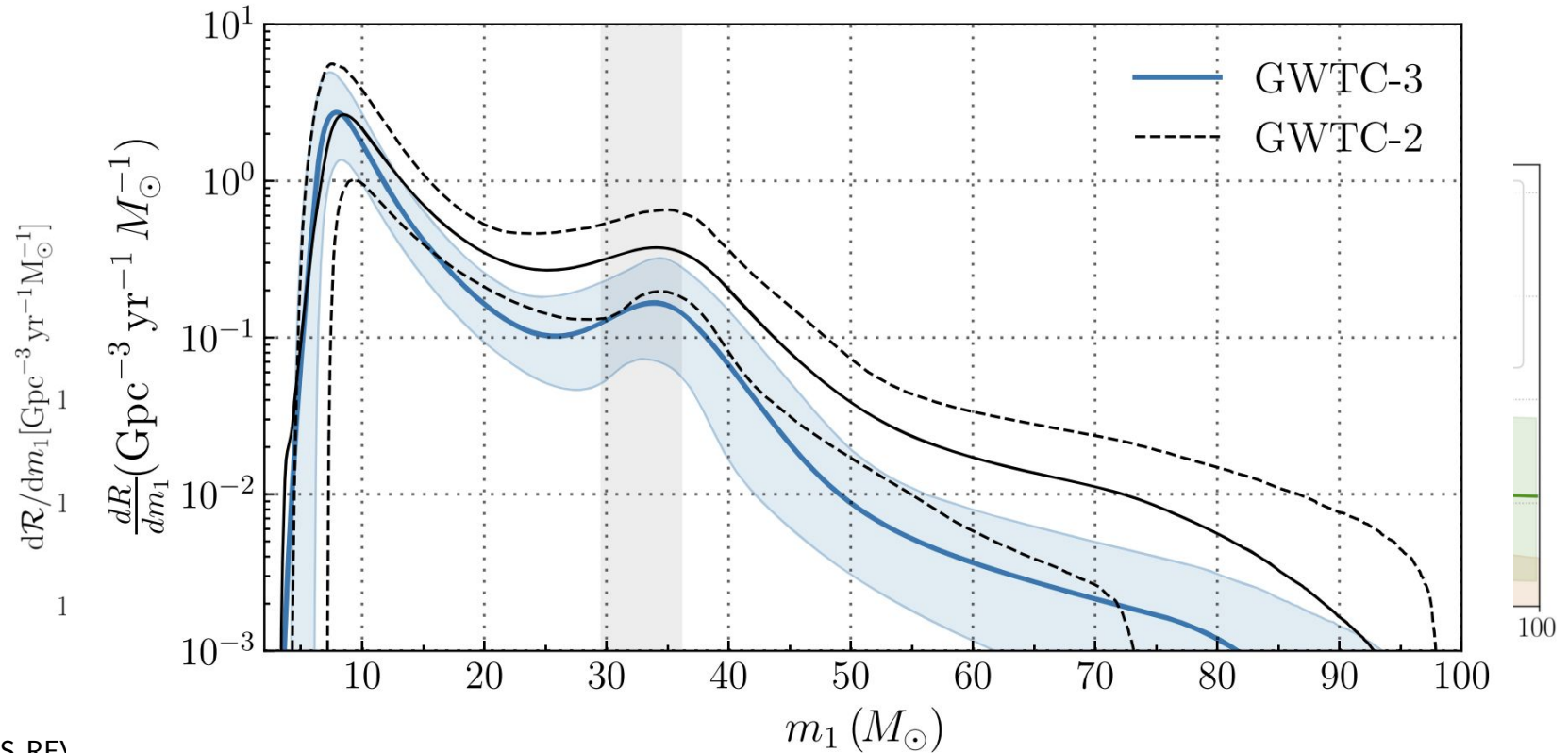
Single event
parameter
prior under Λ

Higher mass mergers are “louder” -> Selection effect



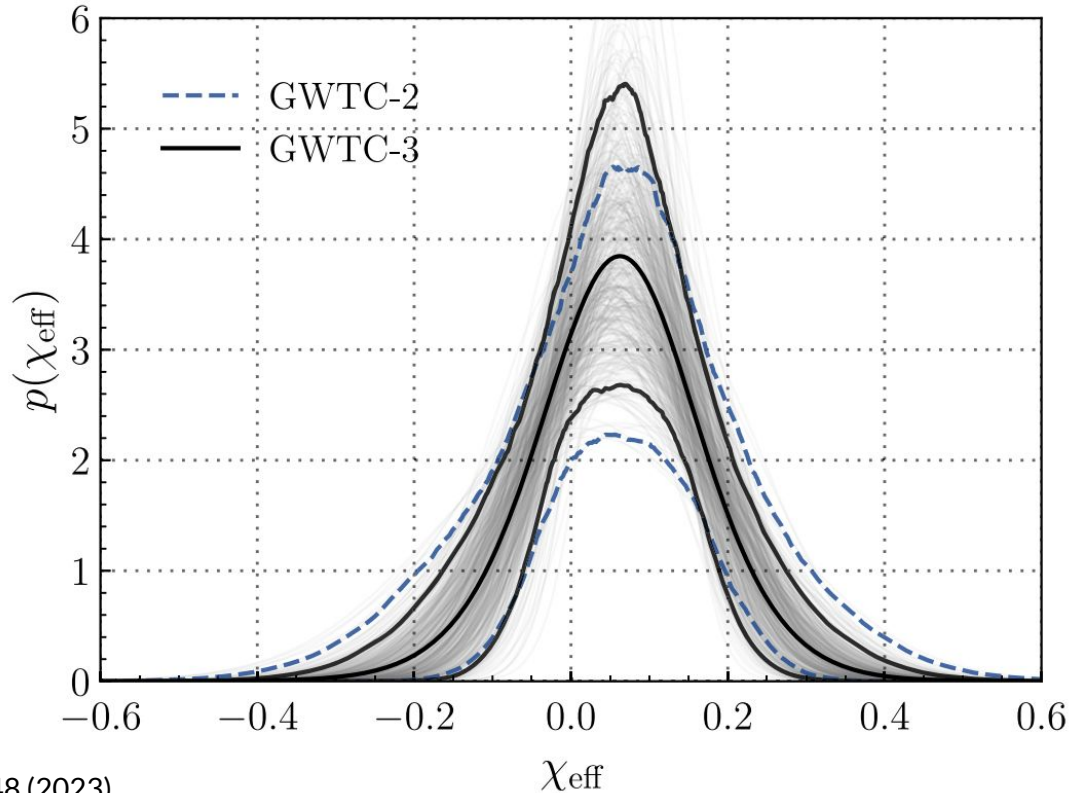
Mass Spectrum of Compact Object Mergers

Structure in the binary black hole mass distribution



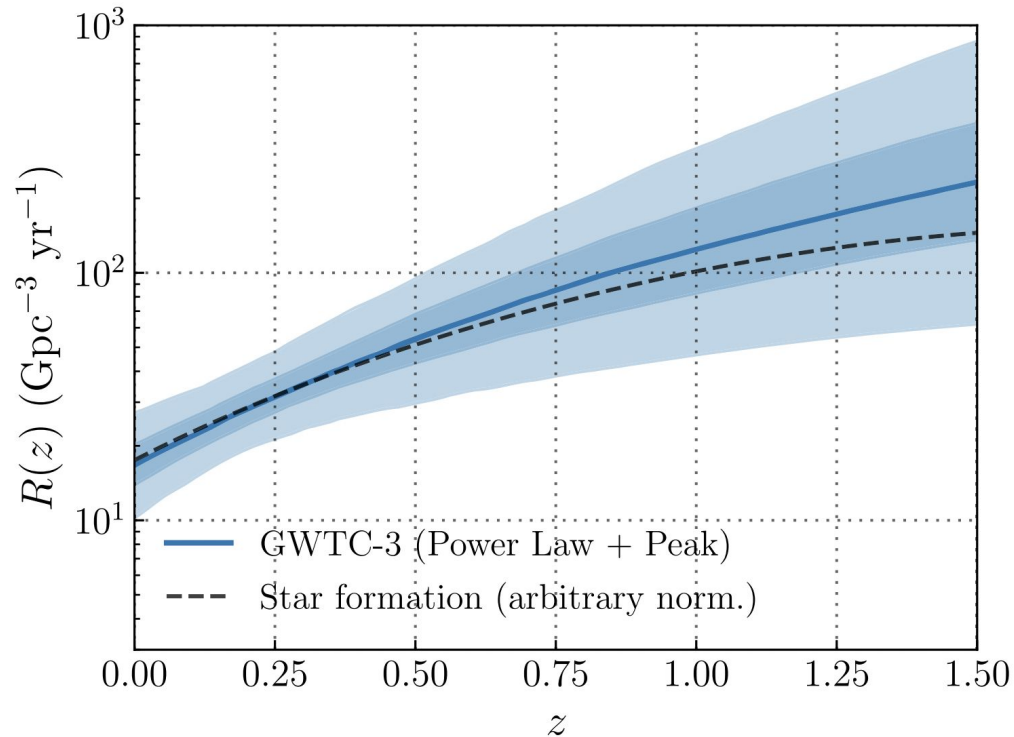
Spin Spectrum of Compact Object Mergers

Binary black hole **spin** distribution



Merger rate with redshift

Merger rate is increasing with redshift



Population-level correlations

“Data-Driven” Models

Models with lots of flexibility but “agnostic” to the astrophysics enable...

- Finding unexpected features in the population
- Corroborating results of simple models
- Comparison with features in detailed models
- Black-box predictions for other applications

Let's use these different modeling approaches to study gravitational-wave populations!

Simple Models

Fishbach & Holz (2017)

Talbot & Thrane (2018)

Wysocki, Lange, and O'Shaughnessy (2019)

Doctor et al (2020)

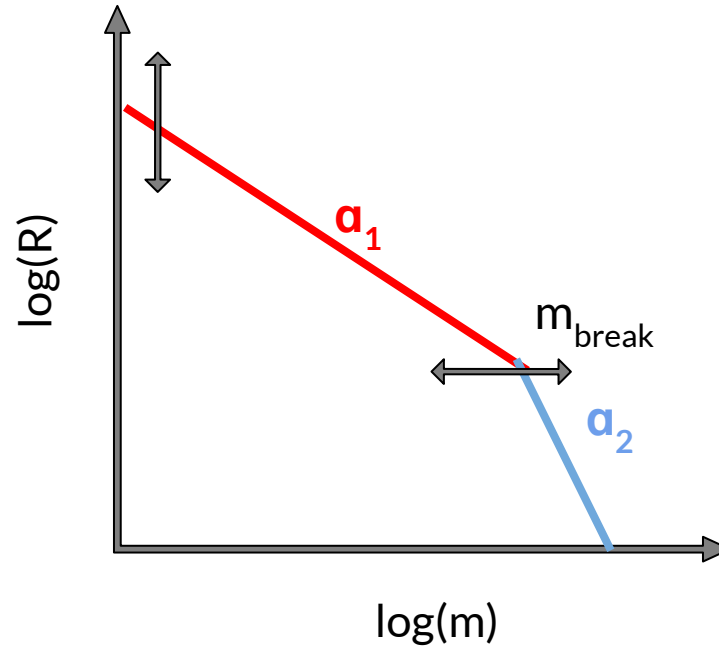
Kimball et al (2021)

Landry & Read (2021)

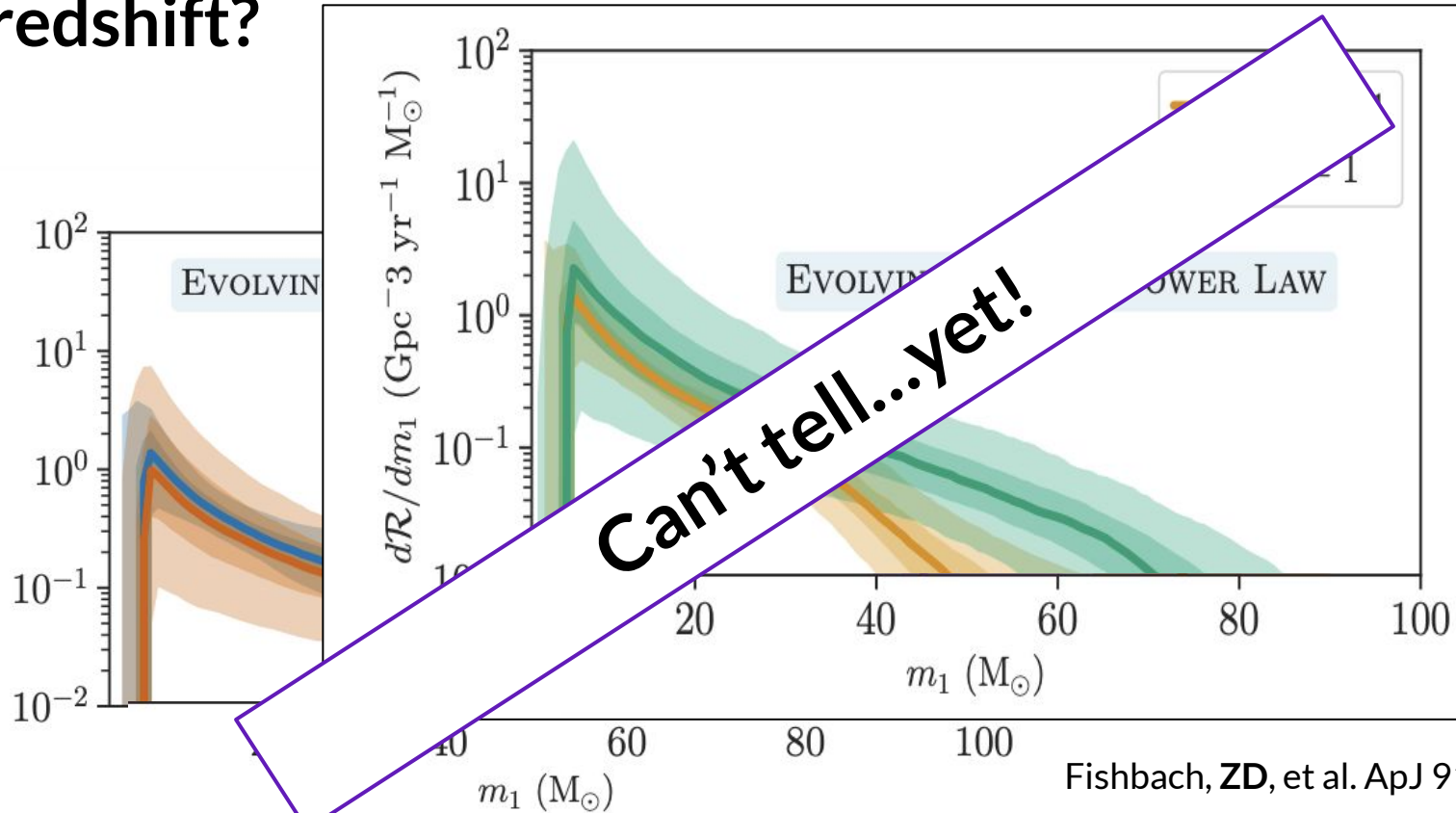
Farah, Fishbach, Essick et al (2021)

...

Does the mass distribution of black holes change with redshift?



Does the mass distribution of black holes change with redshift?



Do BH sub-populations of spin have different masses?

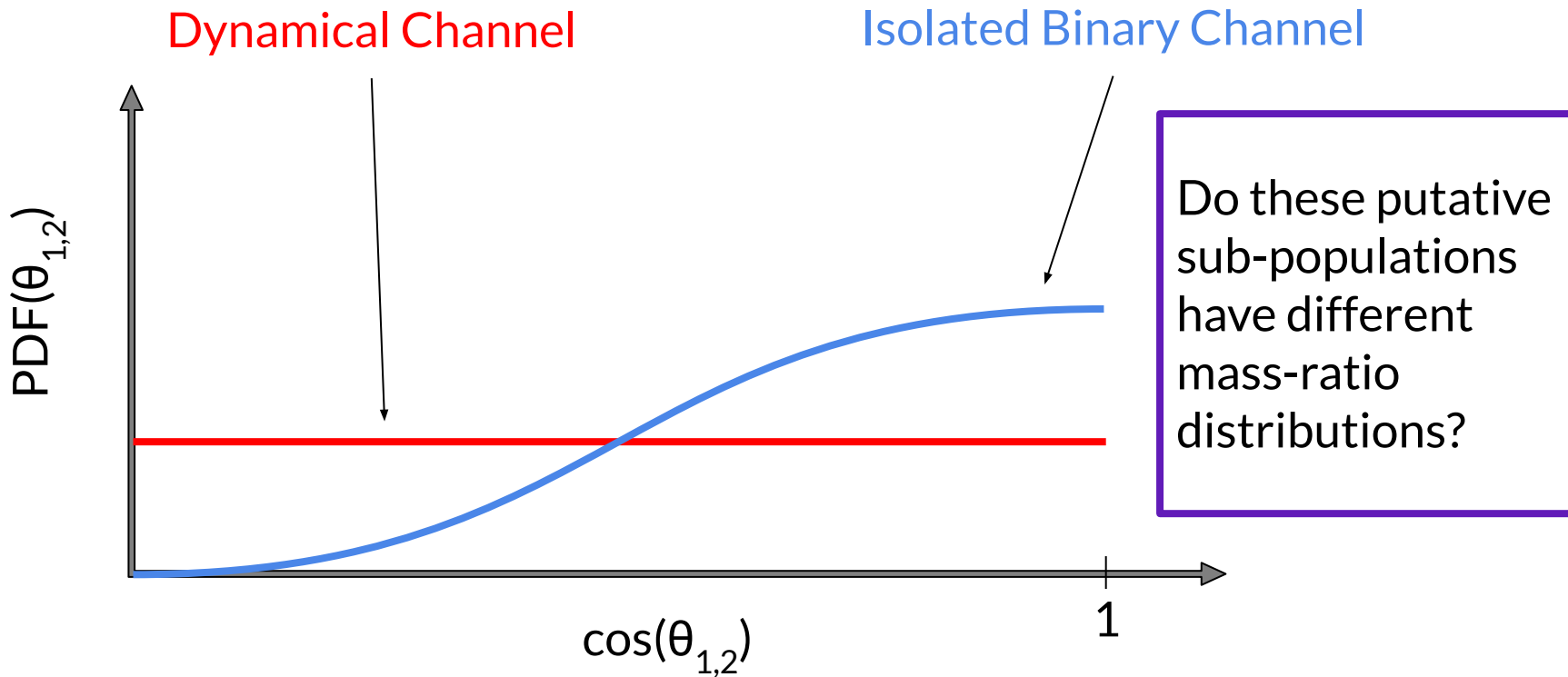
Dynamical Channel



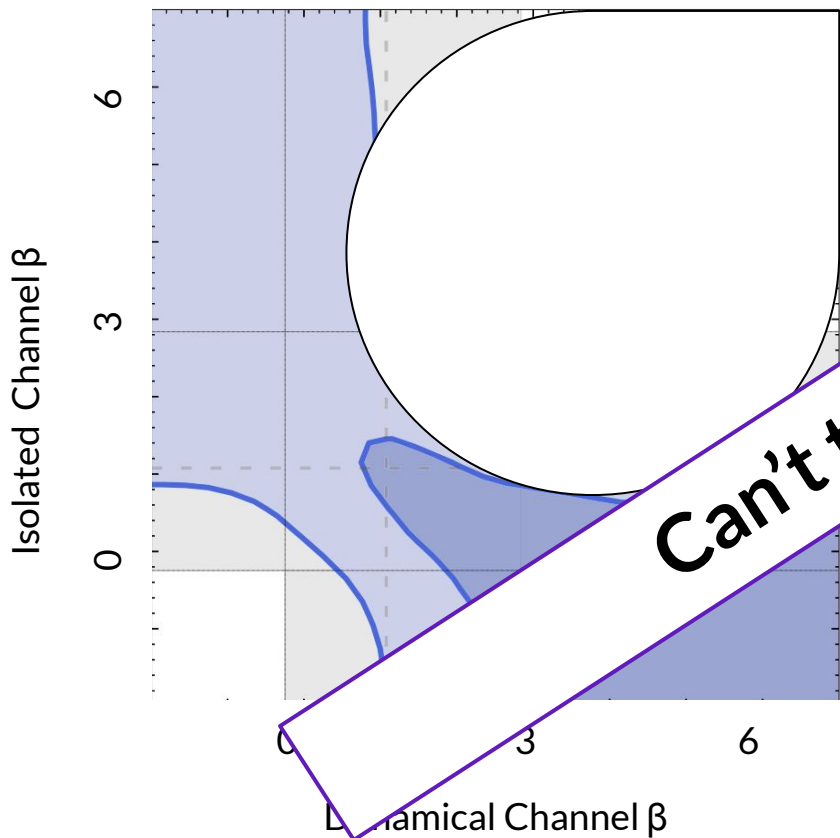
Isolated Binary Channel



Do BH sub-populations of spin have different masses?

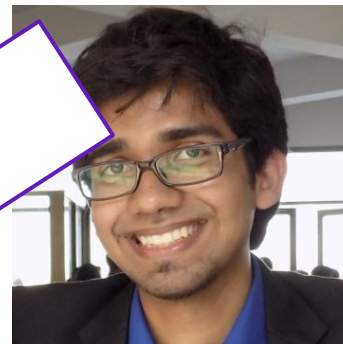


Do BH sub-populations of spin have different masses?



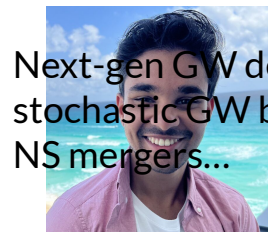
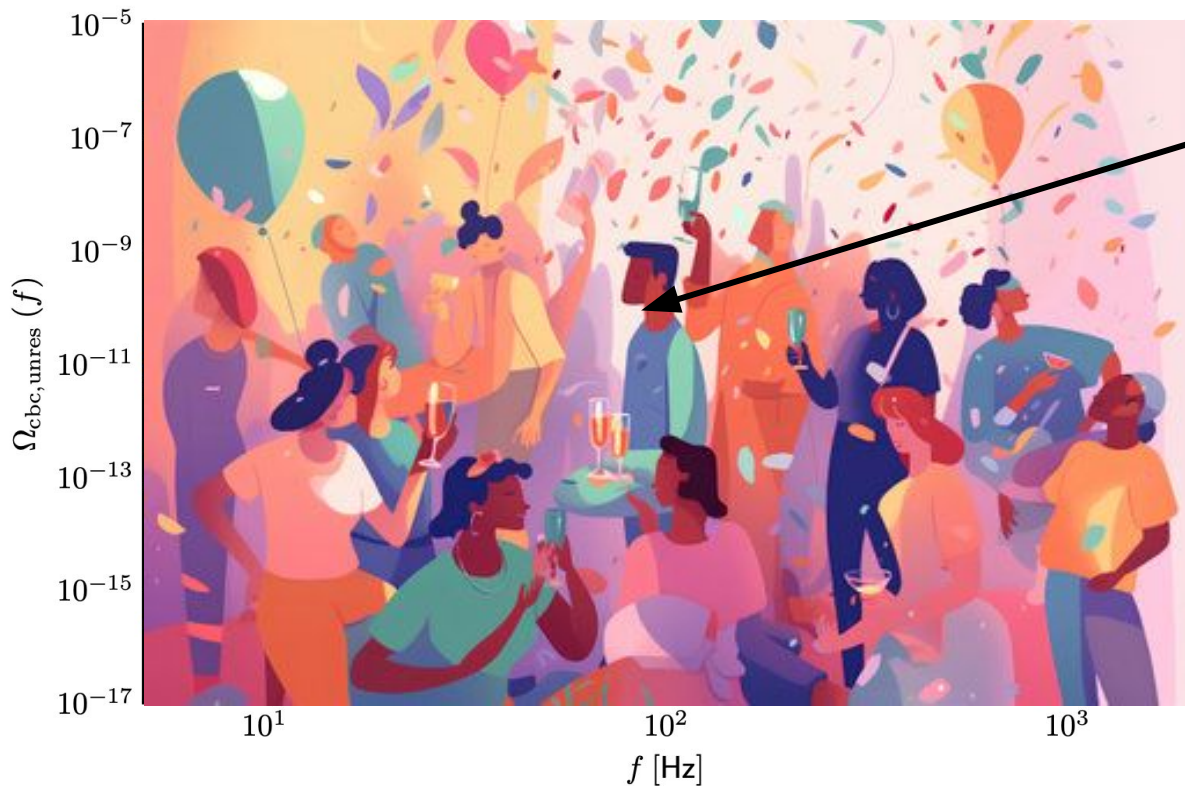
Can't tell...yet!

$$P(q) \sim q^\beta$$



CIERA Fellow
Vishal Baibhav

The Stochastic GW Background from NS Mergers



Next-gen GW detectors will see stochastic GW background from NS mergers...

Darsan S. Bellie
NSF Graduate Fellow



...but the GW background may obscure other exotic GW signals

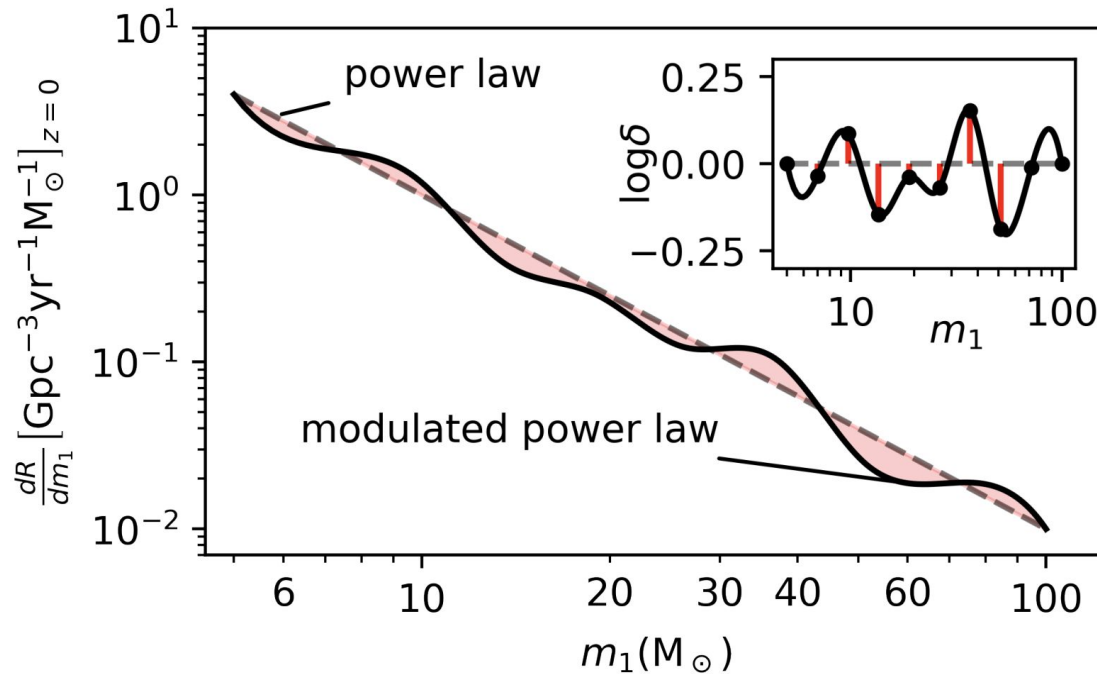
Dr. Sharan Banagiri
CIERA Fellow

Data-Driven Models

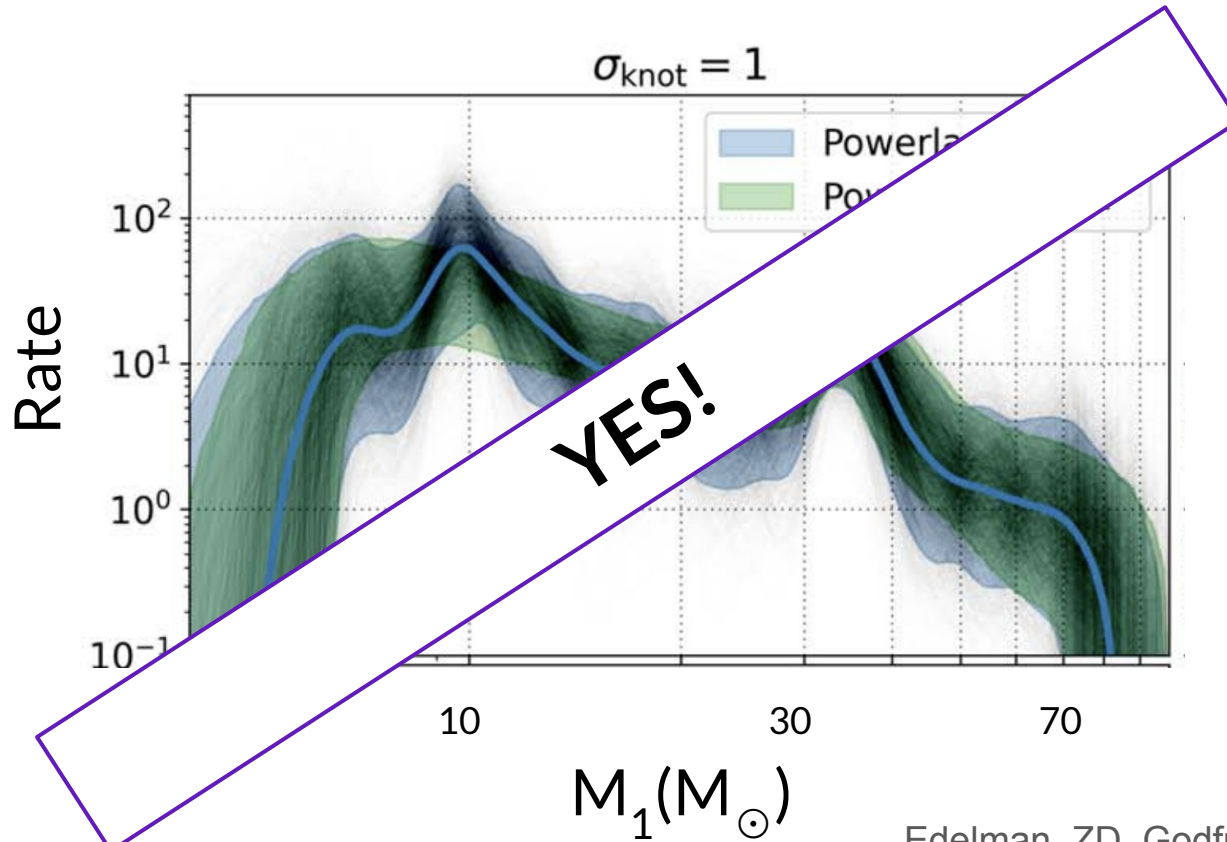
Mandel et al (2016)
Farr et al (2018)
Powell et al (2019)
Tiwari (2021)
Rinaldi et al (2021)
Sadiq et al (2021)
Godfrey et al (2023)

...

Is there structure in the BBH mass distribution?

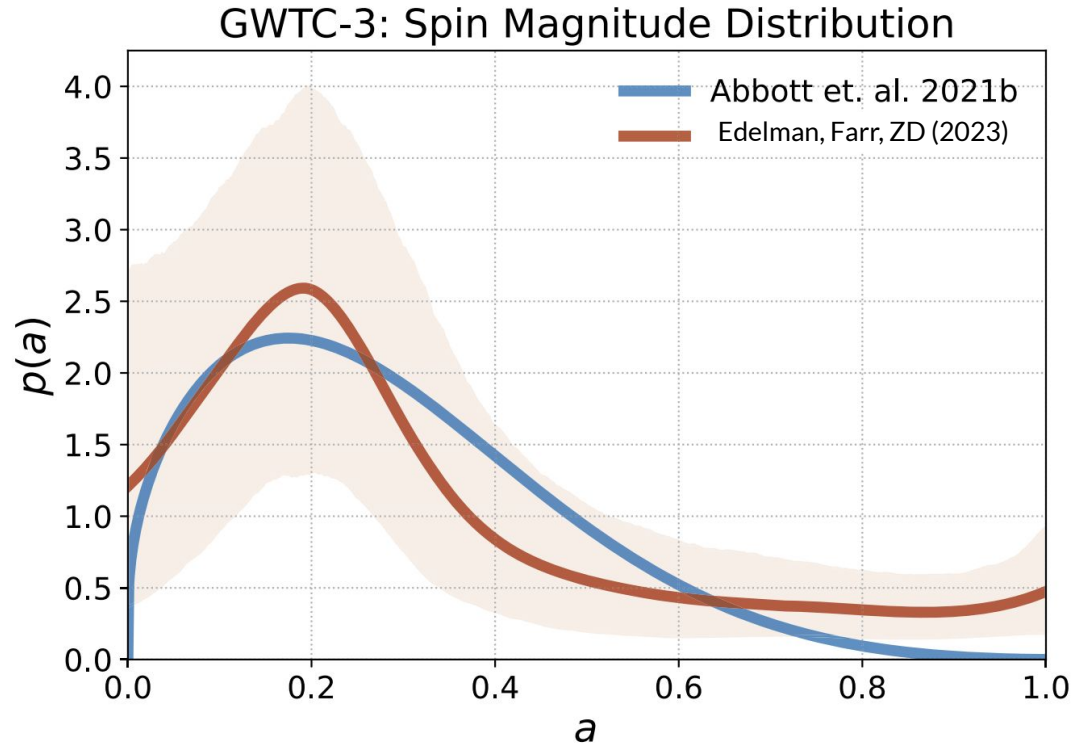


Is there structure in the BBH mass distribution?



Bruce Edelman
Research Software
Engineer
(UOregon)

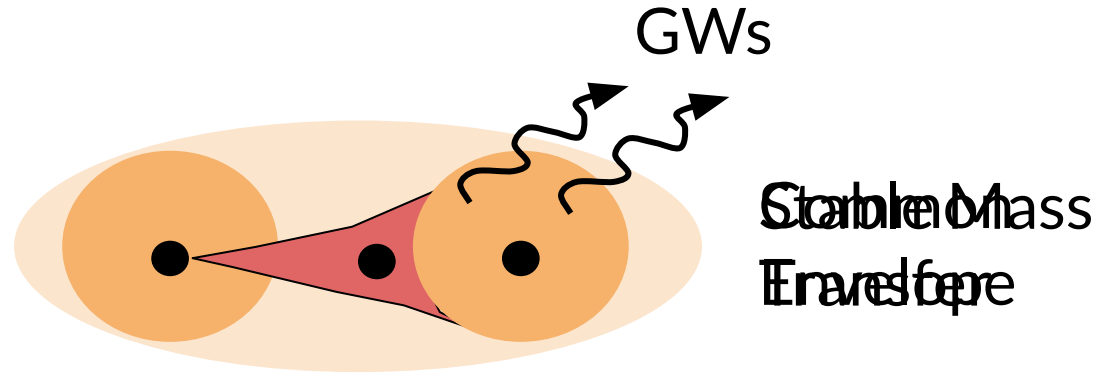
Is there structure in the BBH **spin** distribution?



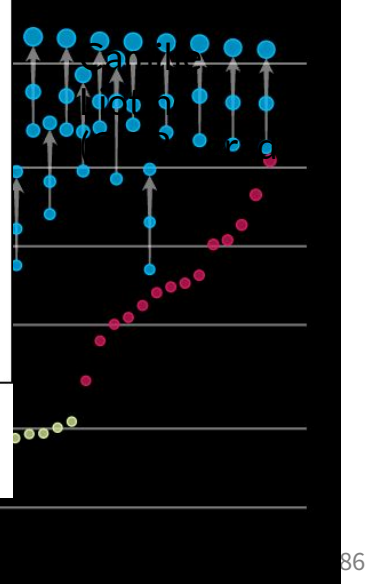
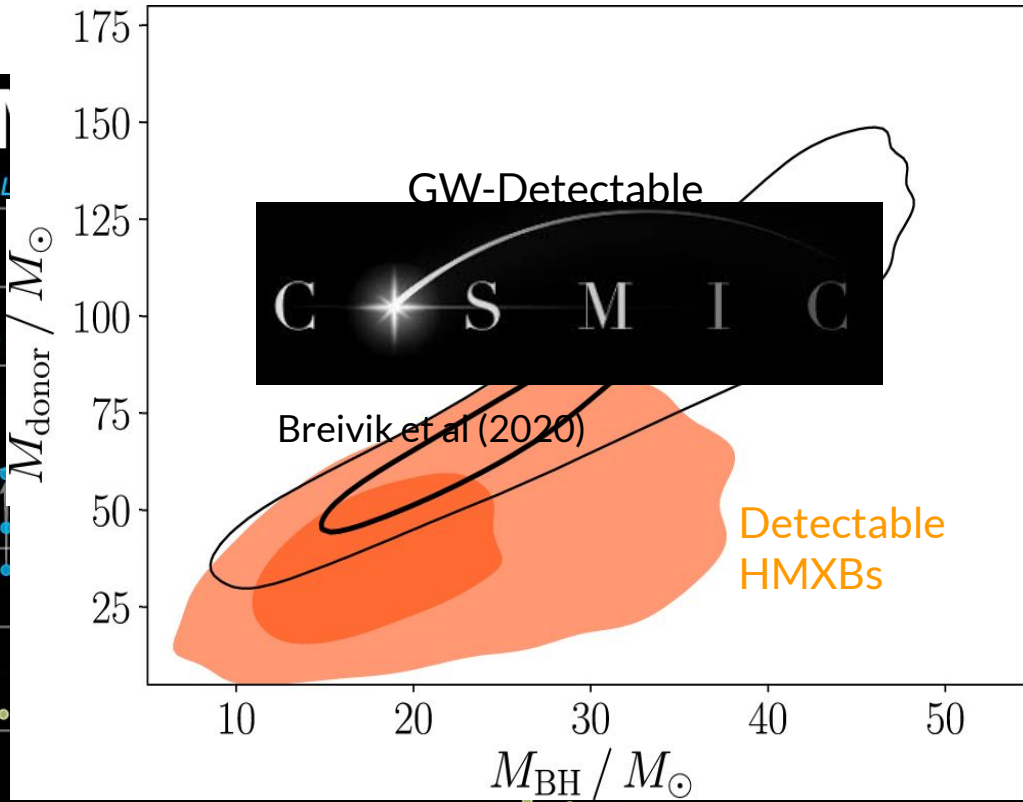
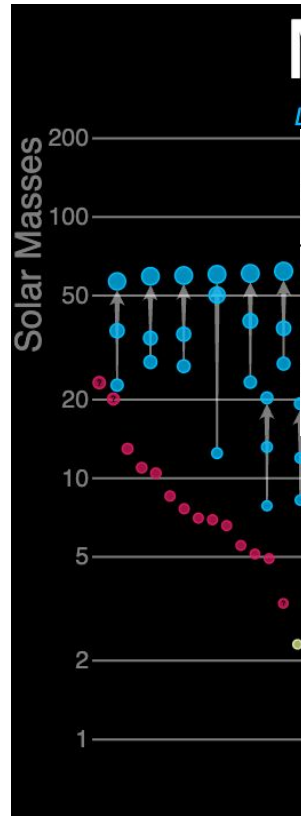
Detailed Models

BPASS
BSE
CMC
ComBinE
COMPAS
COSMIC
MOBSE
POSYDON
SEVN
StarTrack ...

The Isolated Binary Channel

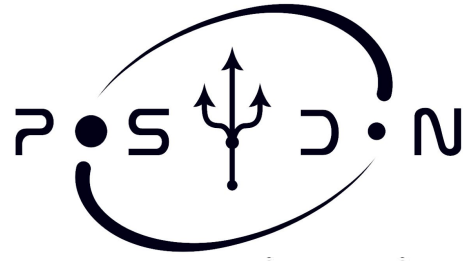
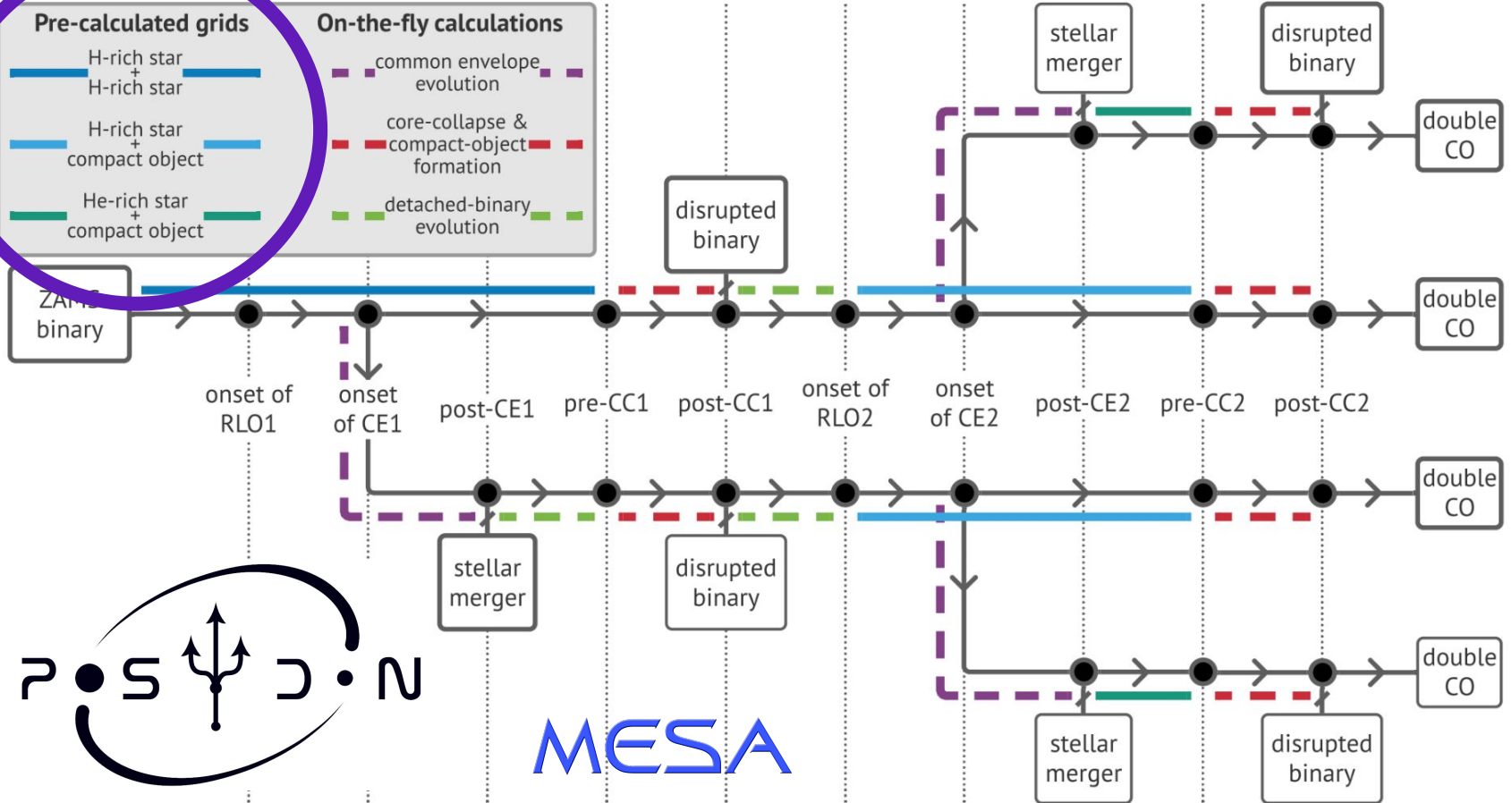
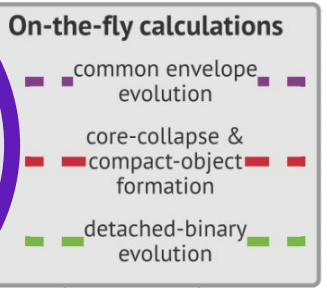
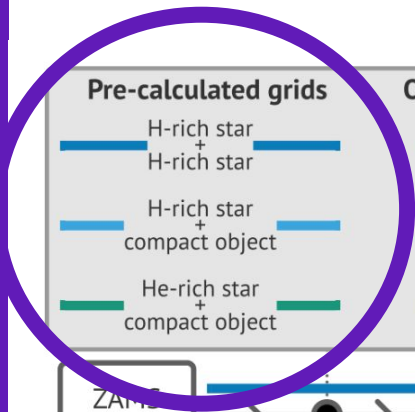


How do merging BH masses compare to those in HMXBs?



How do merging BH masses compare to those in HMXBs?

- $\lesssim 3\%$ of detectable HMXBs have a BH with $> 35 M_{\odot}$
- Probability detected HMXB will merge as a BBH in a Hubble time is $\lesssim 1\%$
- Discrepant BH masses from GWs and HMXBs are expected!



Fragos et al (2022)



Paxton et al (2011)

Minimize how many simulations are needed

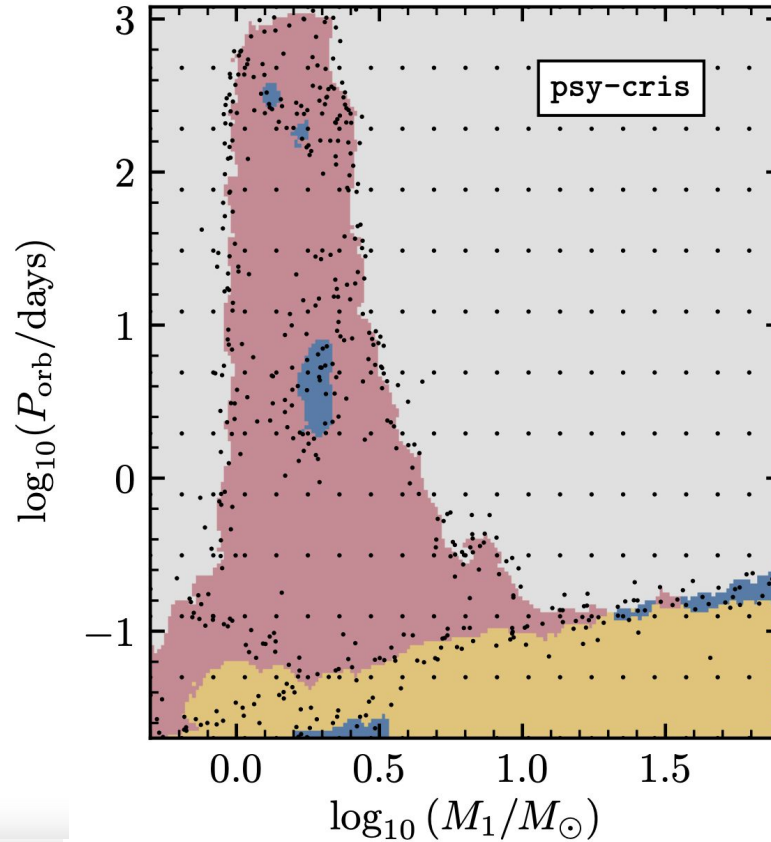
2,500
simulations!

no MT

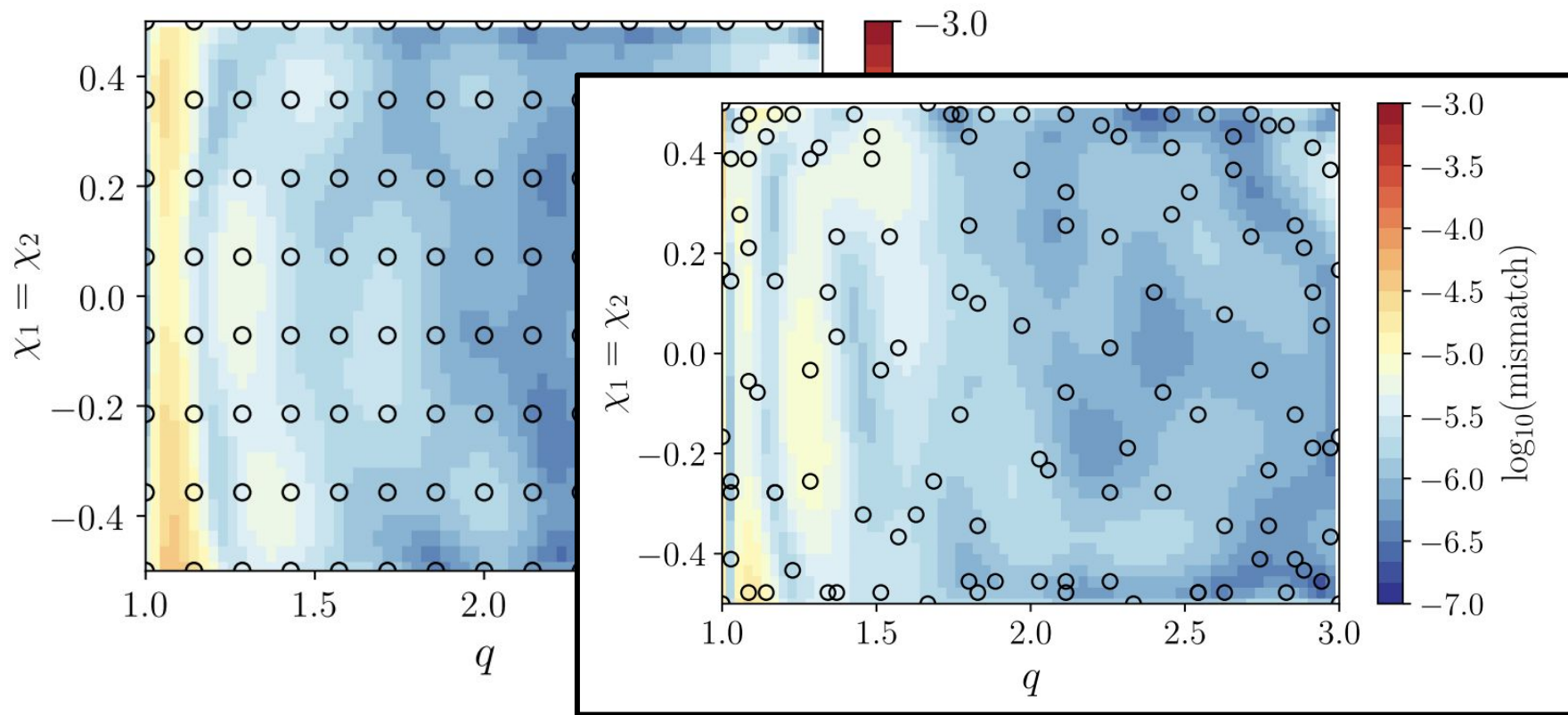
initial MT

stable MT

unstable MT

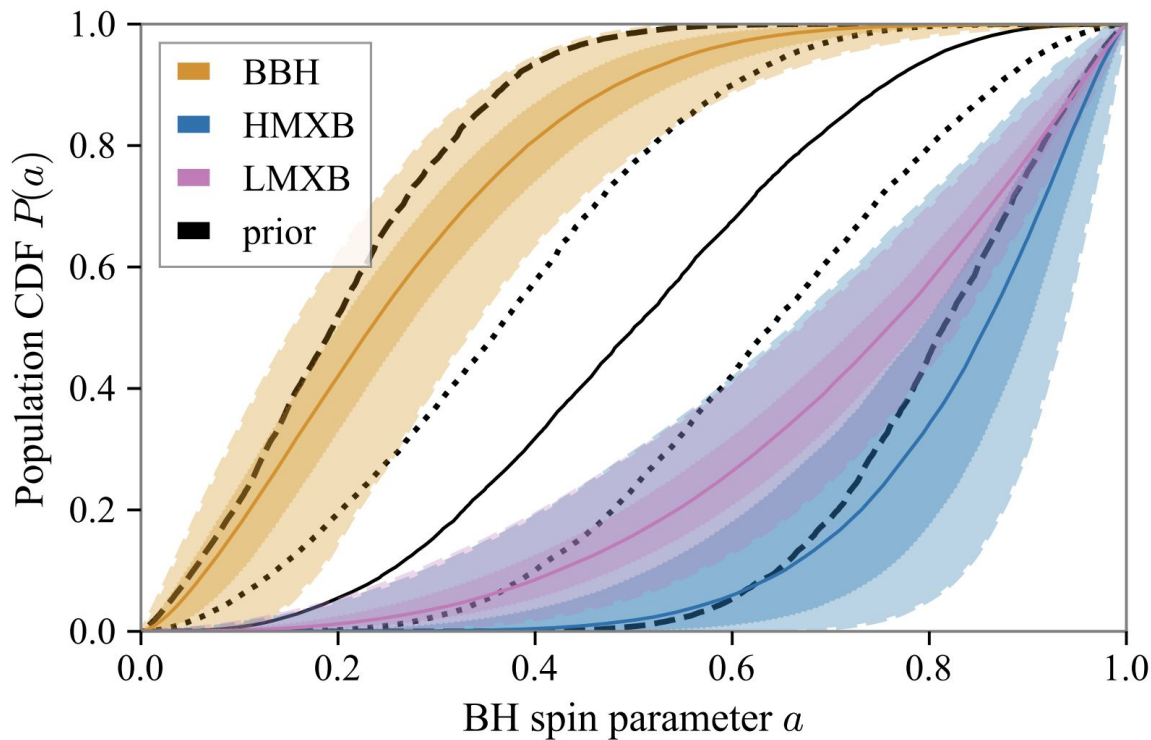


Kyle Rocha
CIERA grad

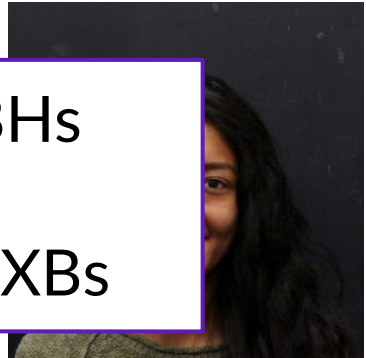
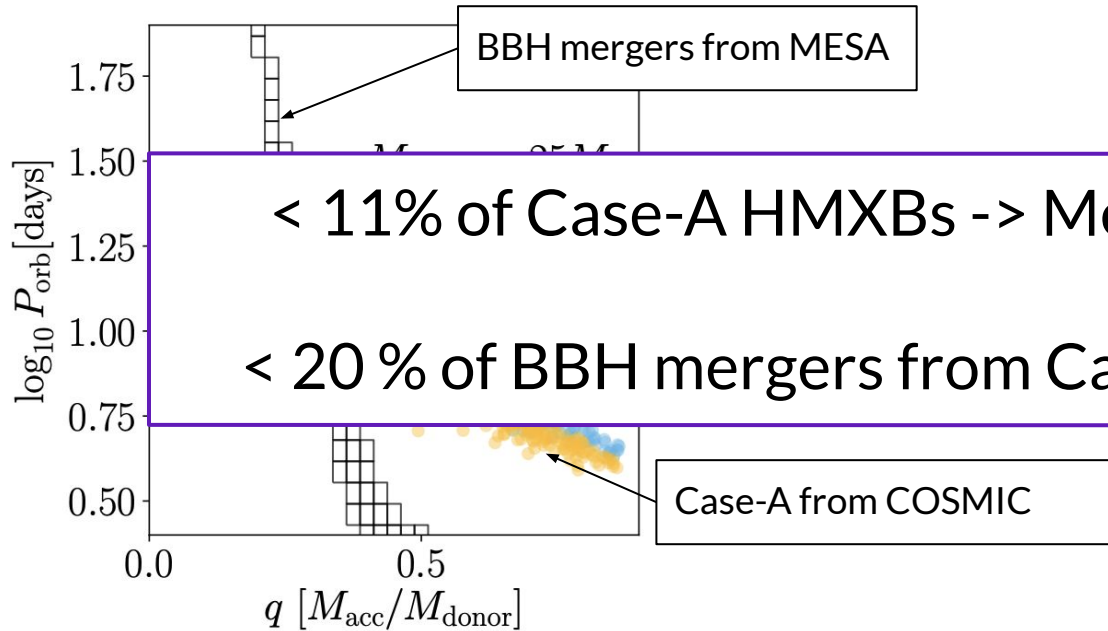


A different problem with a similar solution

Do High-Spin HMXBs become High-Spin Merging BBHs?



Do High-Spin HMXBs become High-Spin Merging BBHs?



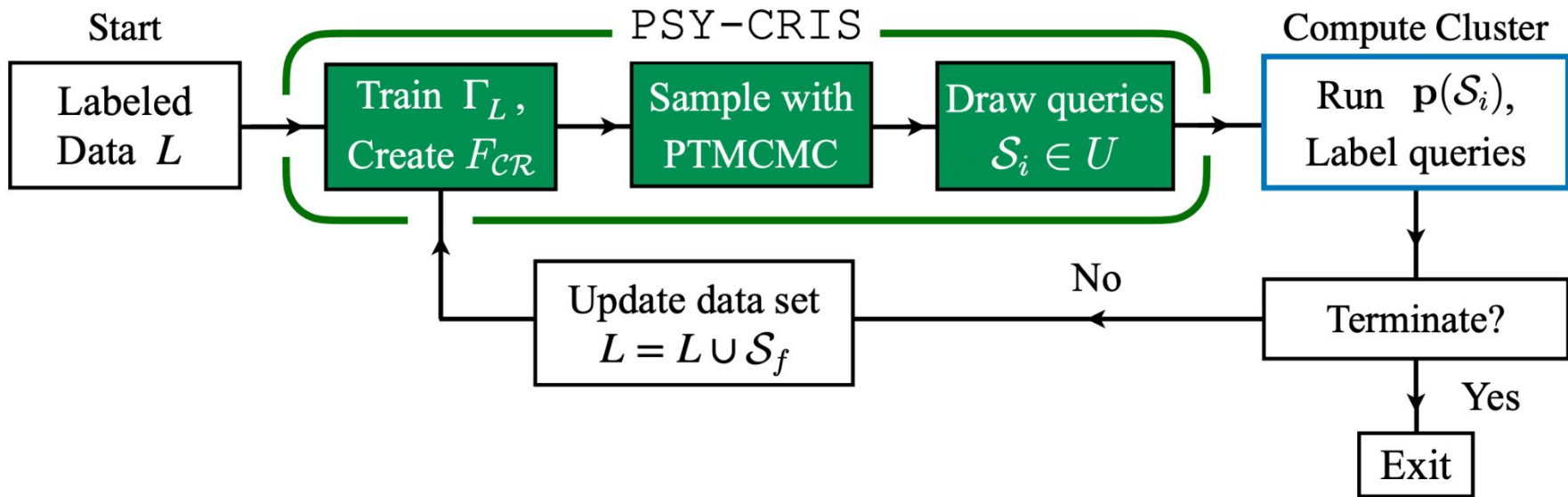
Monica Gallegos-Garcia
CIERA Grad

Remarks

- Multiple population modeling approaches enable us to understand CO mergers from different angles
- Detailed models: Incorporate our full astrophysical picture, but expensive and many systematics
- Simple parametric models: Empirically test specific questions
- Data-driven models: Look for the unexpected
- Team effort! A rich set of problems for everyone to get involved in
 - Stars, dynamics, statistics, machine learning, detectors and instrumentation...

**IF YOU COULD, JUST SEND US MORE
GRAVITATIONAL WAVES**

THAT'D BE GREAT



to confusion, we use *merging BBHs* for clarity. To identify high-spin HMXBs in simulations, we assume the spin of the first-born BH is imparted by the scenario of **Case-A** mass transfer (MT) while both stars are on the main sequence (MS; [Valsecchi et al. 2010](#); [Qin et al. 2019](#)). In this scenario, the donor star, which is also the progenitor of the first-born BH, could form a high-spin BH following a combination of (i) MT that prevents significant radial expansion; (ii) strong tidal synchronization at low orbital periods, and (iii) inefficient AM transport within the massive star post MS. We do not

